TRASHIAL

















EXPLORING THE MEMORY MANAGEMENT IN THE JVM

ABOUT ME.





Gerrit Grunwald | Developer Advocate | Azul

SO...VAHY CARE...

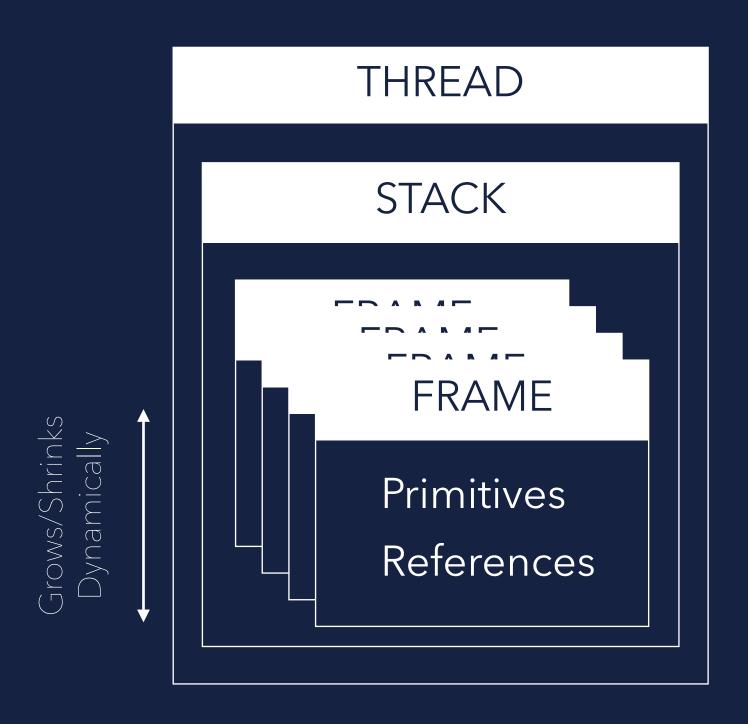
Why you should care...

Impact on application performance

- Why you should care...
- Impact on application performance
- Impact on application responsiveness

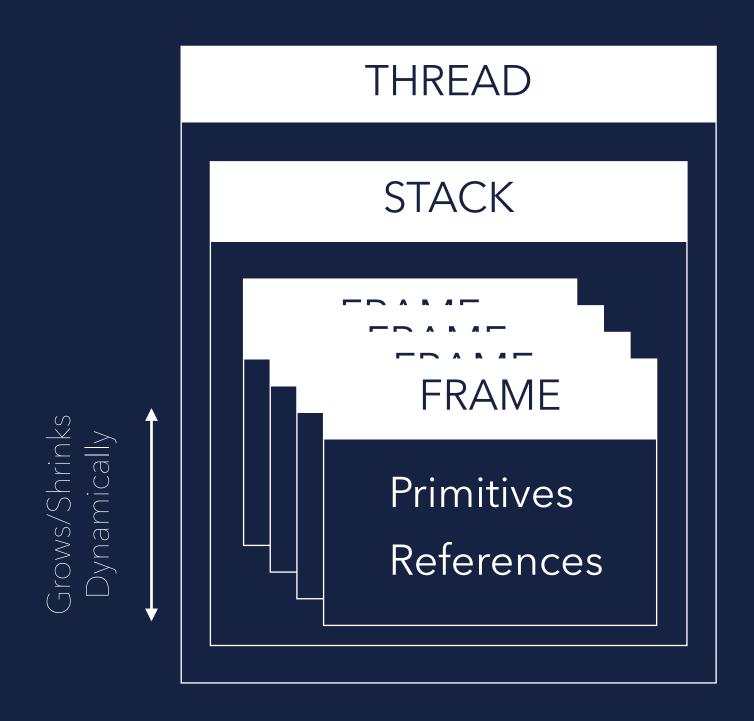
- Why you should care...
- Impact on application performance
- Impact on application responsiveness
- Impact on system requirements

Stack, Heap and Metaspace



Local access -> thread safe

Stack, Heap and Metaspace

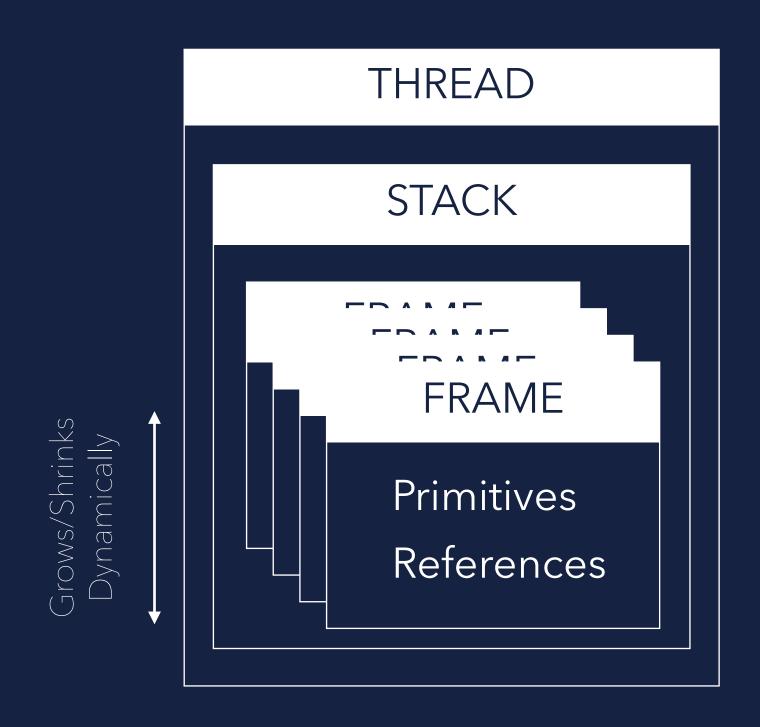


Objects

Local access -> thread safe

Shared access -> Not thread safe Needs Garbage Collection

Stack, Heap and Metaspace



Local access -> thread safe

Objects

Shared access -> Not thread safe Needs Garbage Collection METASPACE

Class Metadata

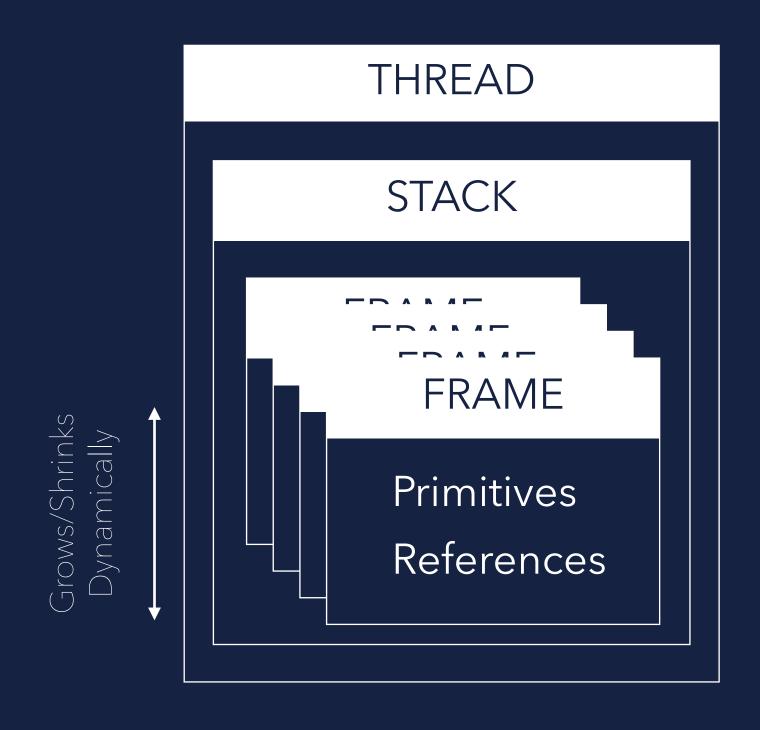
Constant Pool

Method bytecode

Contains info needed for JVM to work with classes

No fixed size, grows dynamically

Stack, Heap and Metaspace



Objects

METASPACE

Class Metadata

Constant Pool

Method bytecode

No fixed size, grows dynamically

Local access -> thread safe

Shared access -> Not thread safe Needs Garbage Collection Contains info needed fo

StackOverflowError

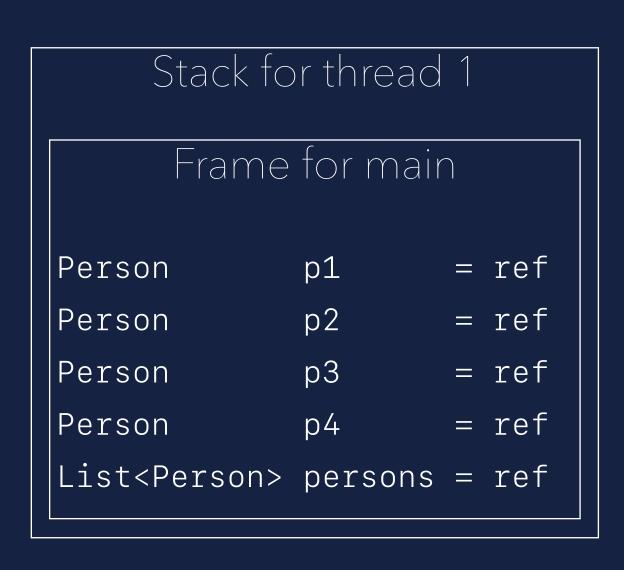
OutOfMemoryError

```
public static void main(String[] args) {
    record Person(String name) {
       @Override public String toString() { return name(); }
    Person p1 = new Person("Gerrit");
    Person p2 = new Person("Sandra");
    Person p3 = new Person("Lilli");
    Person p4 = new Person("Anton");
    List<Person> persons = Arrays.asList(p1, p2, p3, p4);
    System.out.println(p1); // -> Gerrit
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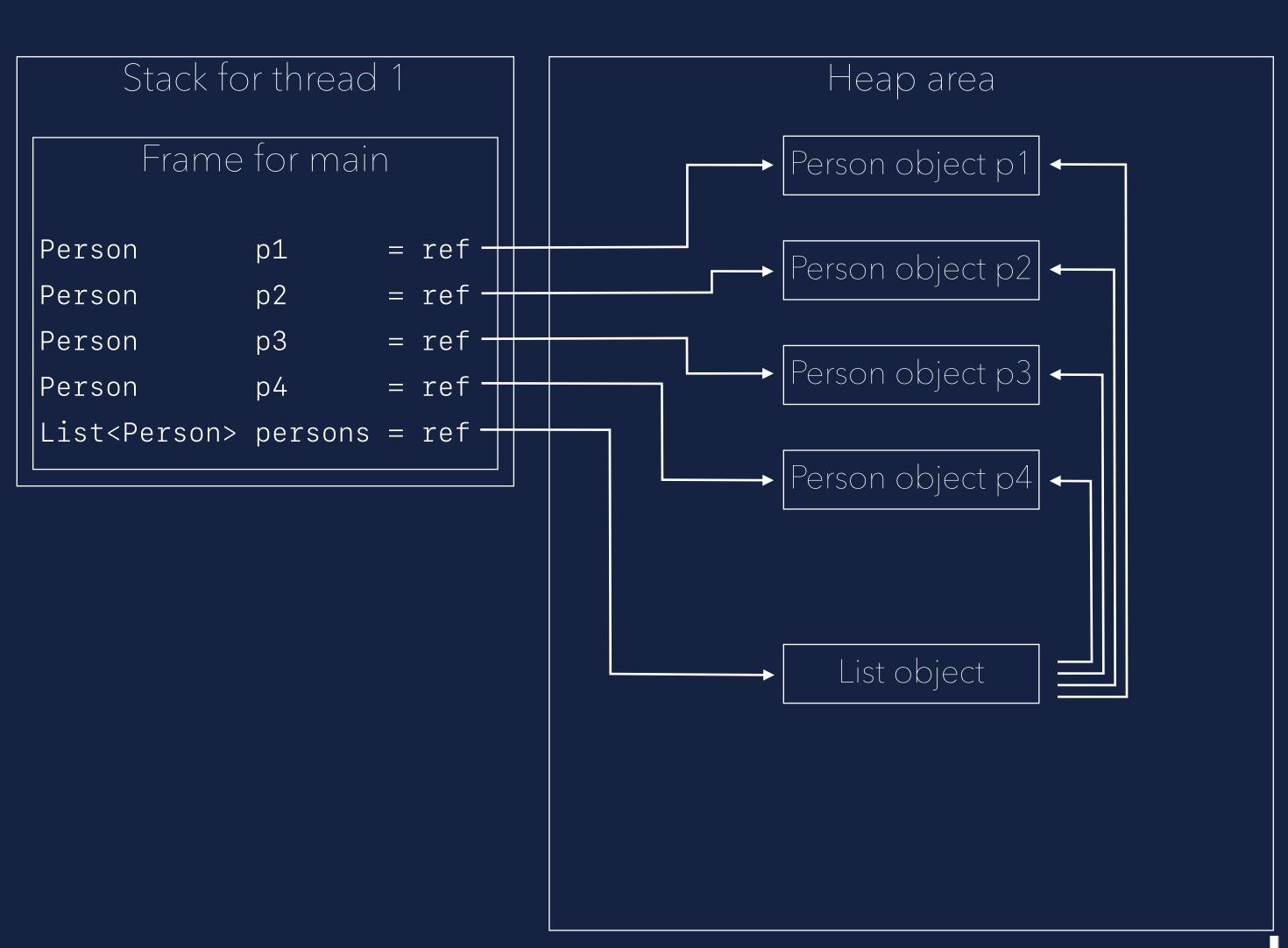
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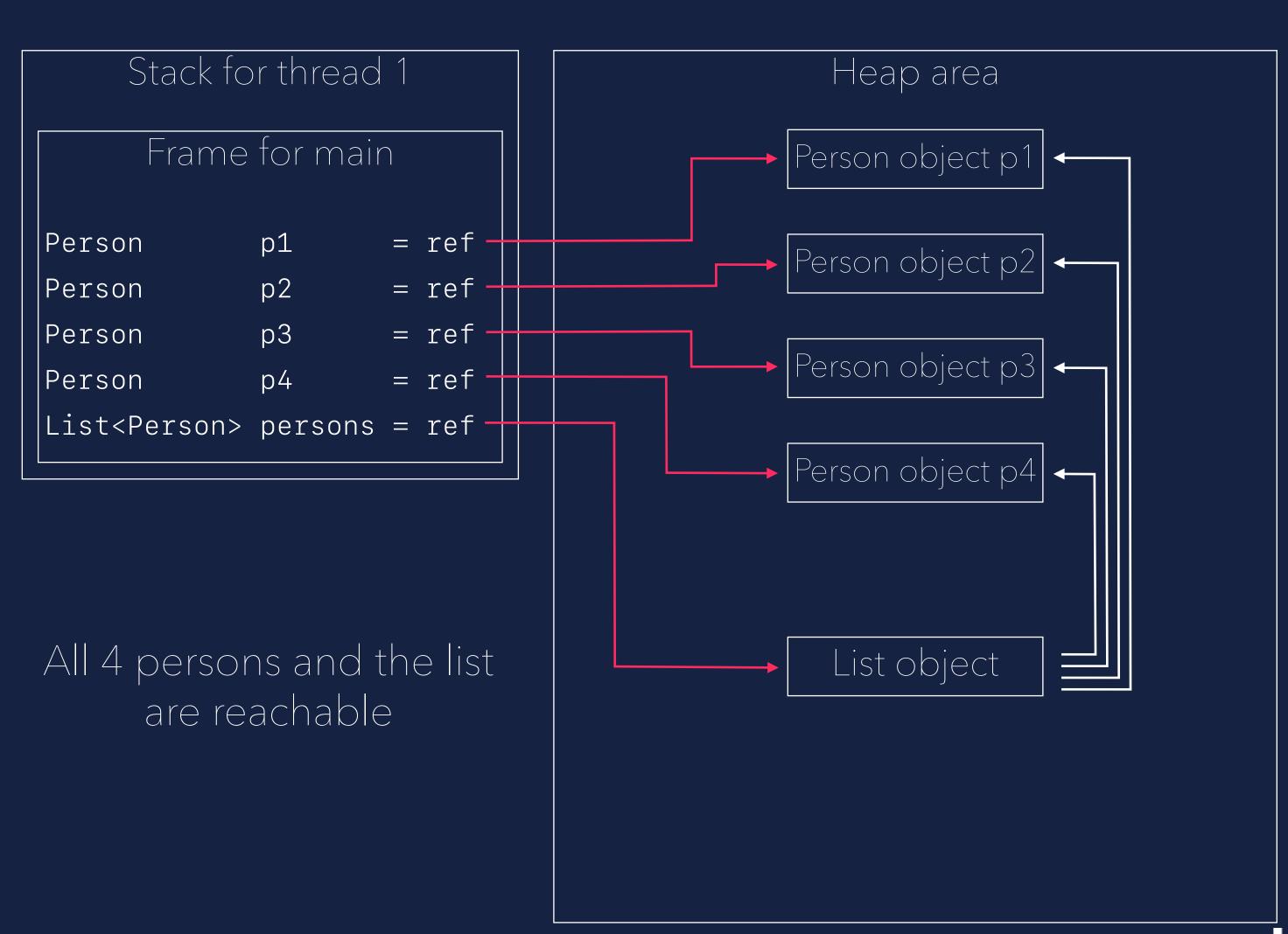
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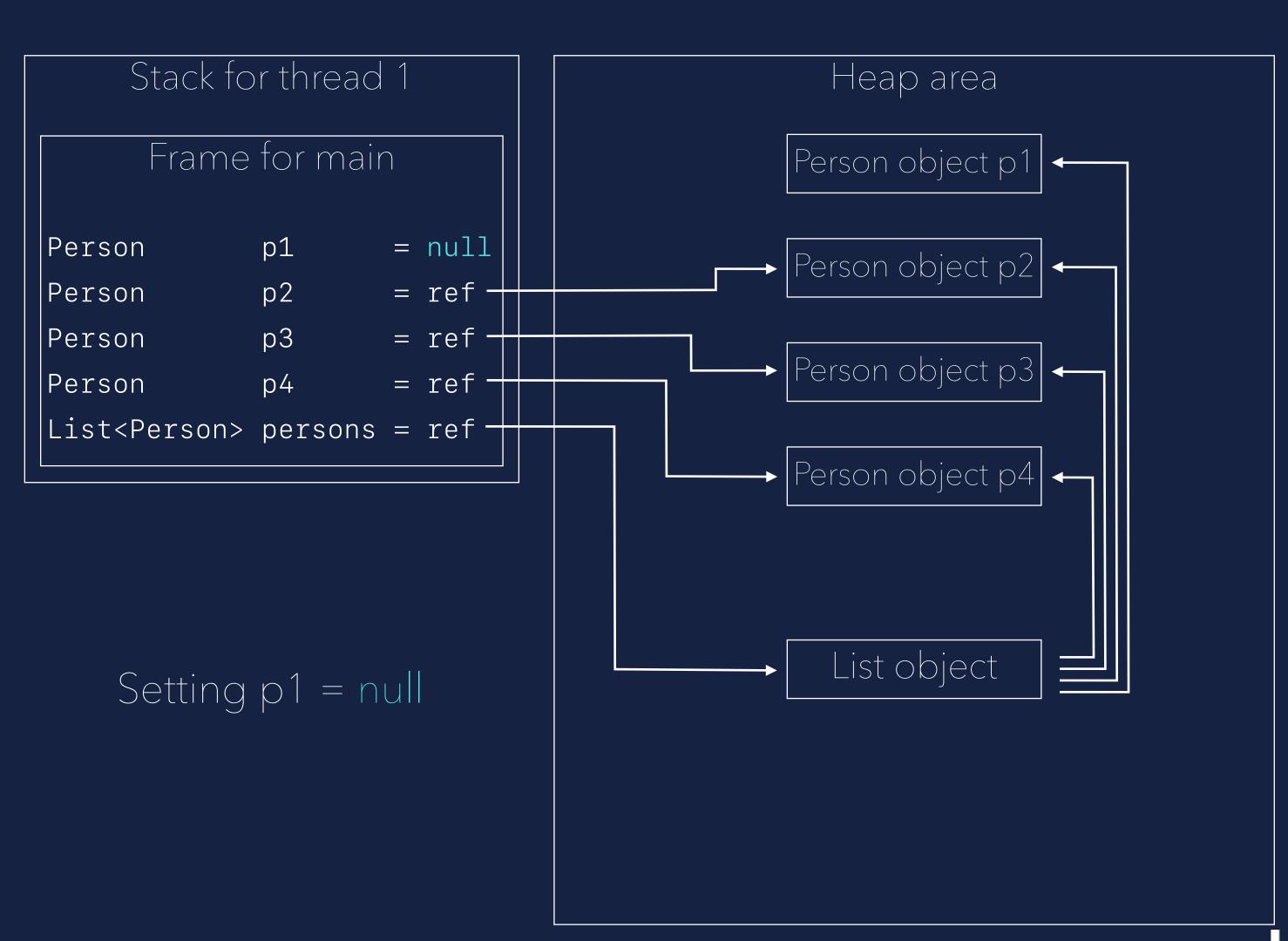
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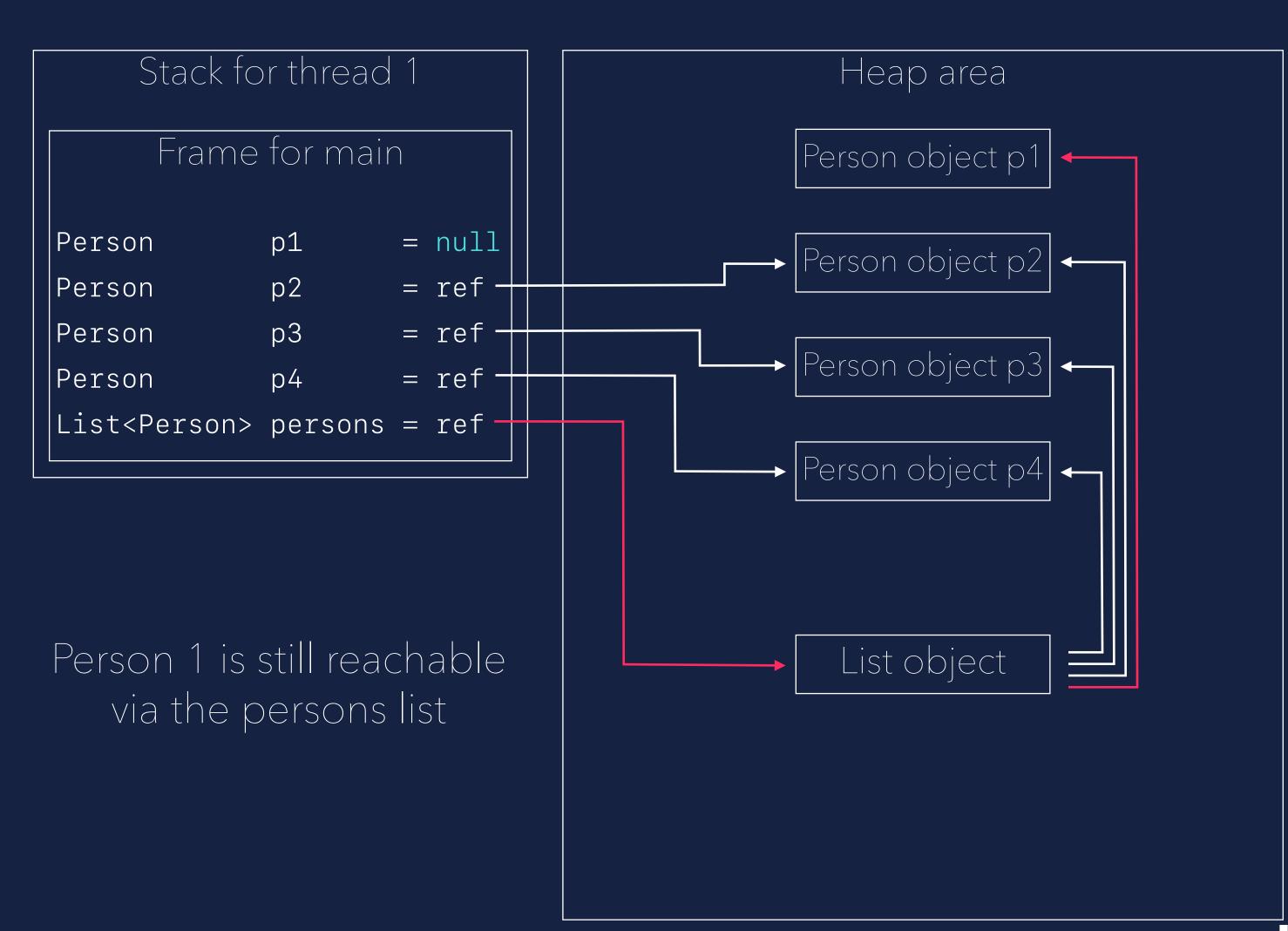
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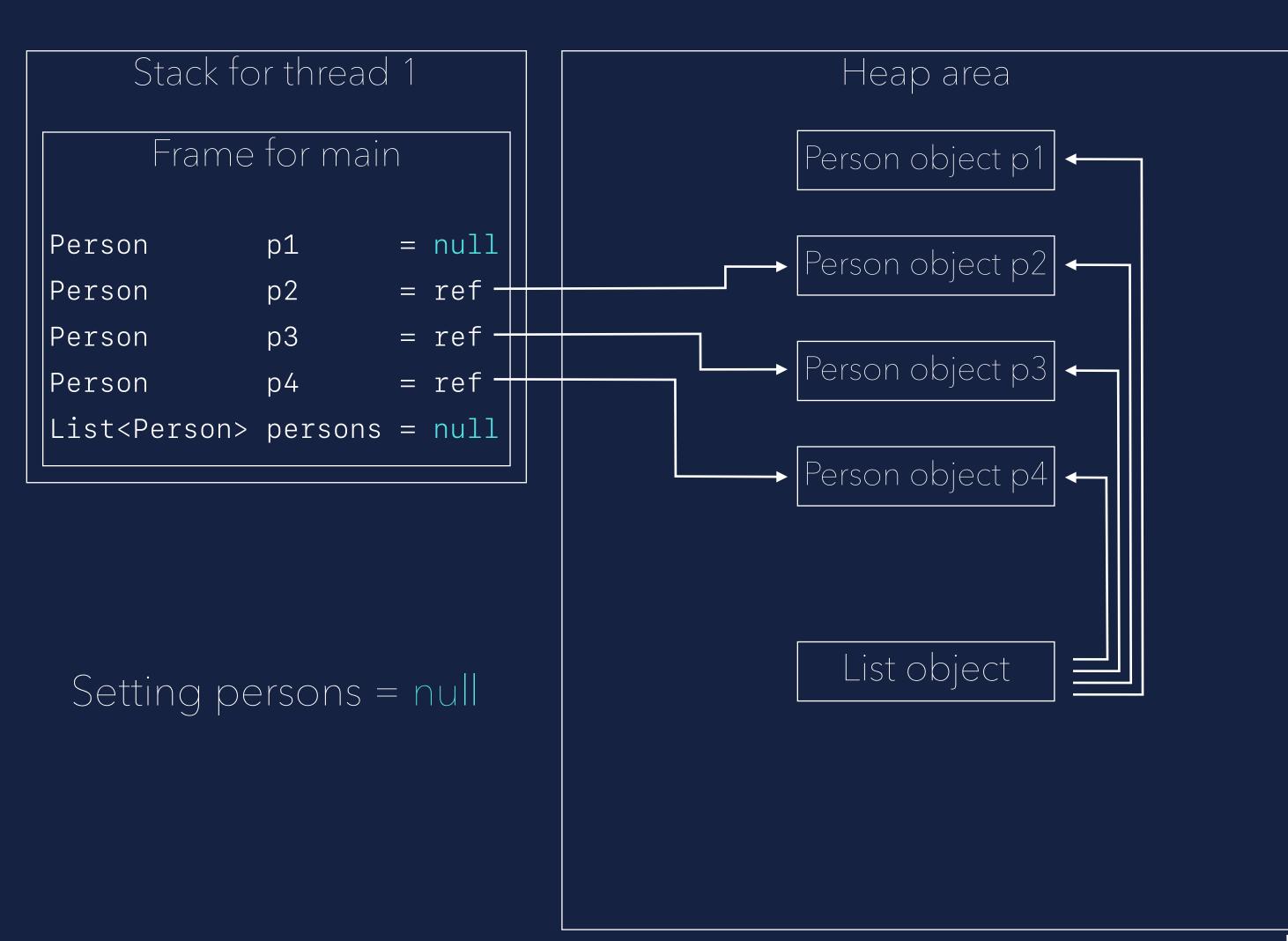
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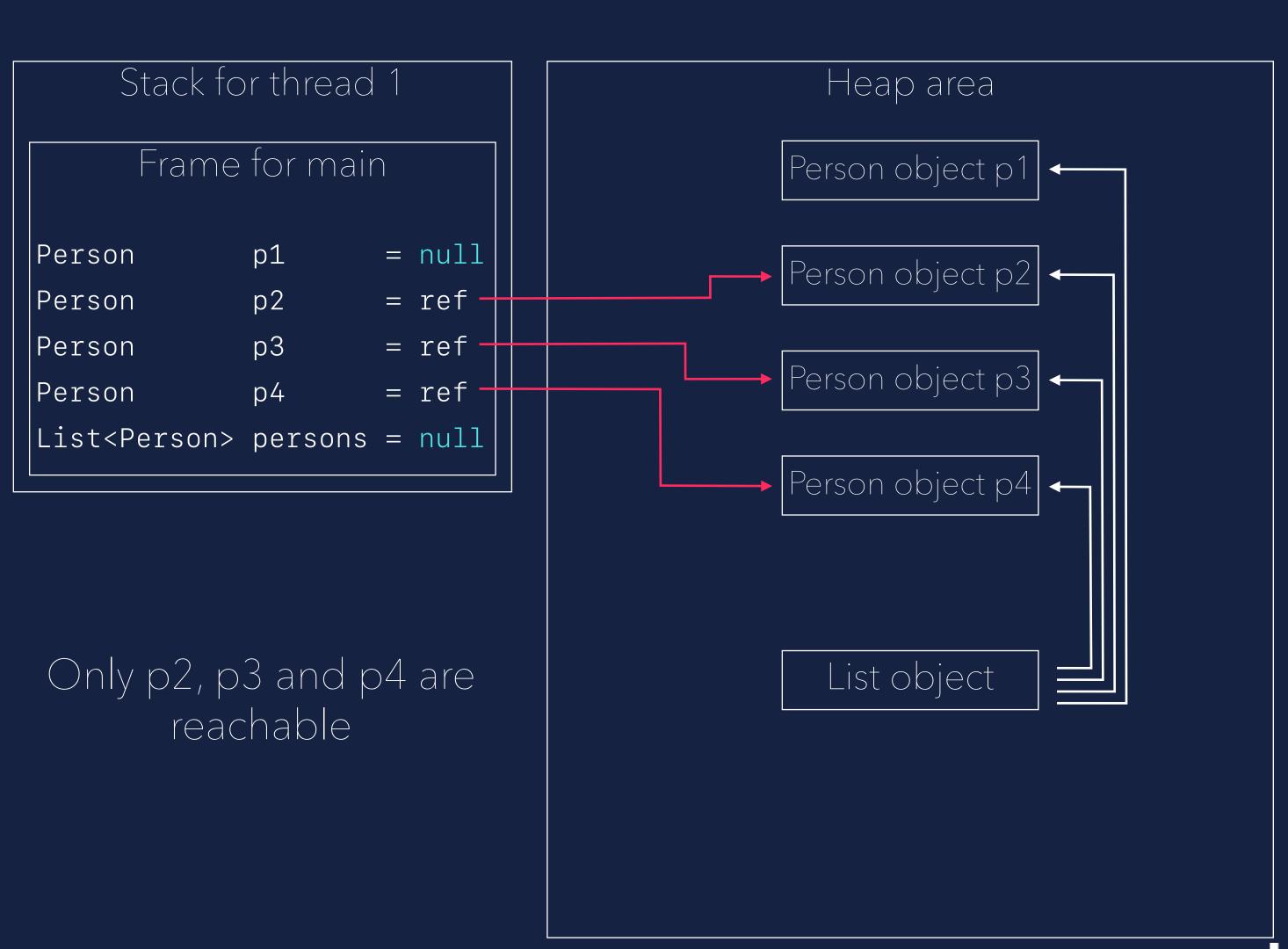
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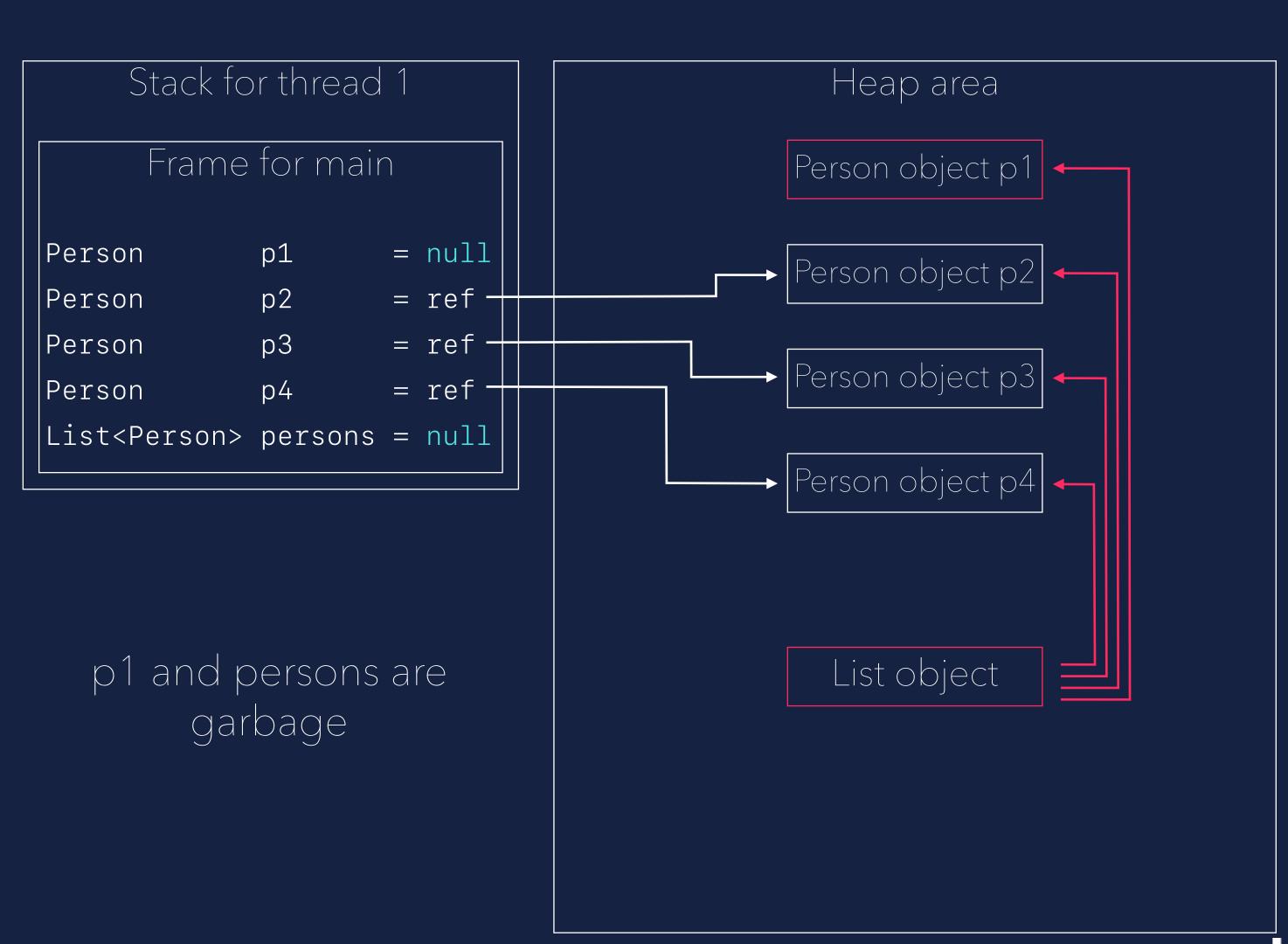
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HOW TOGET RIDORITARIOSER

What is it...

Form of automatic memory management

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- Identifies and reclaims no longer used memory

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- Ensures efficient memory utilisation

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- Form of automatic memory management
- Identifies and reclaims no longer used memory
- Ensures efficient memory utilisation
- Frees user from managing the memory manually

Conservative and Precise

Conservative does not fully identify all object references (assumes any bit pattern in memory could be a reference, lead to more false positives)

Conservative and Precise

- Conservative does not fully identify all object references (assumes any bit pattern in memory could be a reference, lead to more false positives)
- Precise correctly identifies all references in an object (needed in order to move objects)

(precise collectors)

Phases (precise collectors)

Tracing
Identify live objects on the heap

Phases (precise collectors)

- Tracing
 Identify live objects on the heap
- Freeing
 Reclaim resources held by dead objects

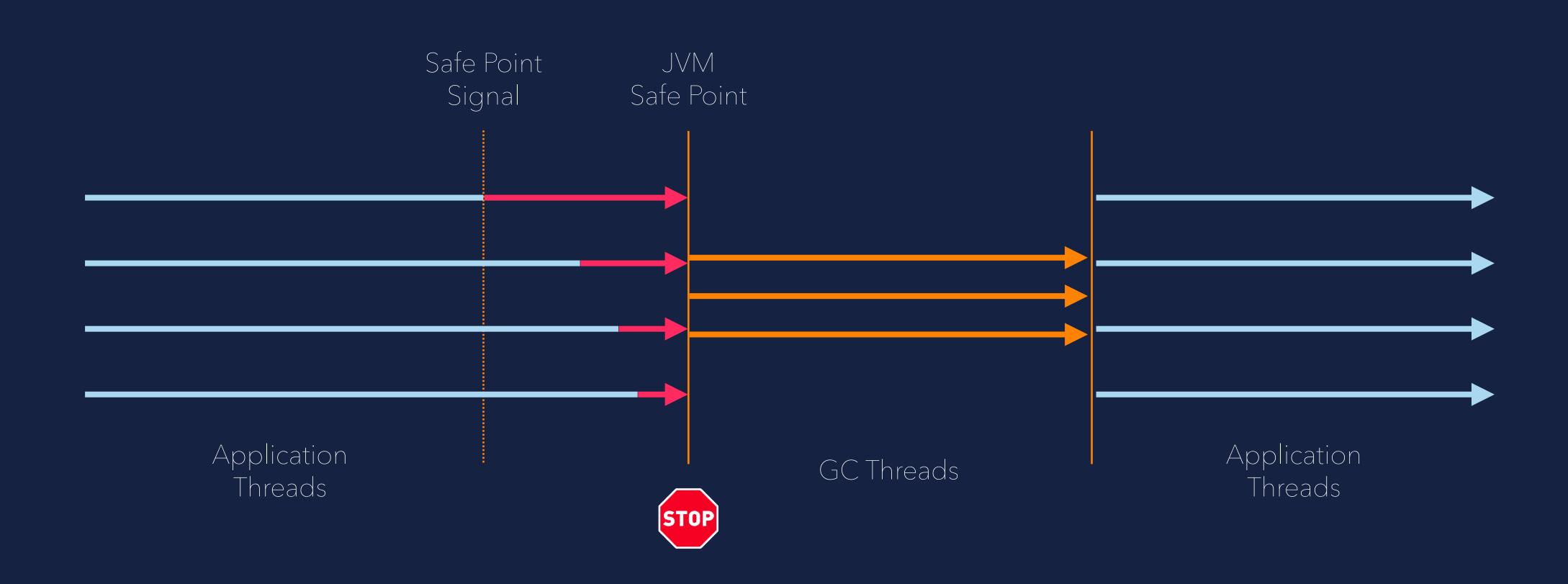
Phases (precise collectors)

- Tracing
 Identify live objects on the heaps
- Freeing
 Reclaim resources held by dead objects
- Compaction
 Periodically relocate live objects

STOPPING THE WAR TO THE RESERVE THE RESERV

STOPPING THE WORLD

Halt of all application threads



COLLECTORS

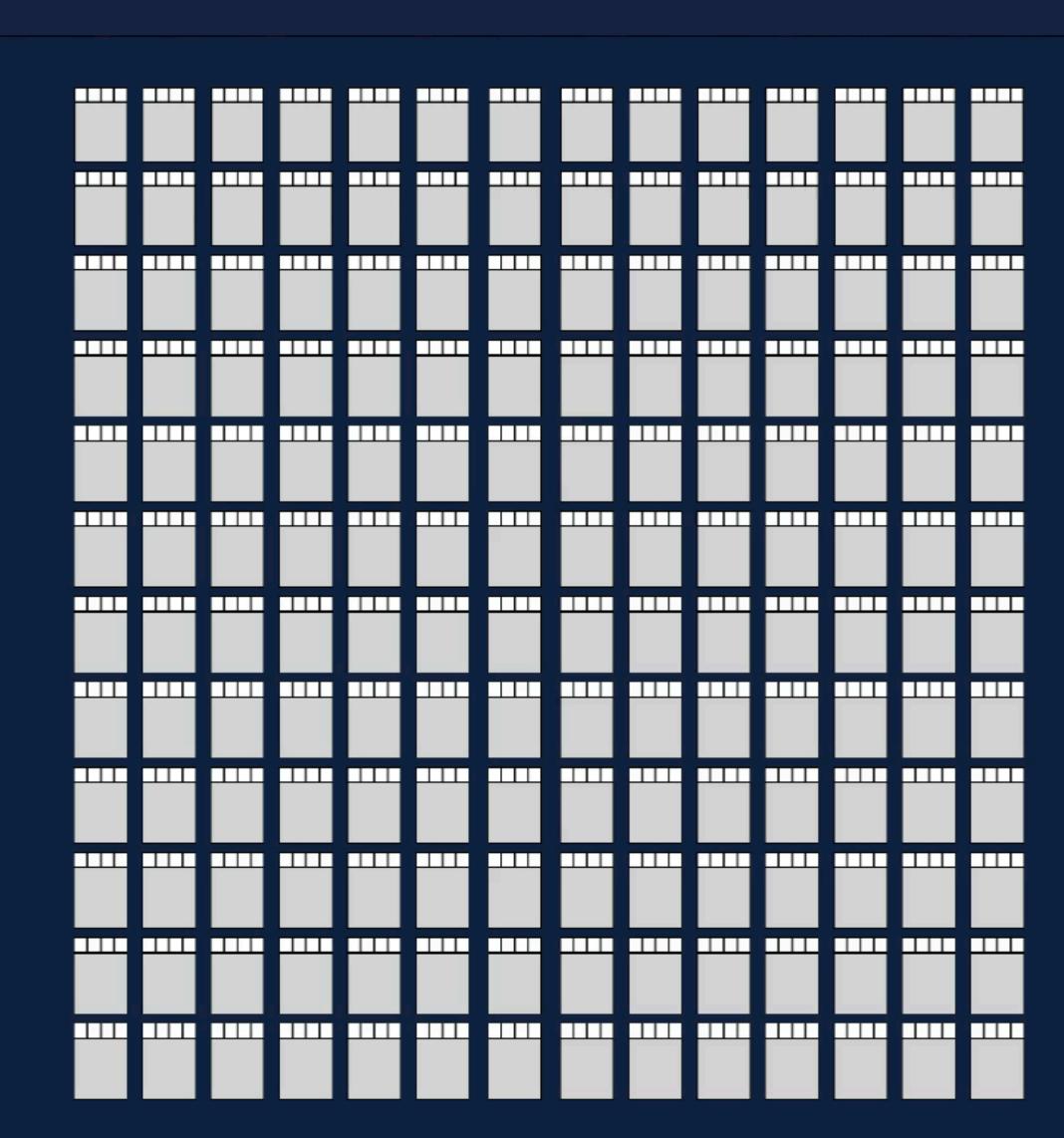
NON MOVING COLLECTOR

Mark & Sweep

NON MOVING COLLECTOR

Demo

- 1. Mutator allocates cells in Heap
- 2. Heap is out of memory -> GC
- 3. Mark all live cells
- 4. Free all dead cells
- 5. Unmark all live cells
- 6. Resume Mutator





Referenced Cell

Dereferenced Cell

Marked Cell

Referenced Cell (survived 1 GC)

--ragmentation

MOVING COLLECTORS

Compacting Collector & Copy Collector

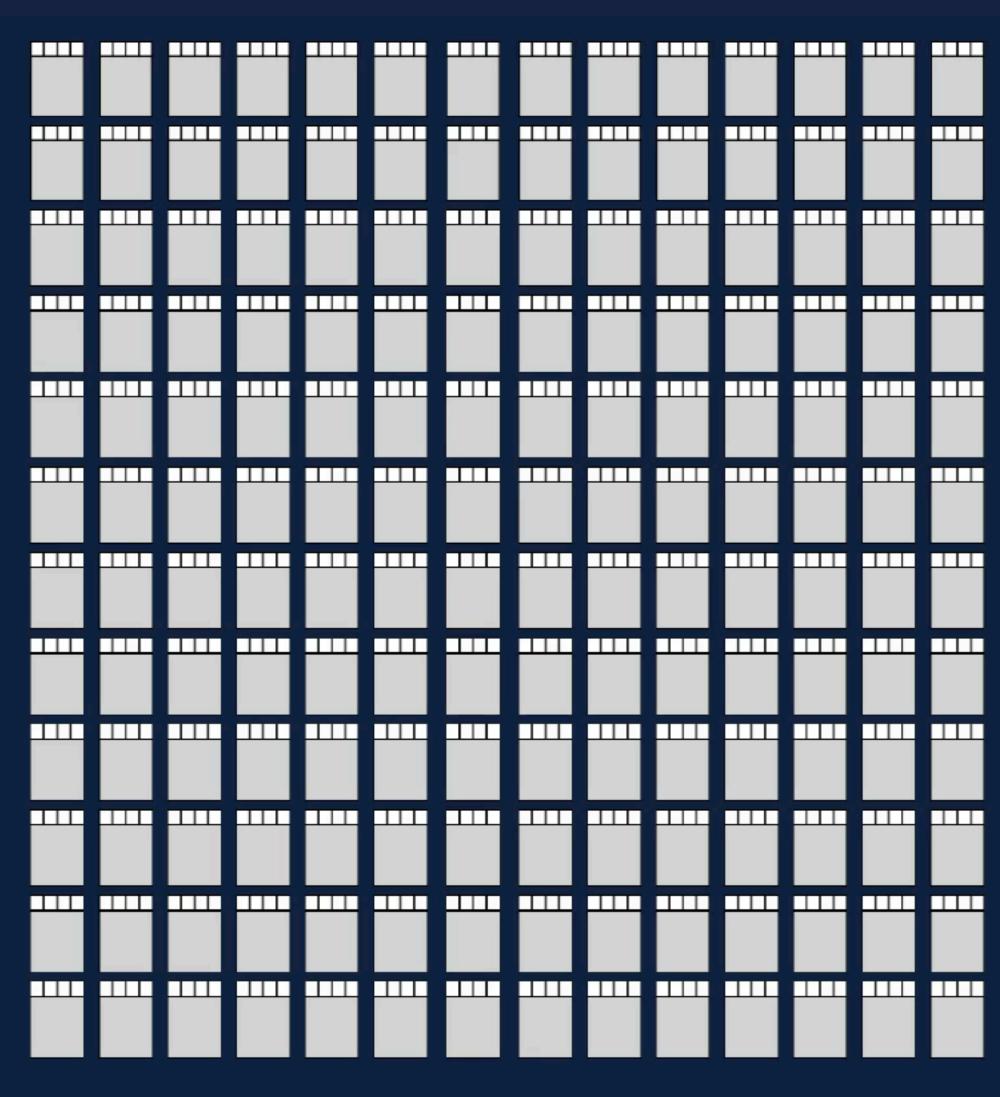
COMPACTING COLLECTOR

Mark & Compact

COMPACTING COLLECTOR

Demo

- 1. Mutator allocates cells in Heap
- 2. Heap is out of memory -> GC
- 3. Mark all live cells
- 4. Free <u>all dead cells</u>
- 5. Unmark all live cells
- 6. Compact all live cells
- 7. Resume Mutator



Free Cell

Referenced Cell

Dereferenced Cell

Marked Cell

Referenced Cell (survived 1 GC)

Easy allocation through "Bump the pointer" technique





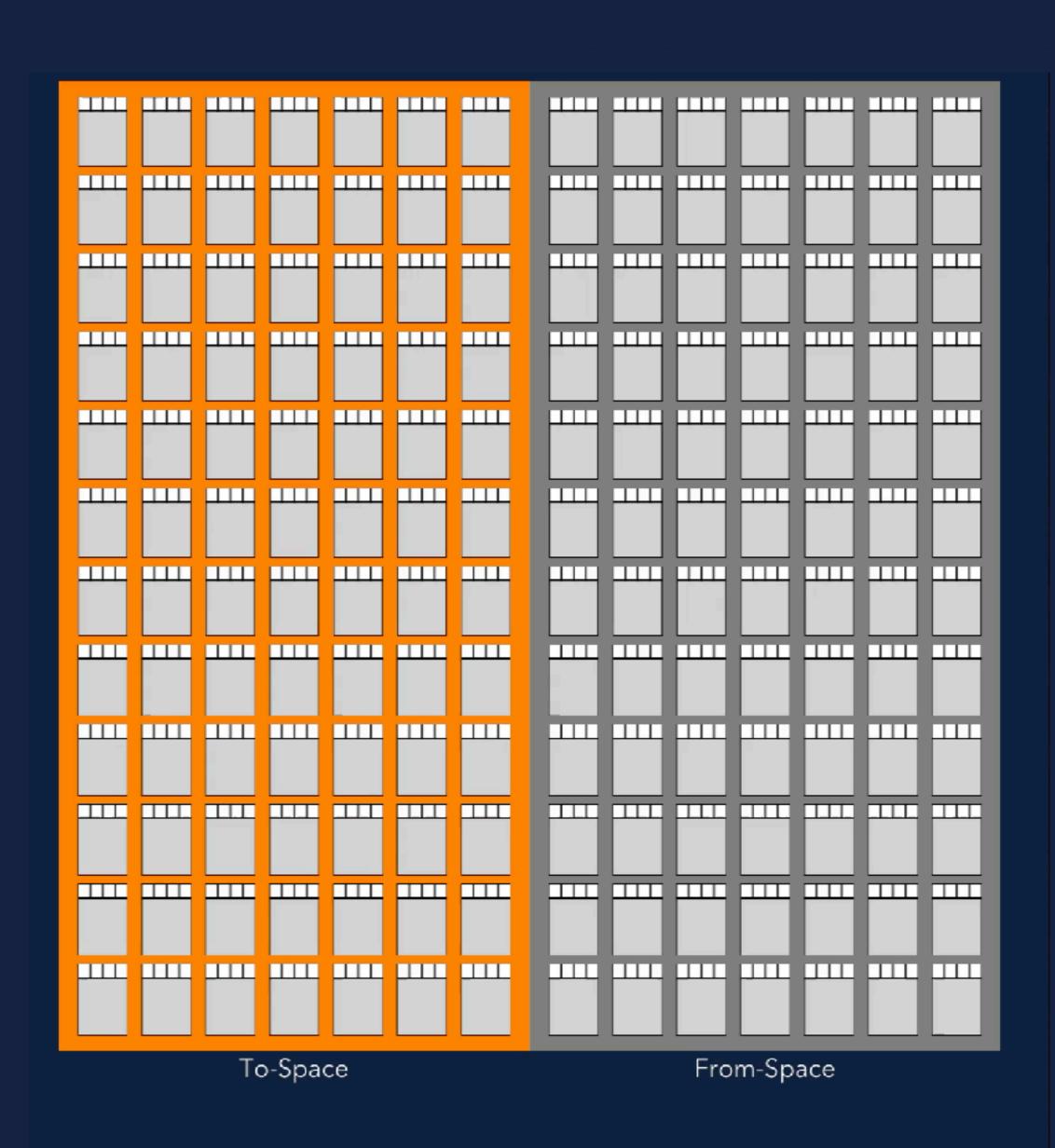
COLLECTOR

Mark & Copy

COPY COLLECTOR

Demo

- 1. Allocating in ToSpace
- 2. ToSpace is out of memory -> GC
- 3. Toggle To- and From Space
- 4. Mark live cells in FromSpace
- 5. Copy live cells to ToSpace
- 6. Free all cells in FromSpace
- 7. Resume Mutator



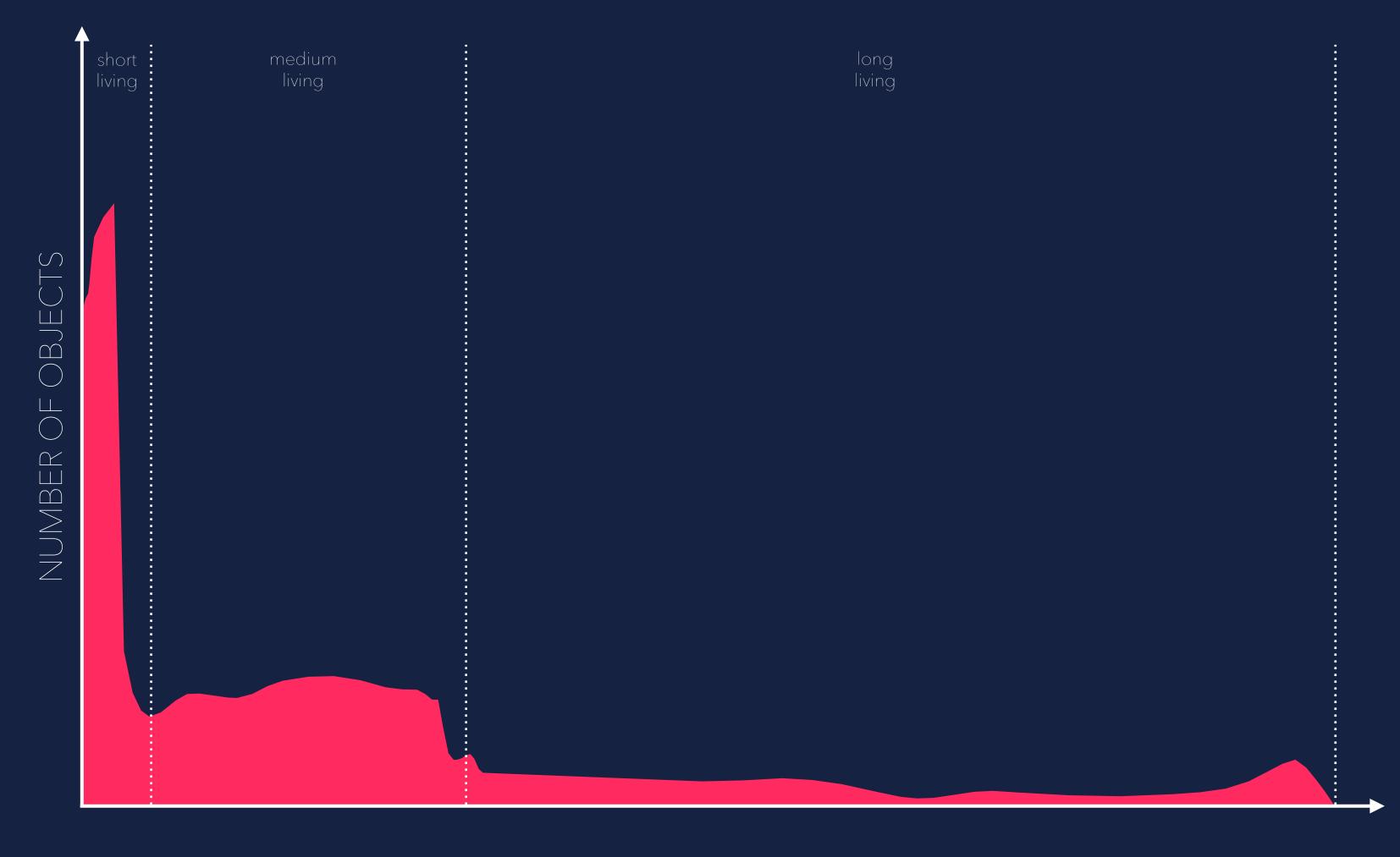
Free Cell
Referenced Cell
Dereferenced Cell
Marked Cell
Referenced Cell (survived 1 GC)
To Space

Long living objects and twice as much memory

From Space

Generational Mark & Compact

Weak Generational Hypothesis (Most objects die young)

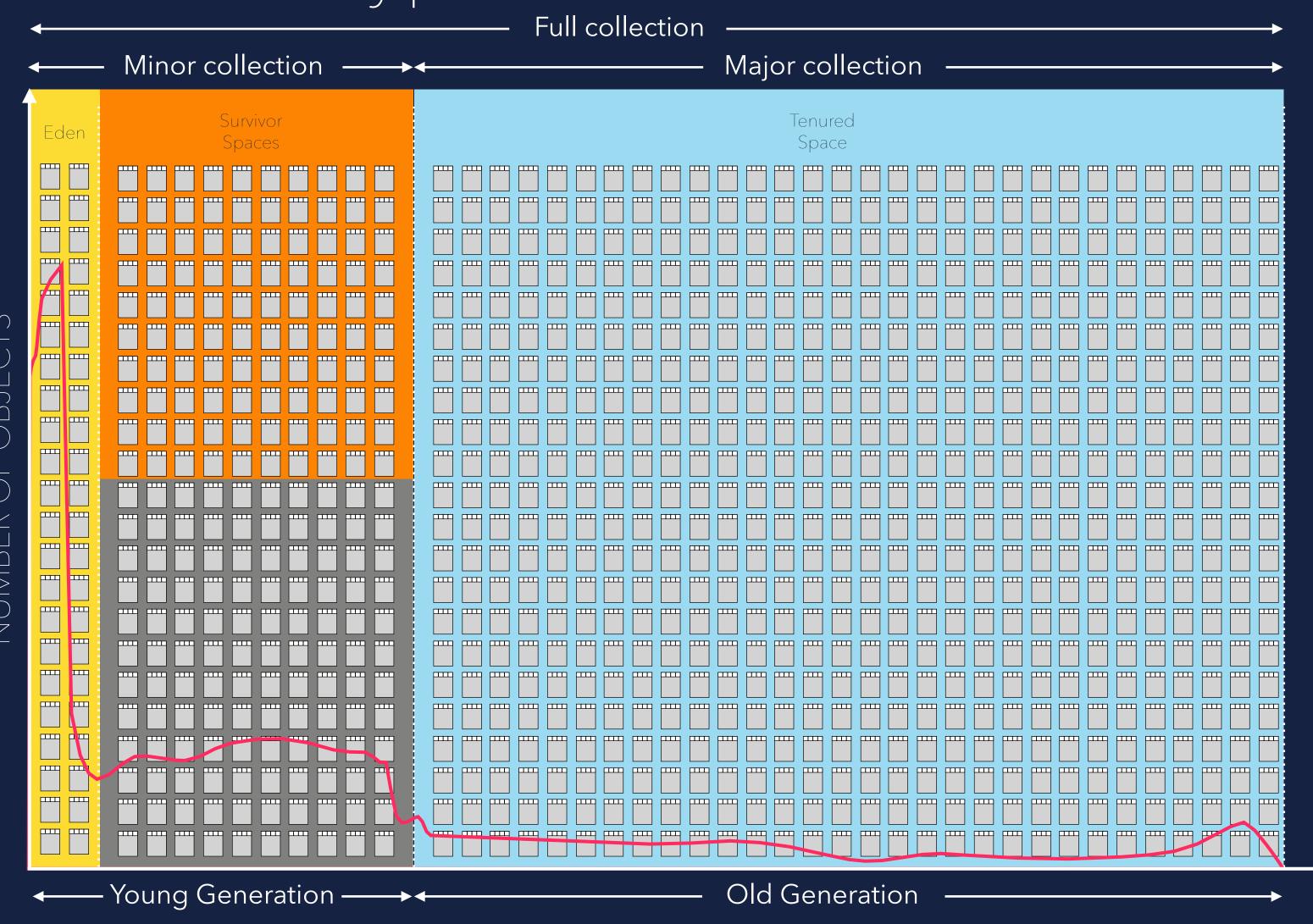


Weak Generational Hypothesis (Most objects die young)

Eden space for short living objects (can be collected quickly)

Survivor spaces for medium living objects

Tenured space for long living objects



Demo

- 1. Mutator allocates cells in Eden
- 2. Eden is out of memory -> GC
- 3. Toggle To- and From Space
- 4. Copy all live cells from From Space to To Space
- 5. Copy all live cells from Eden to ToSpace
- 6. Promote live cells from FromSpace to TenuredSpace
- 7. Free all dead cells
- 8. Resume Mutator



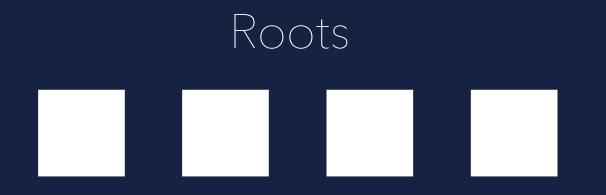
REMEMBERED SET

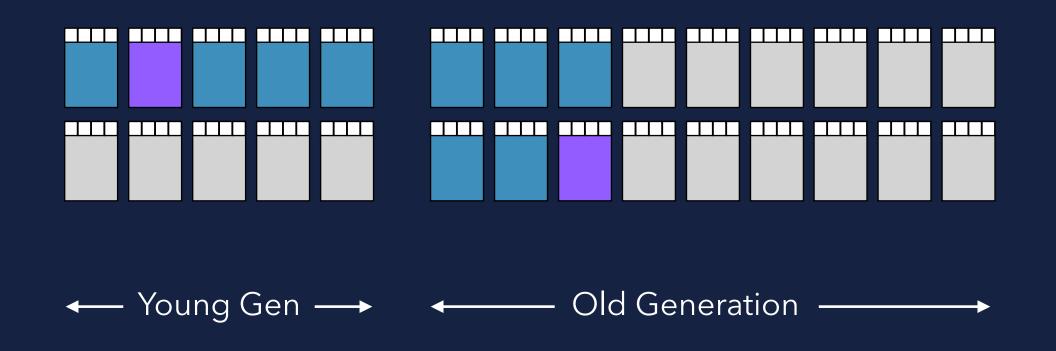
Intergenerational References

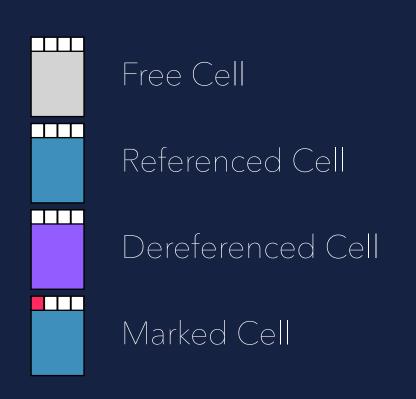
REMEREDSET

How to do a minor collection with references from old to young generation...?

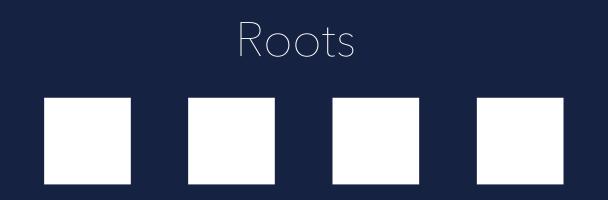
REMEREDSET

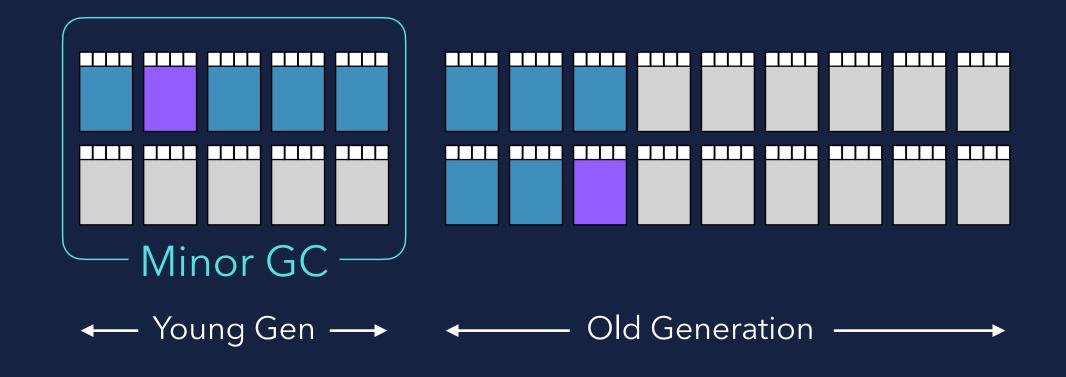


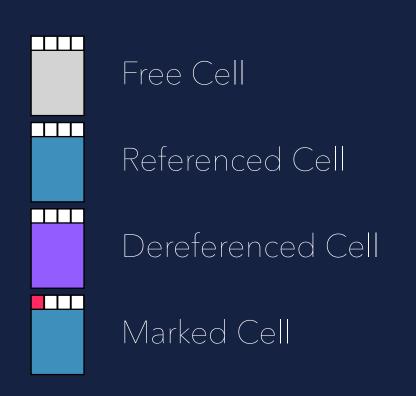




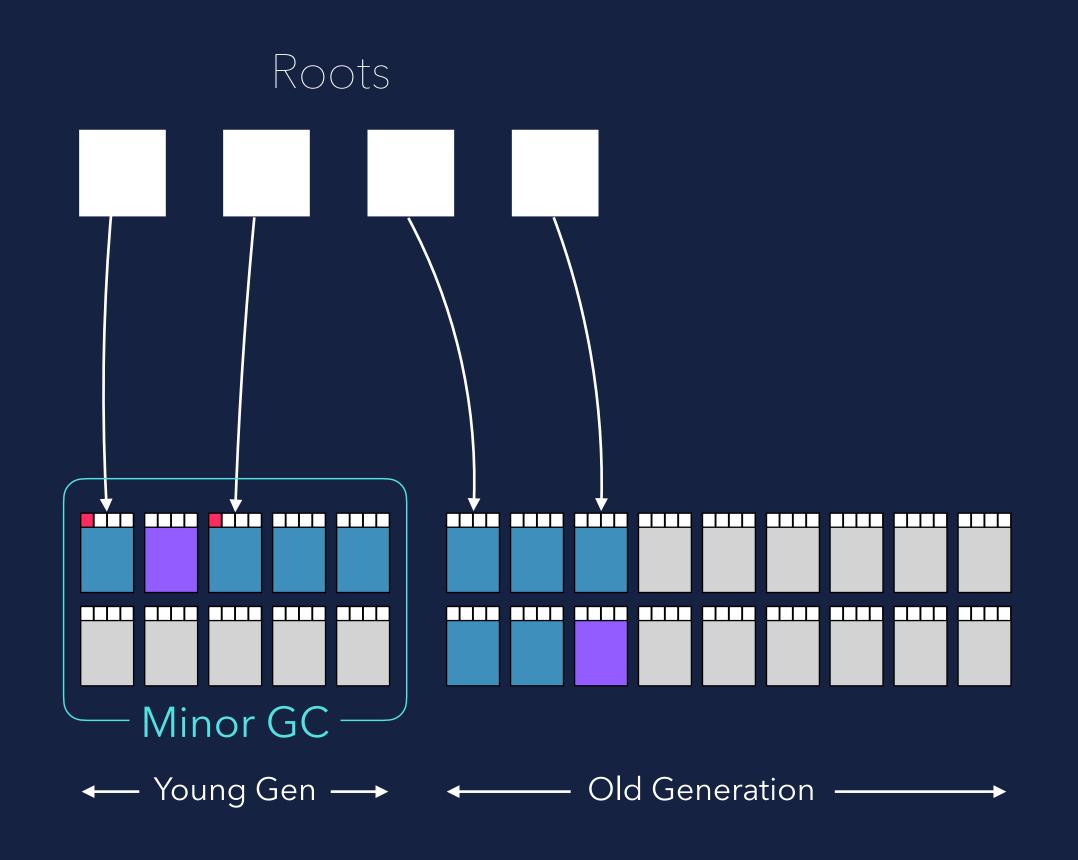
REMENSERED SET

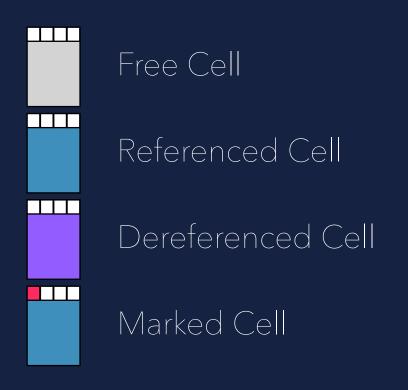




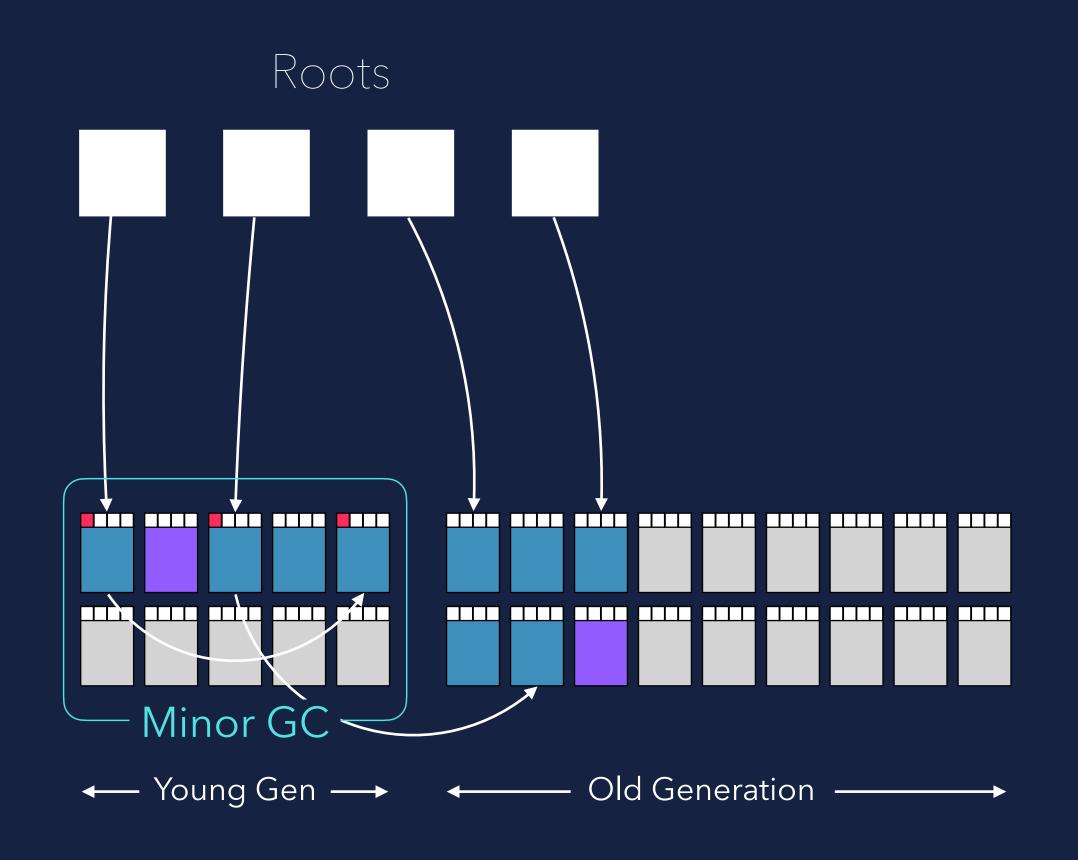


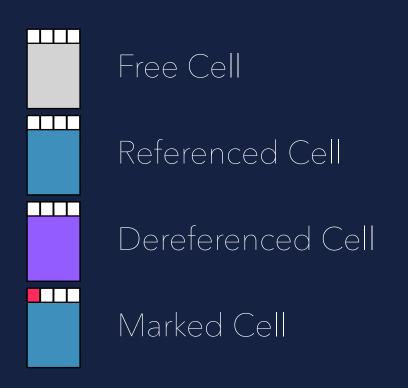
REMEMBEREDSET



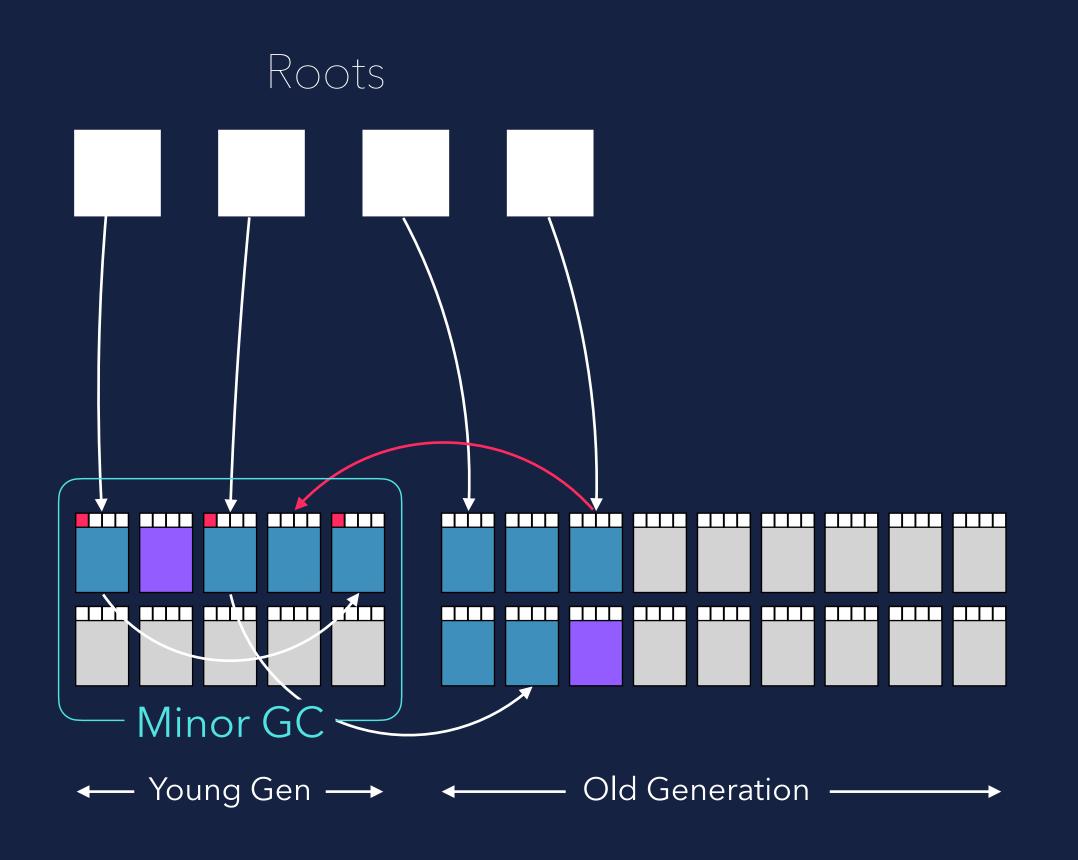


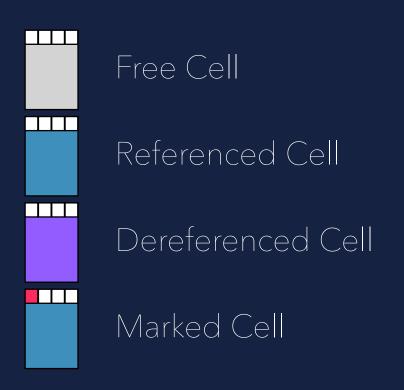
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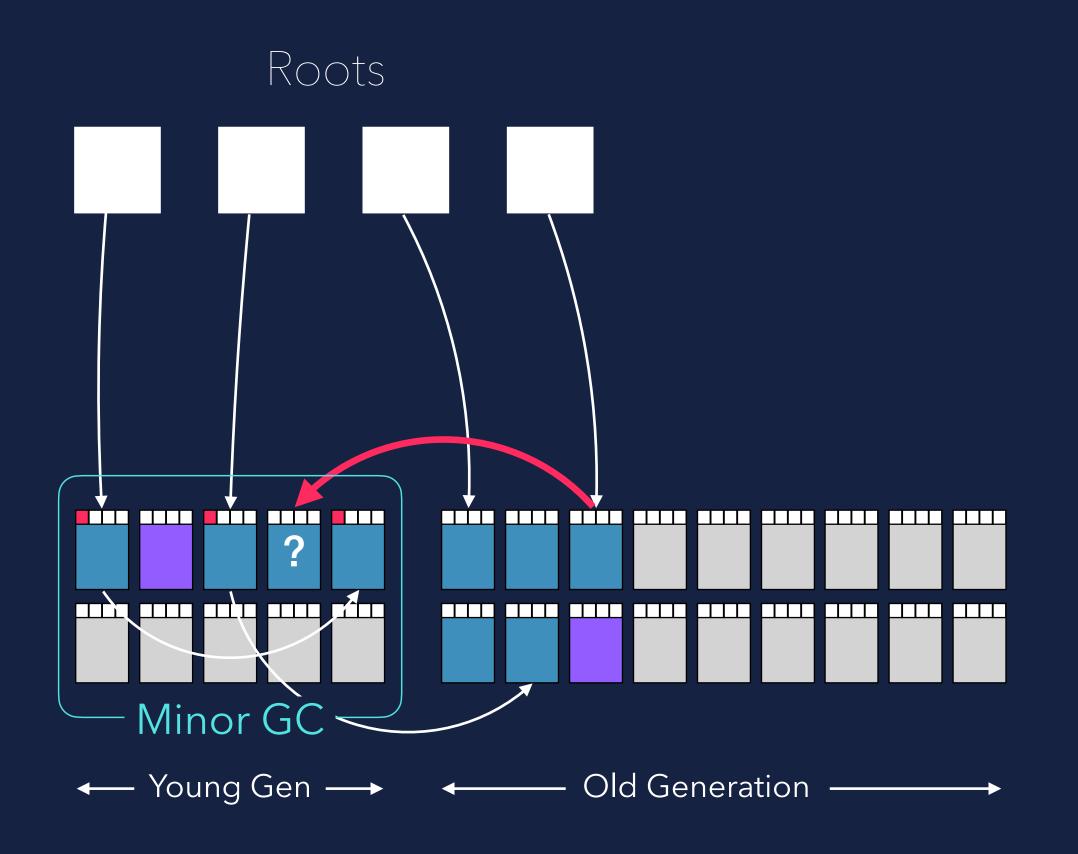


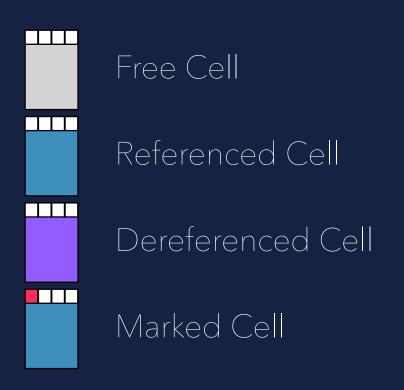
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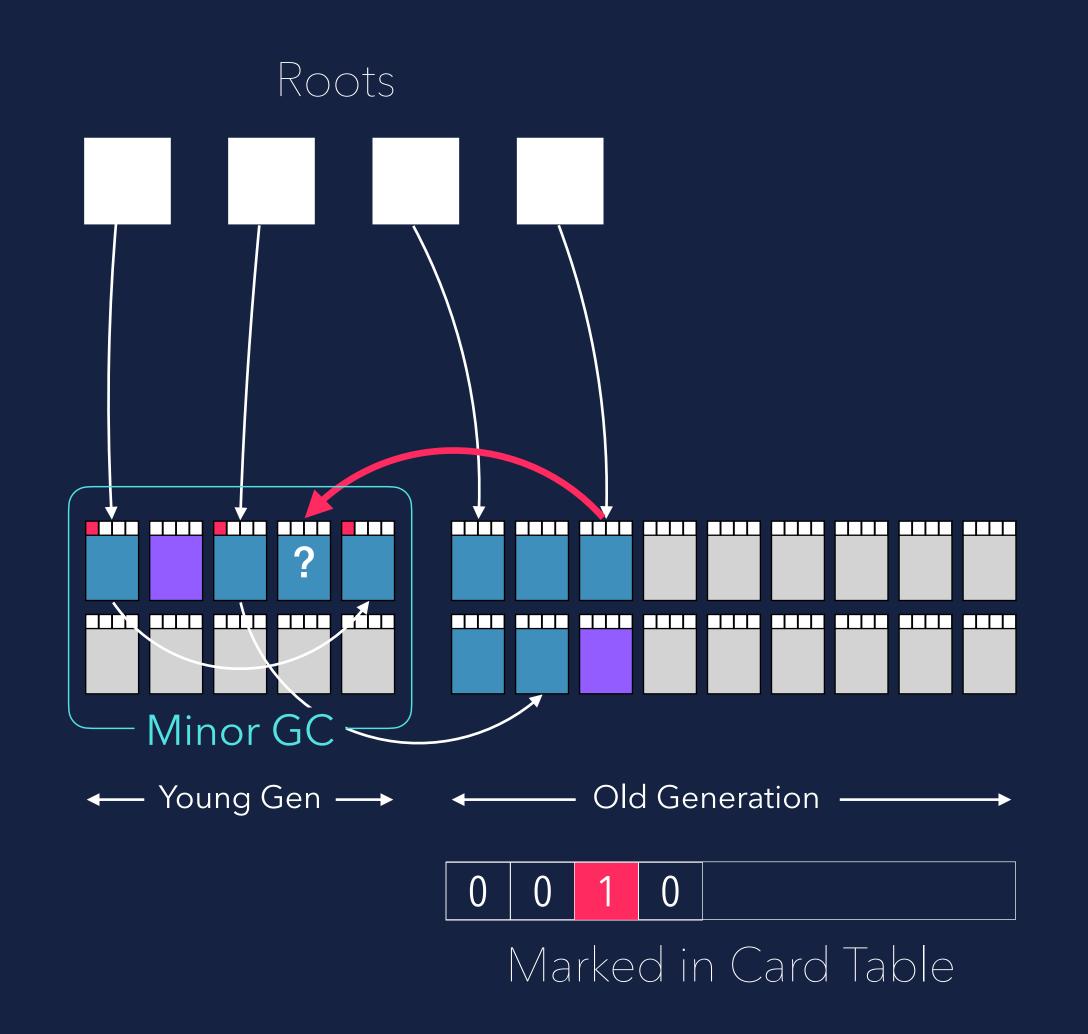


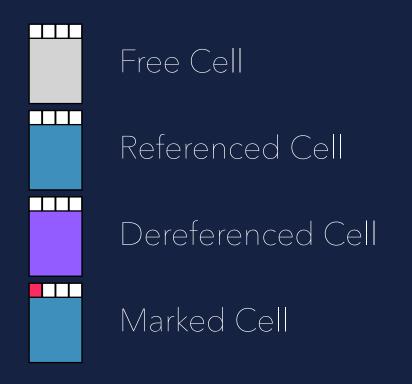
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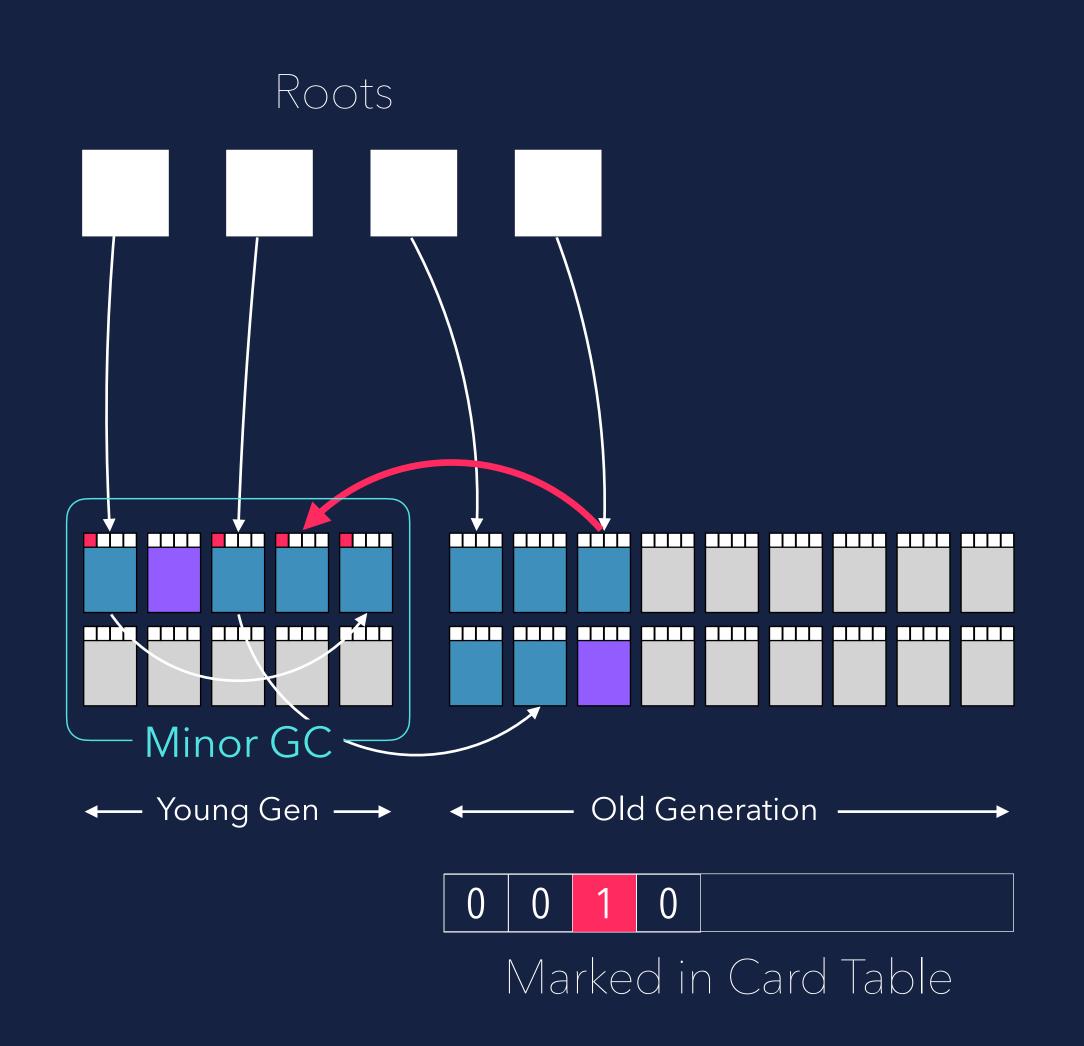
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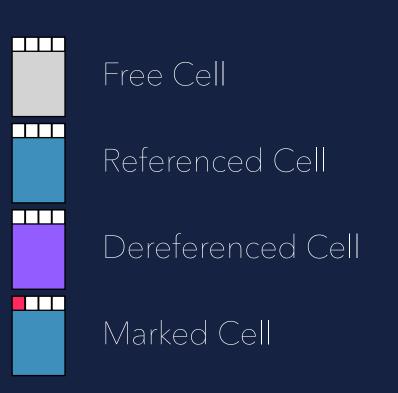




REMEREDSET

Also known as Card Table

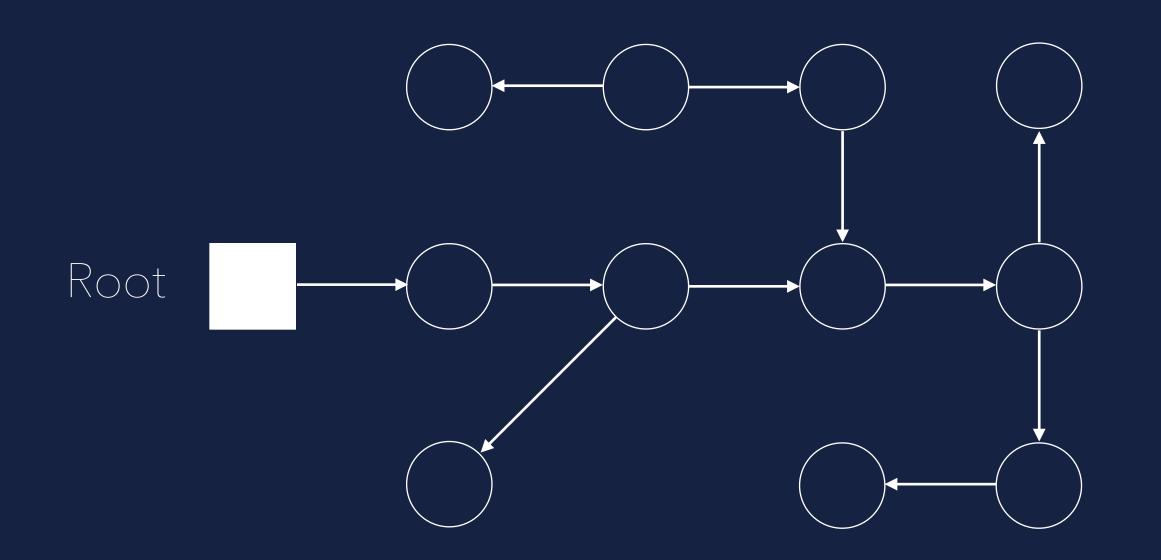




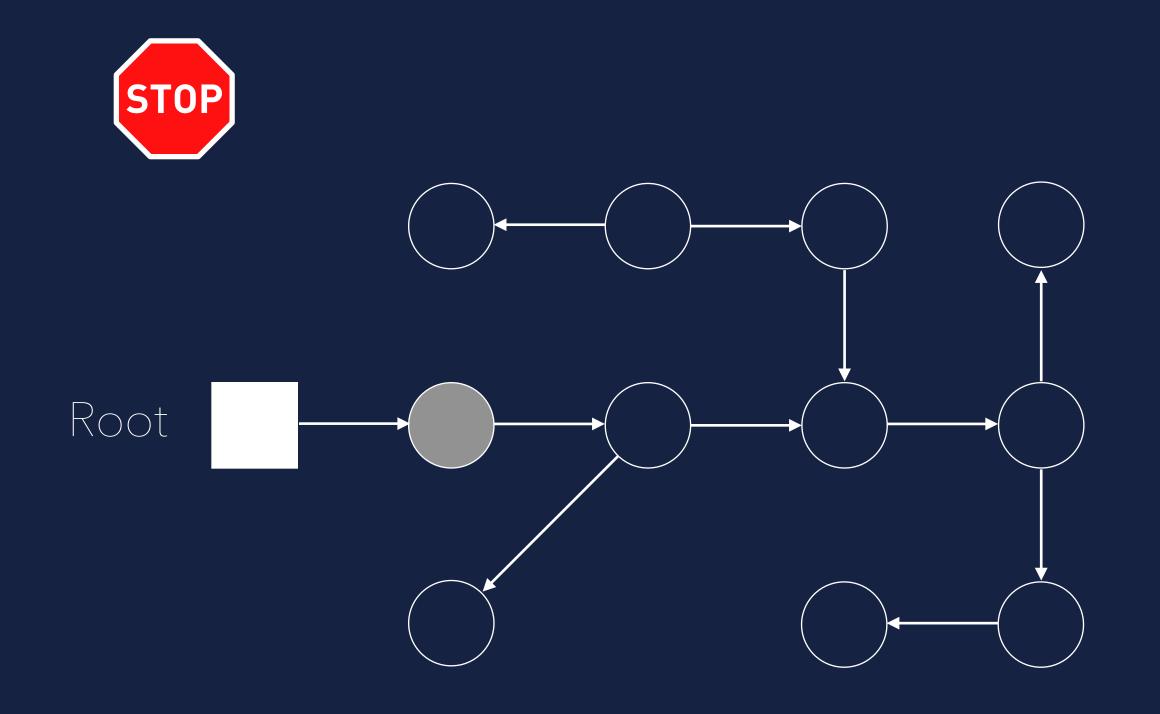
GC looks up Card Table, finds the reference and marks it as live

CONCURRENT COLLECTION?

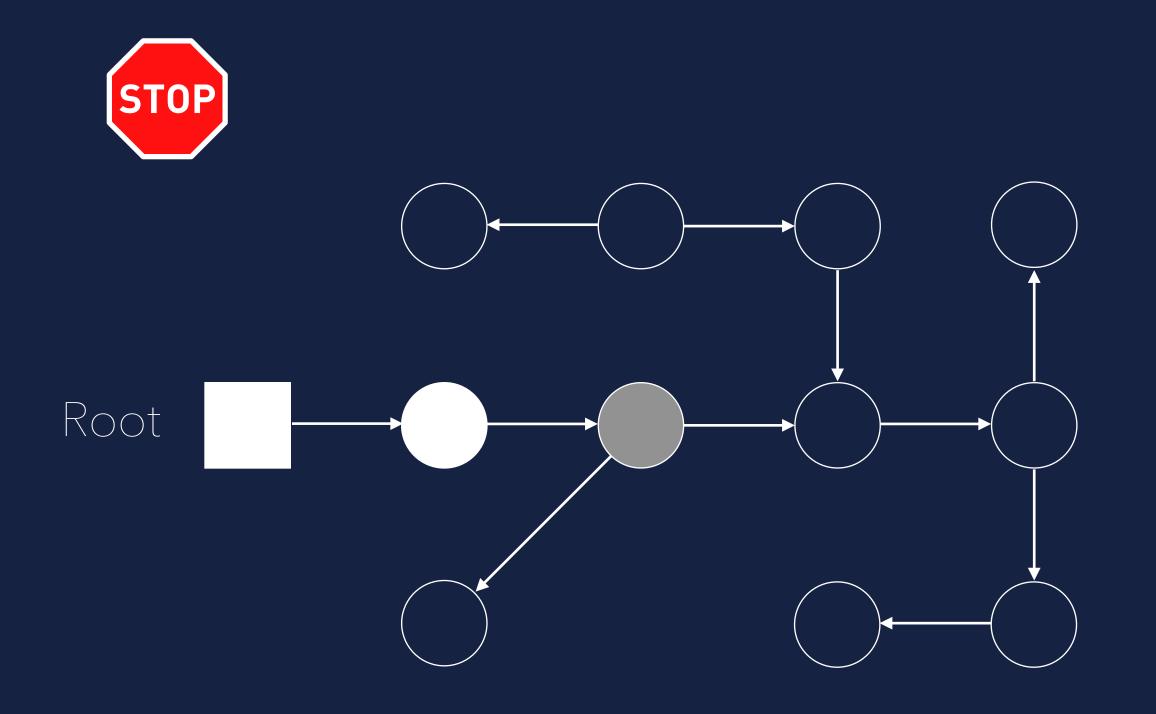
CONCURRENT MARKING



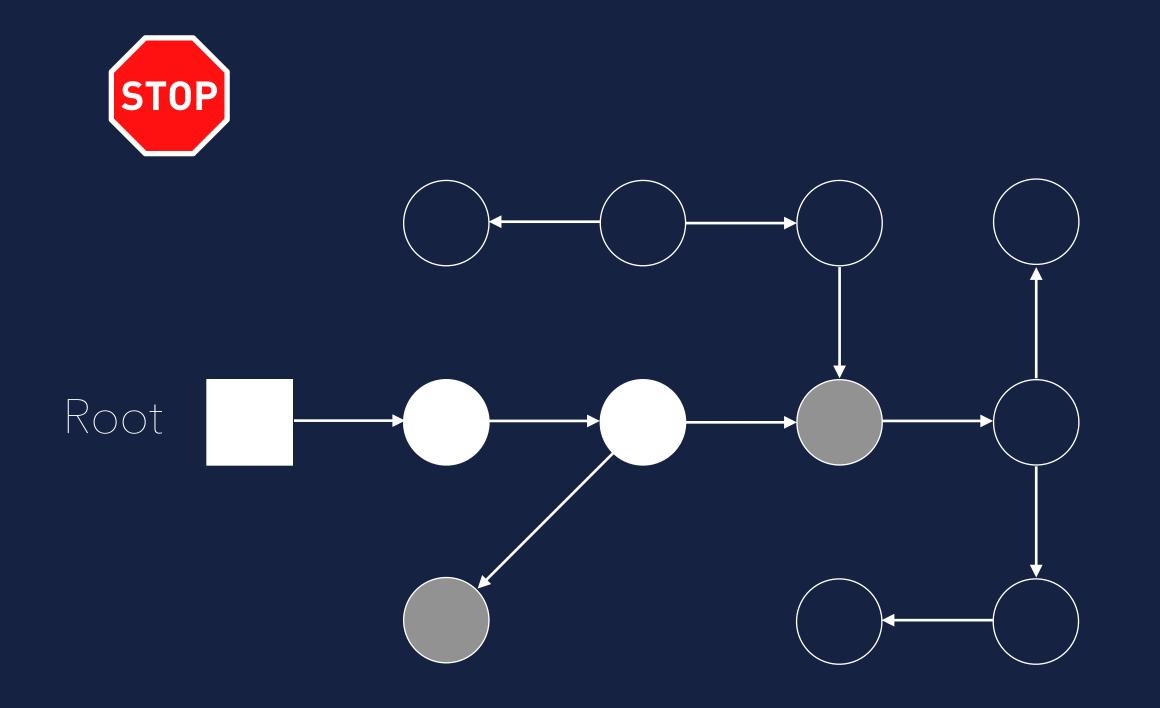




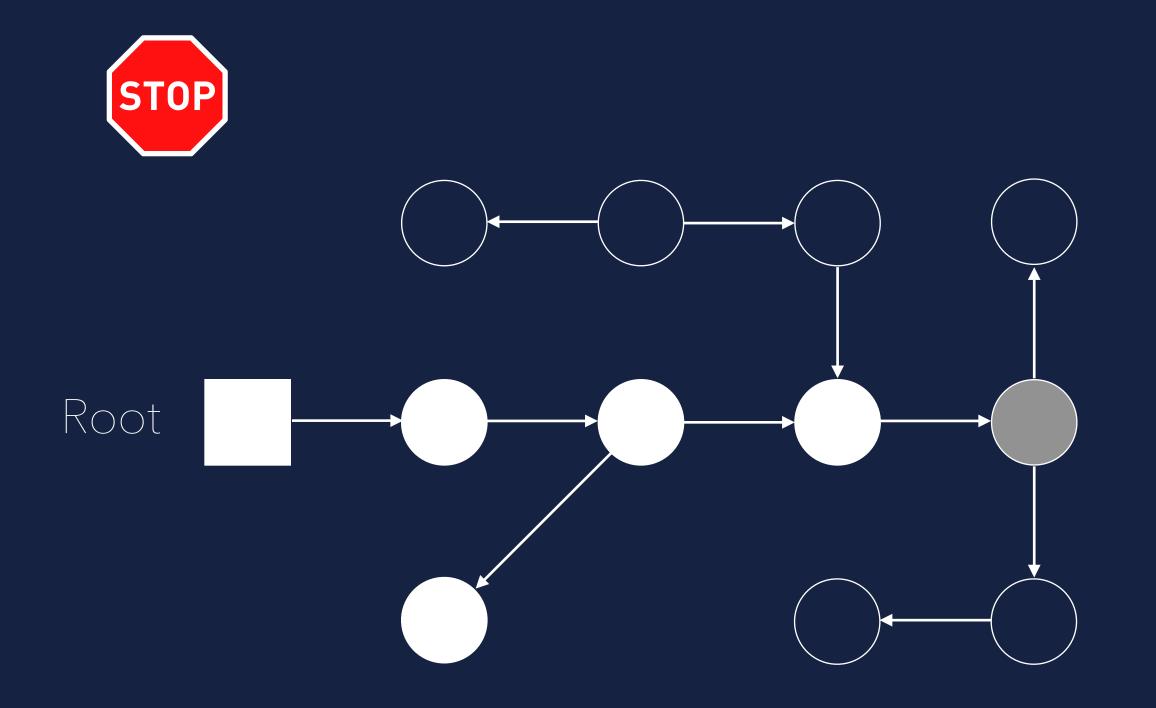




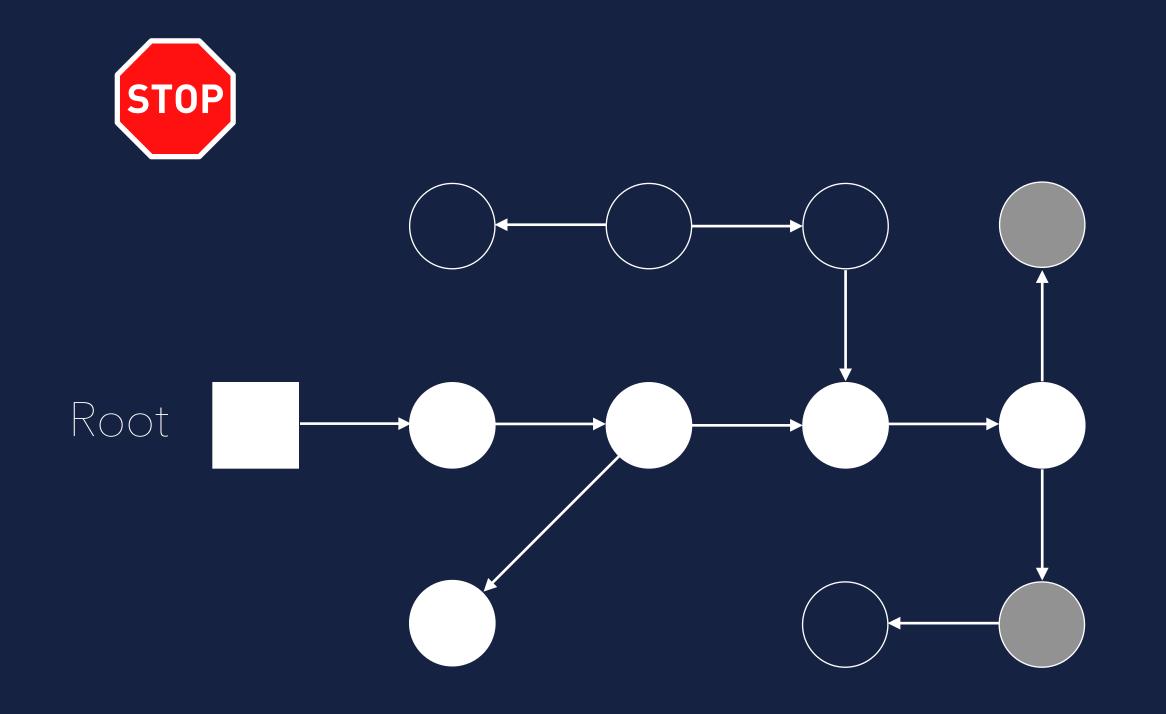




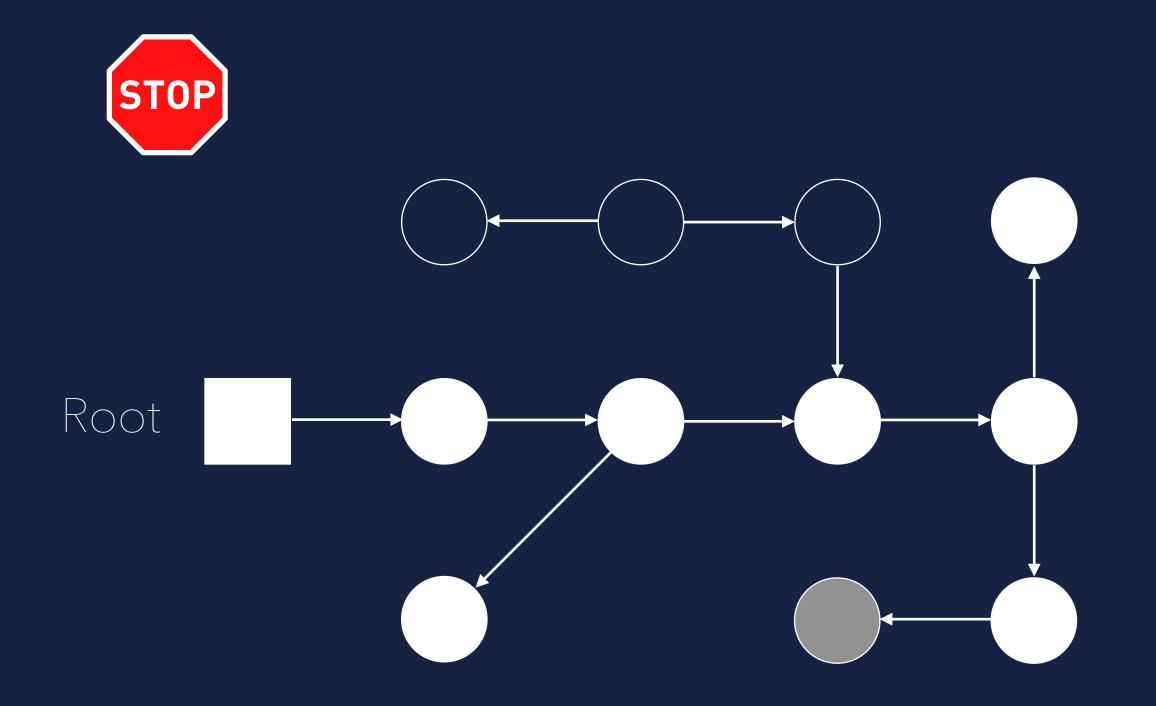






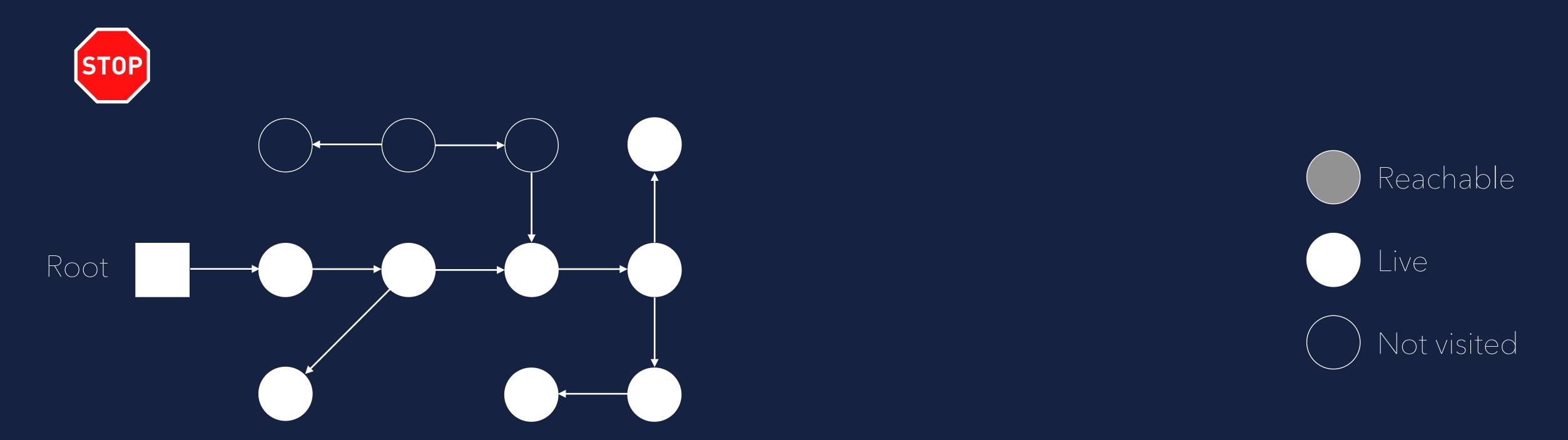






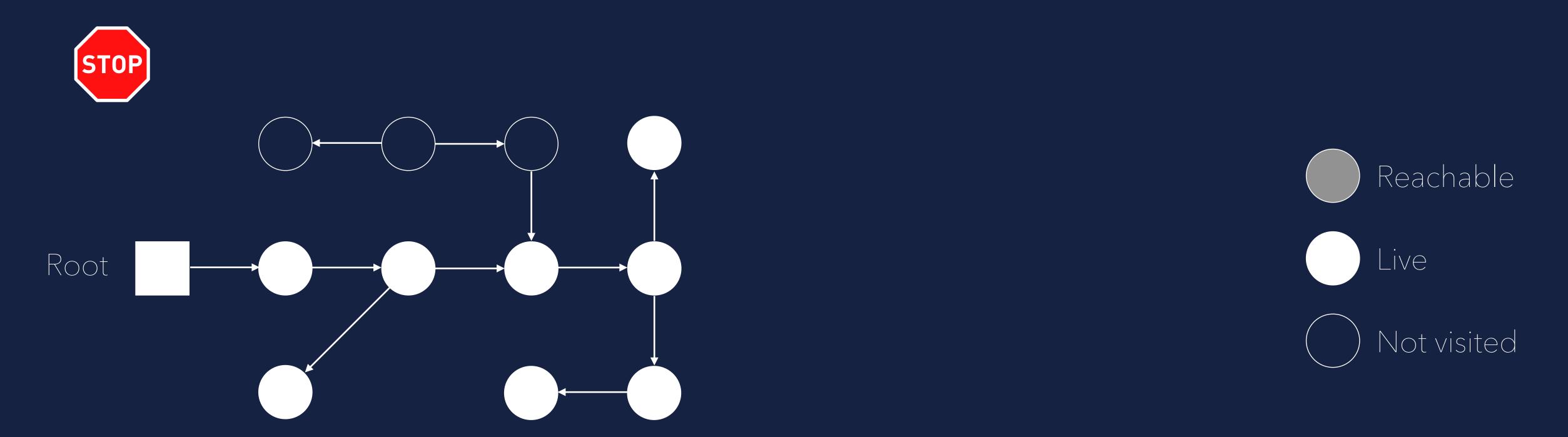


Stop the World marking



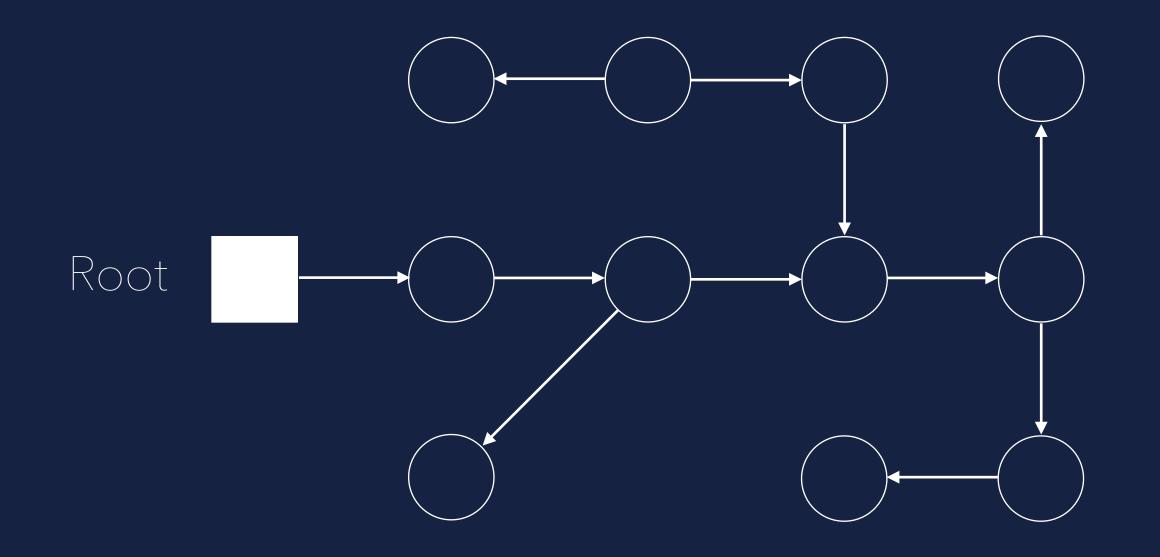
All reachable objects are marked live

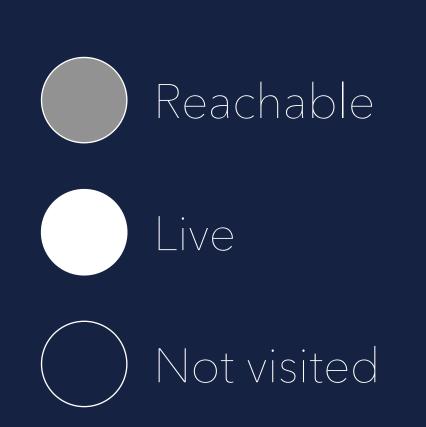
Stop the World marking



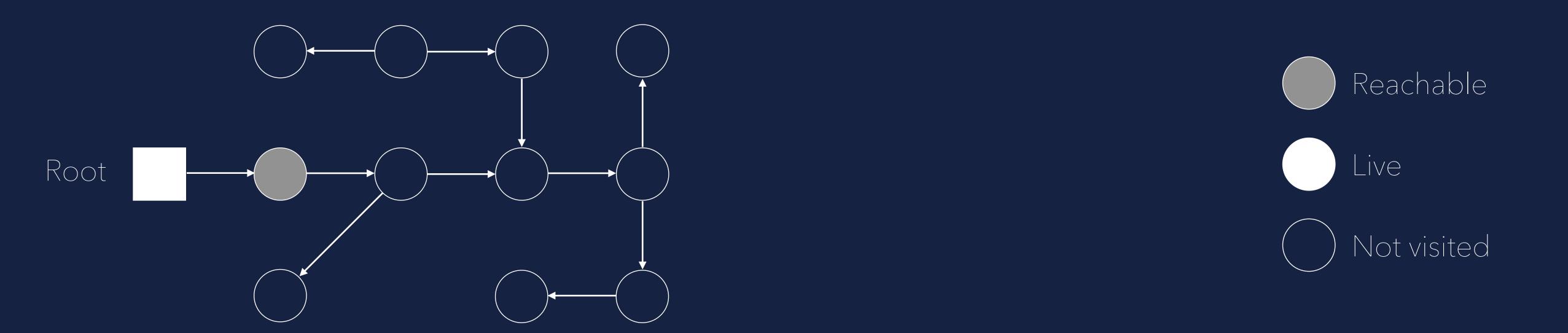
Clear dead objects and continue running the Mutator

Concurrent Marking



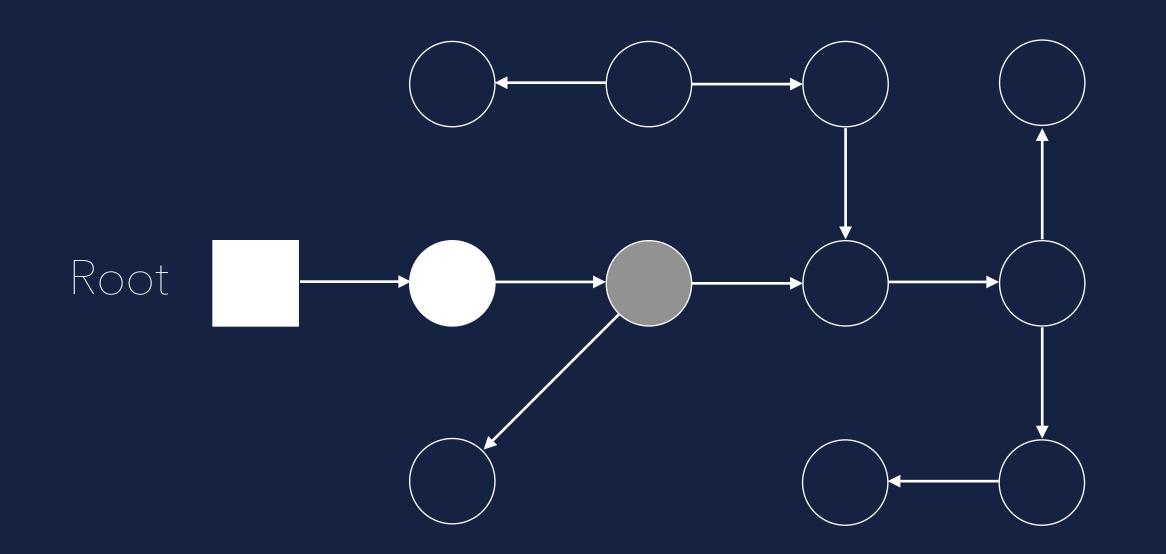


Concurrent Marking



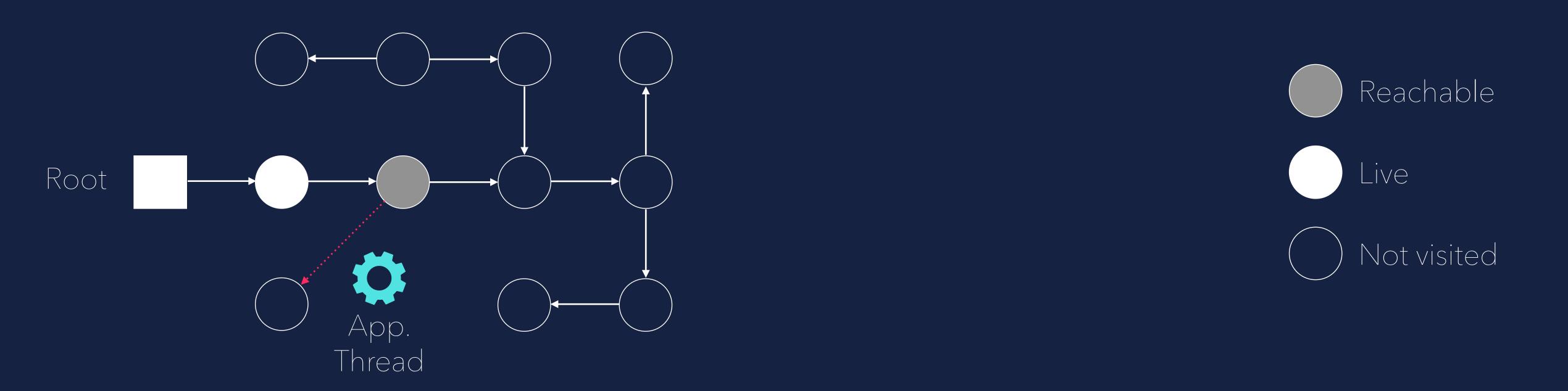
Collector starts marking objects

Concurrent Marking



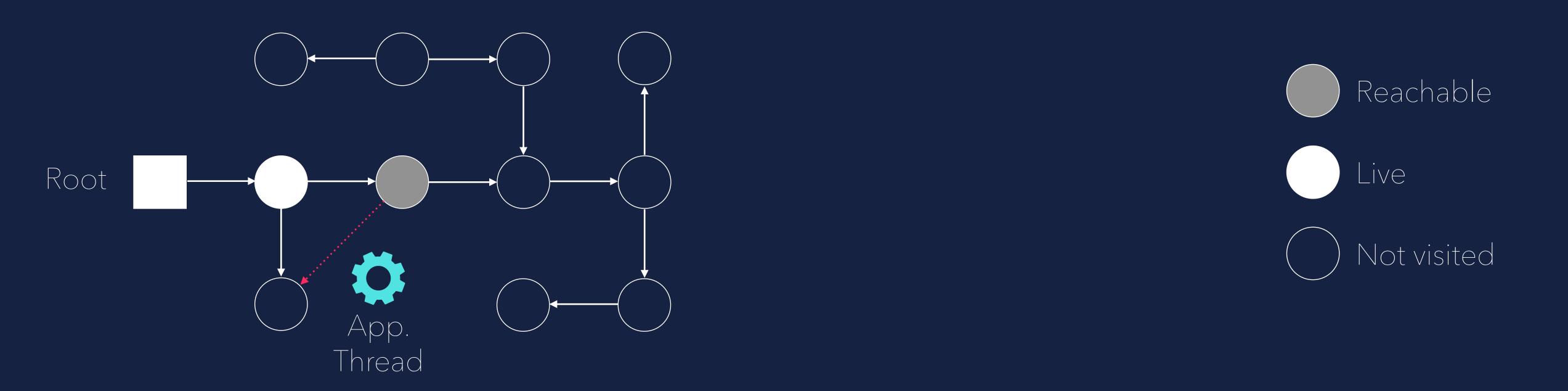


Concurrent Marking



Mutator removes reference and creates a new one from an already visited cell!

Concurrent Marking



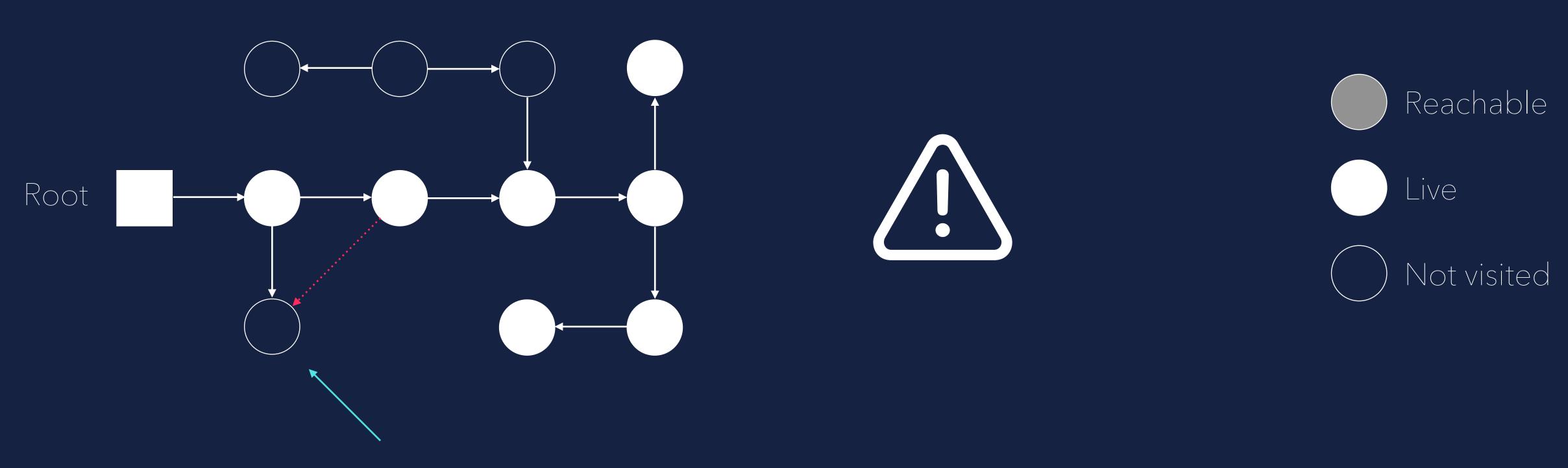
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Concurrent Marking



Won't be detected by the Garbage Collector!

Concurrent Marking



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BARRIERS TO THE RESCUE

Read / Write Barriers

Mechanisms to execute memory management code when a read/write on some object takes place

Read / Write Barriers

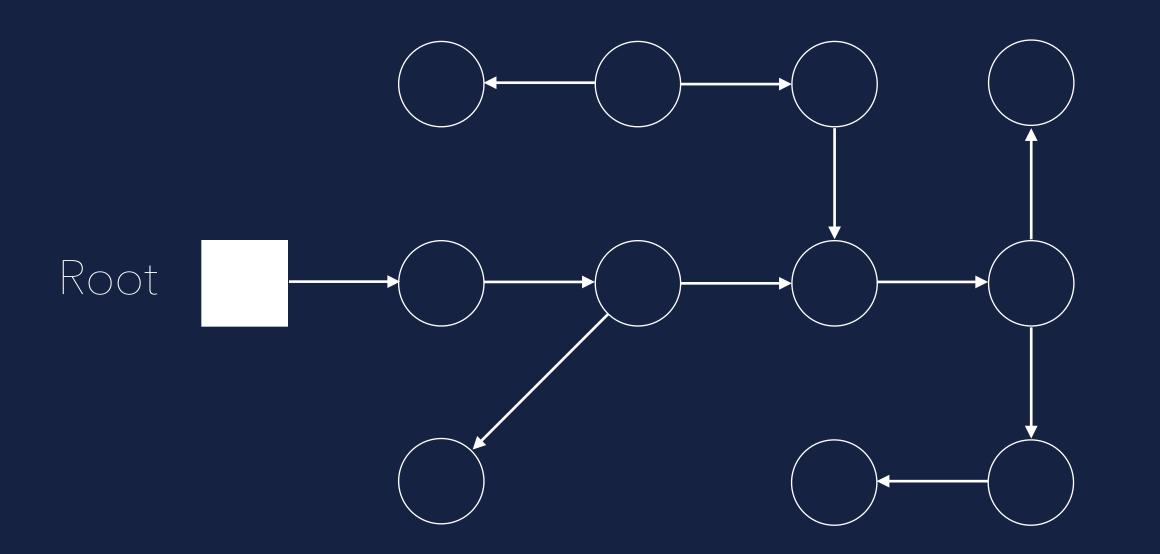
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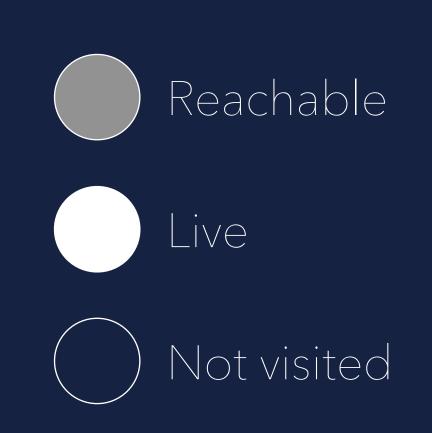
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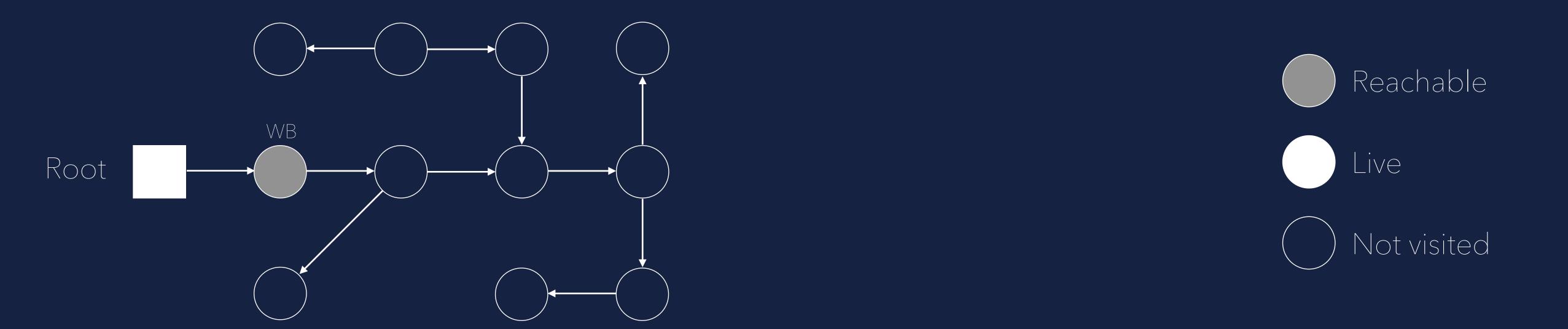
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- Used to synchronize action between mutator and collector (allocation concurrent to collection)
- Read Barriers are usually more expensive (reads 75% to writes 25% -> Read Barriers must be very efficient)

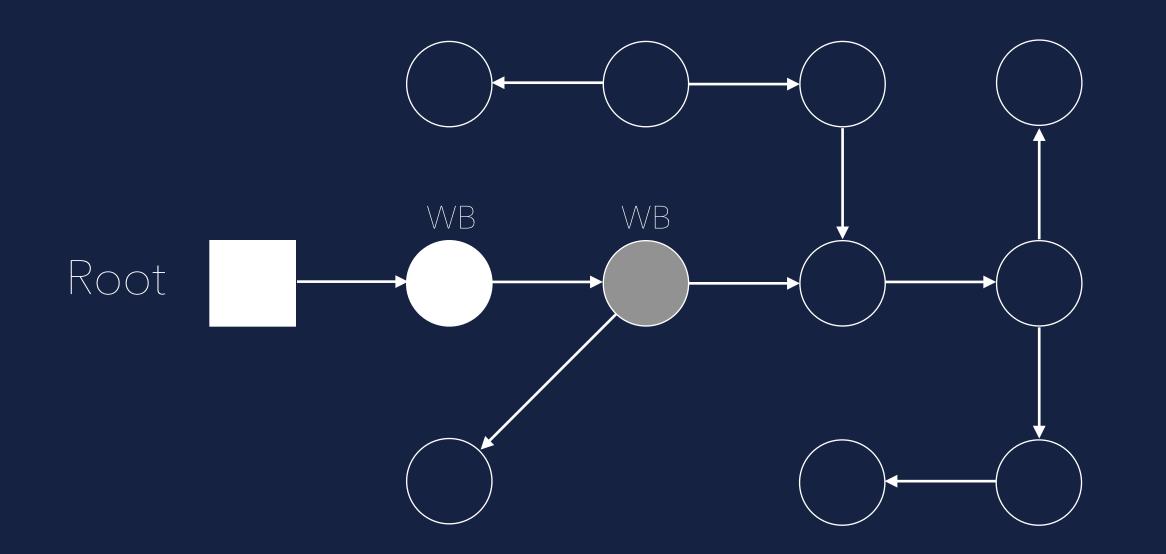


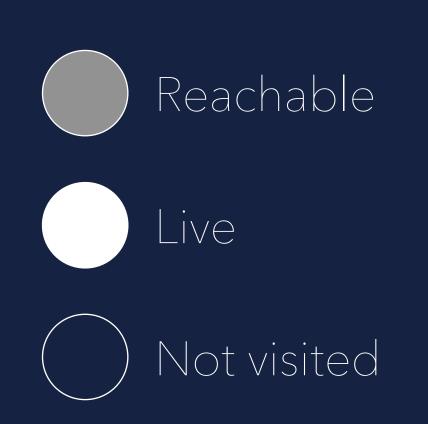


Concurrent Marking using Write Barriers

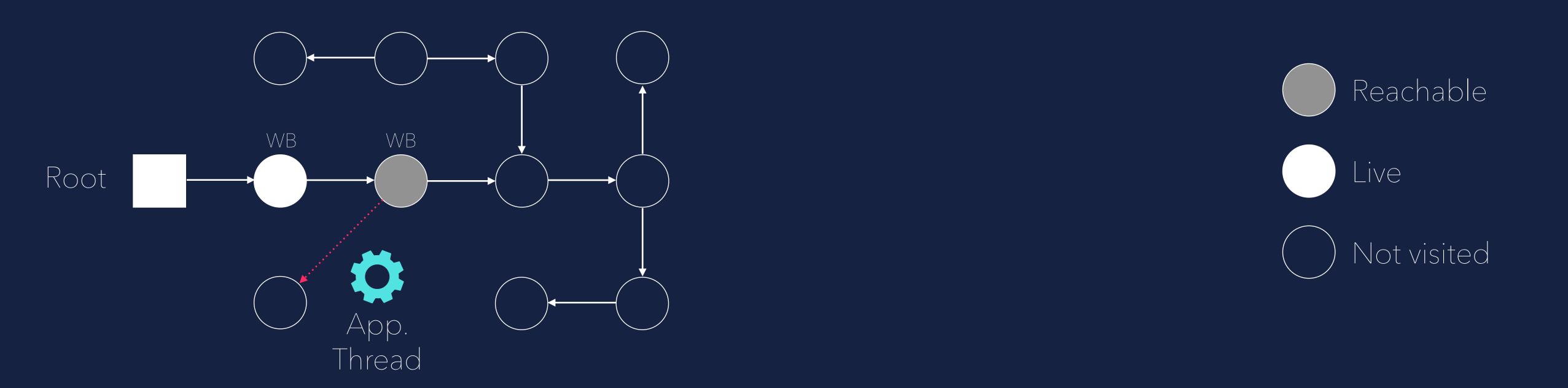


Collector starts marking objects



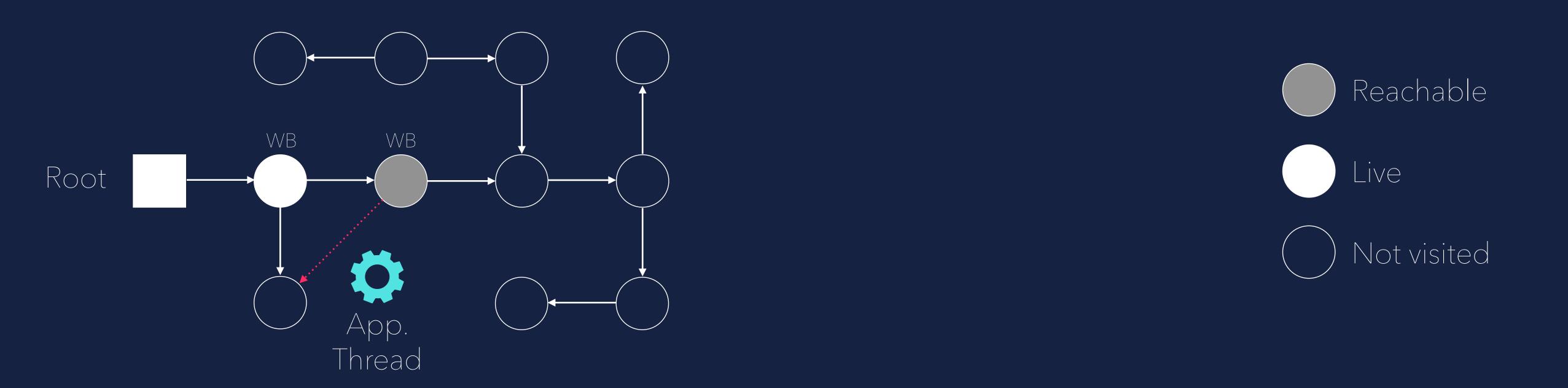


Concurrent Marking using Write Barriers



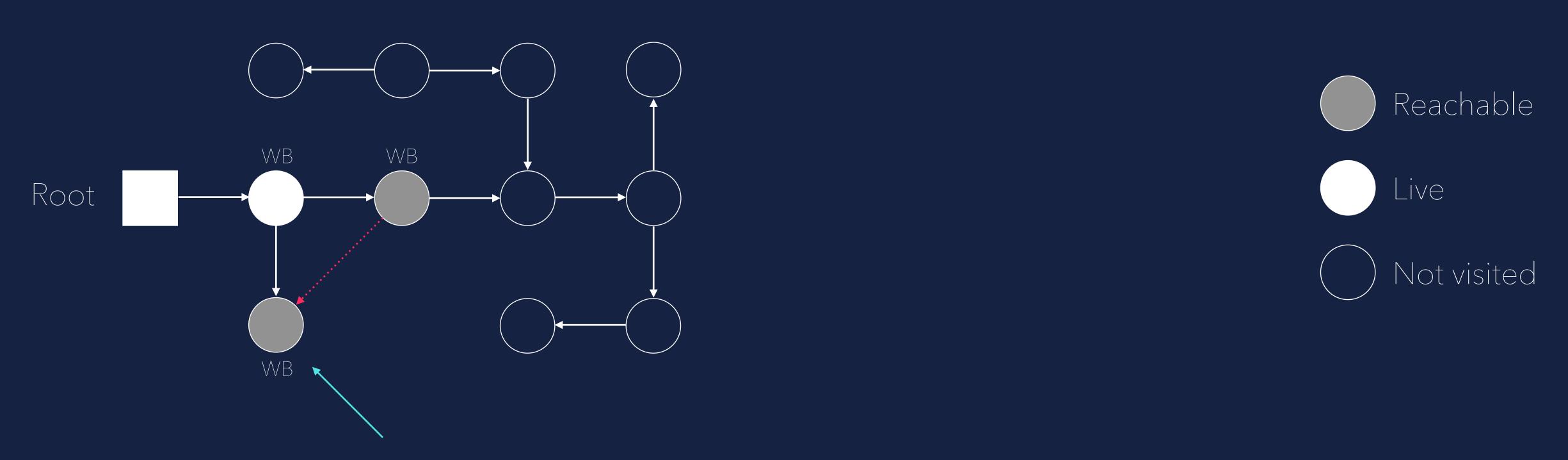
Mutator hits write barrier and removes reference and adds a new one

Concurrent Marking using Write Barriers

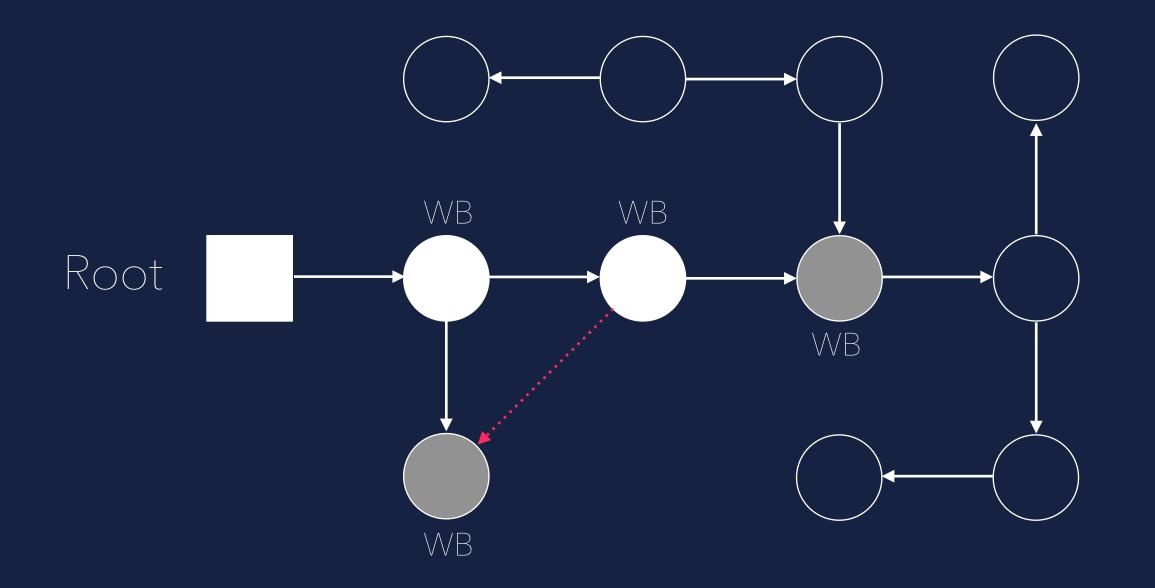


Mutator hits write barrier and removes reference and adds a new one

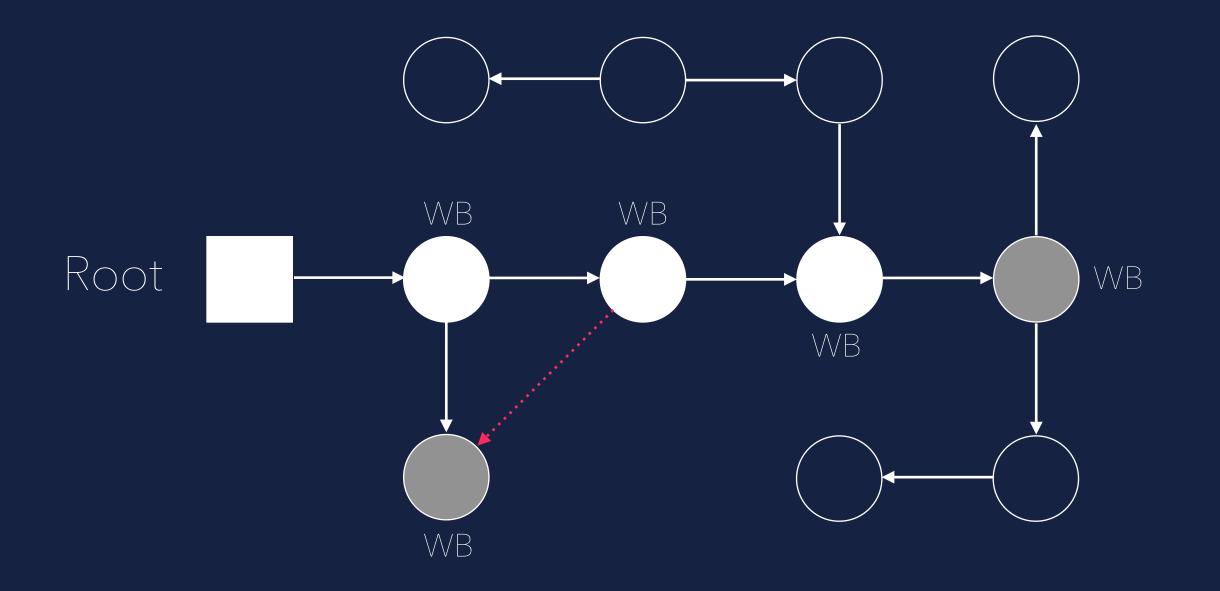
Concurrent Marking using Write Barriers



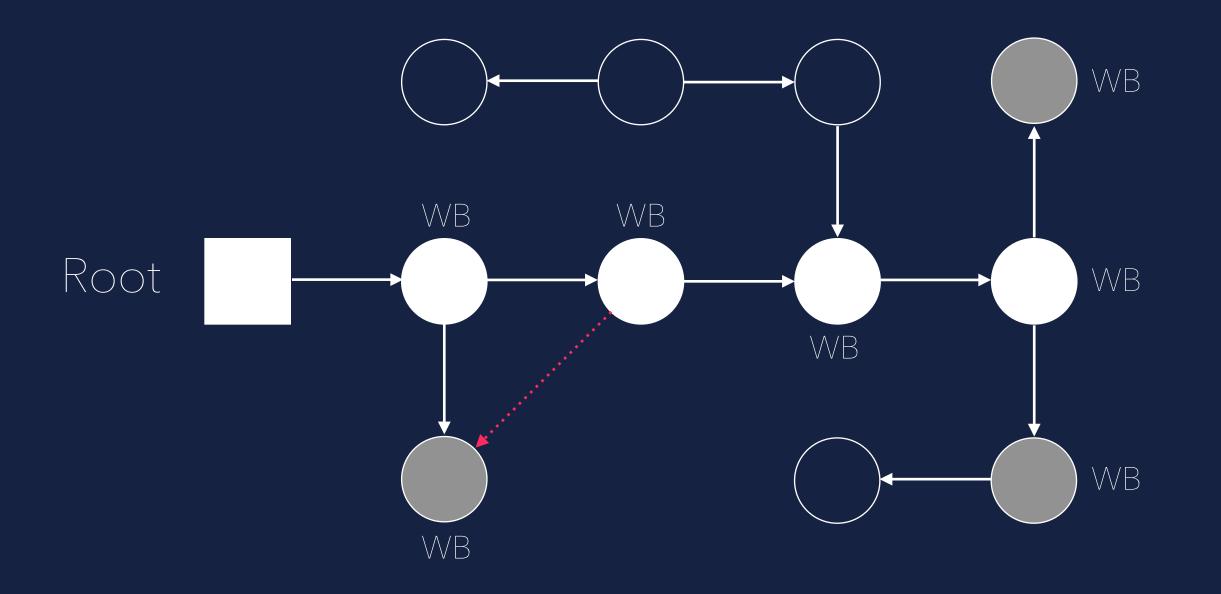
Removed references will be marked as reachable by Write Barrier

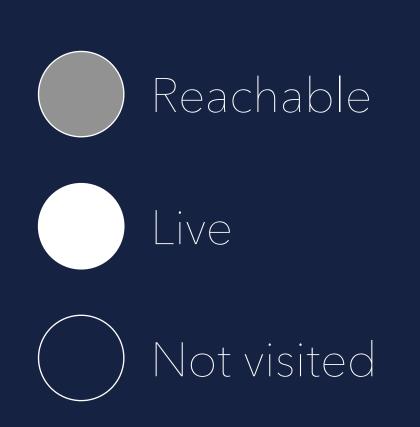


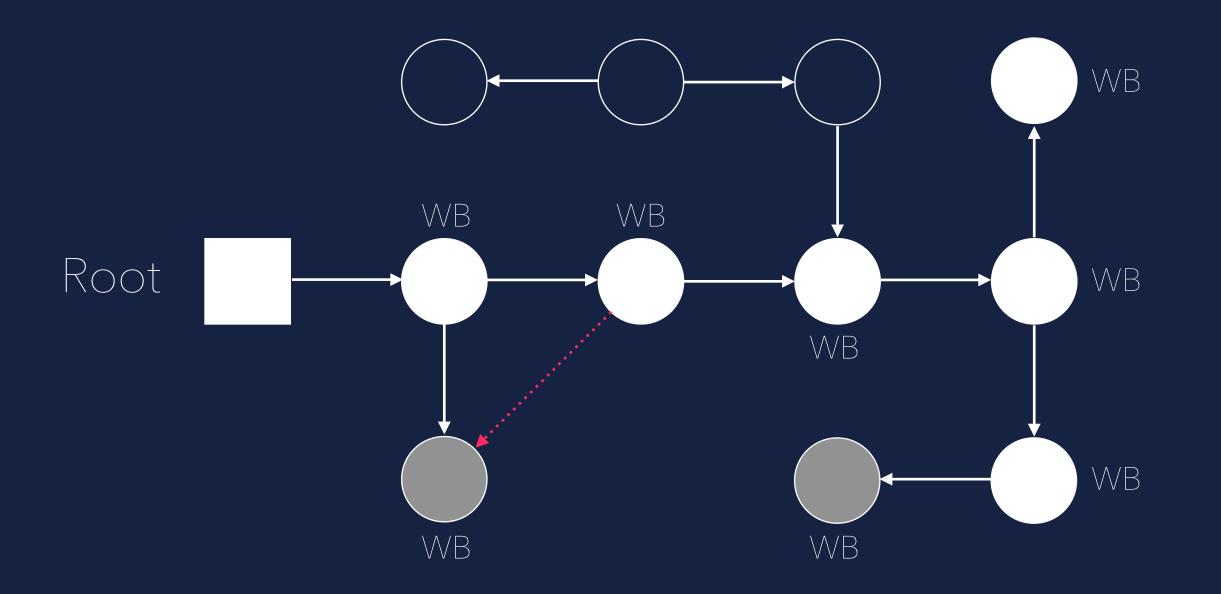




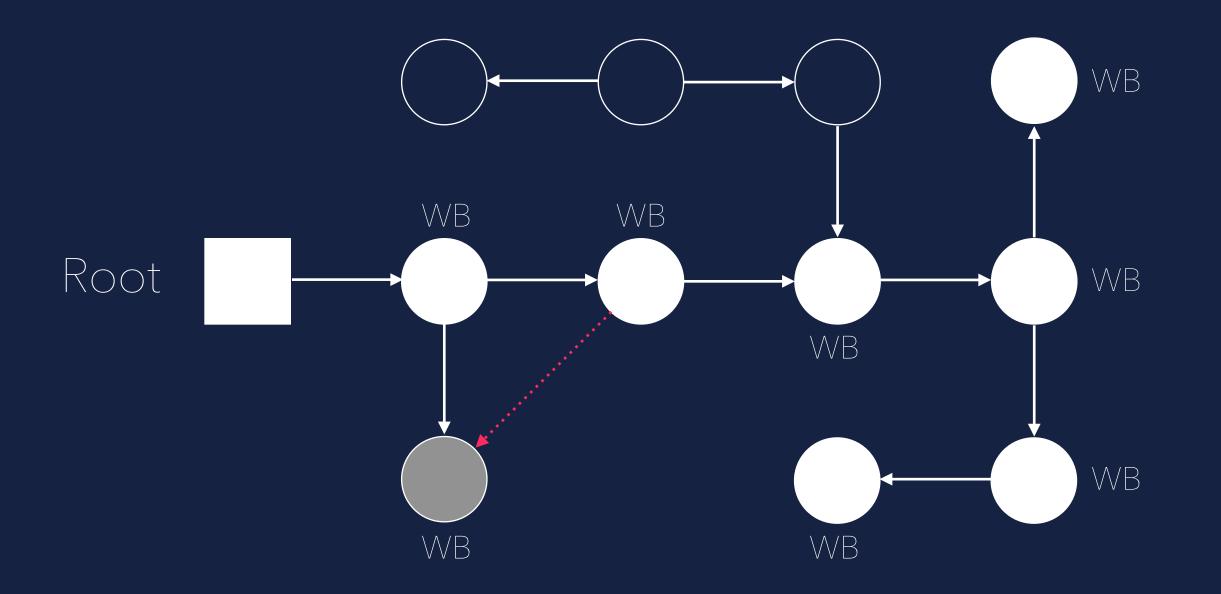






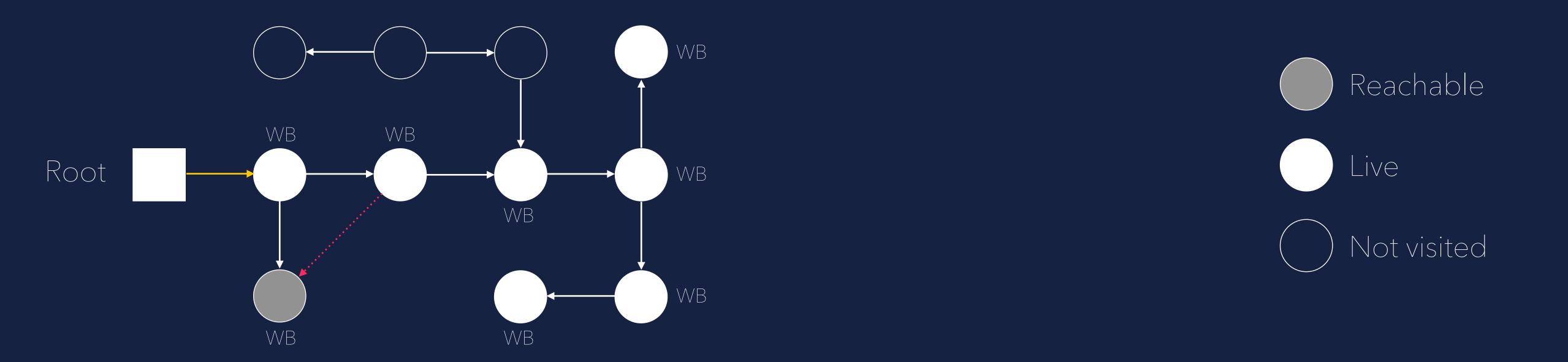






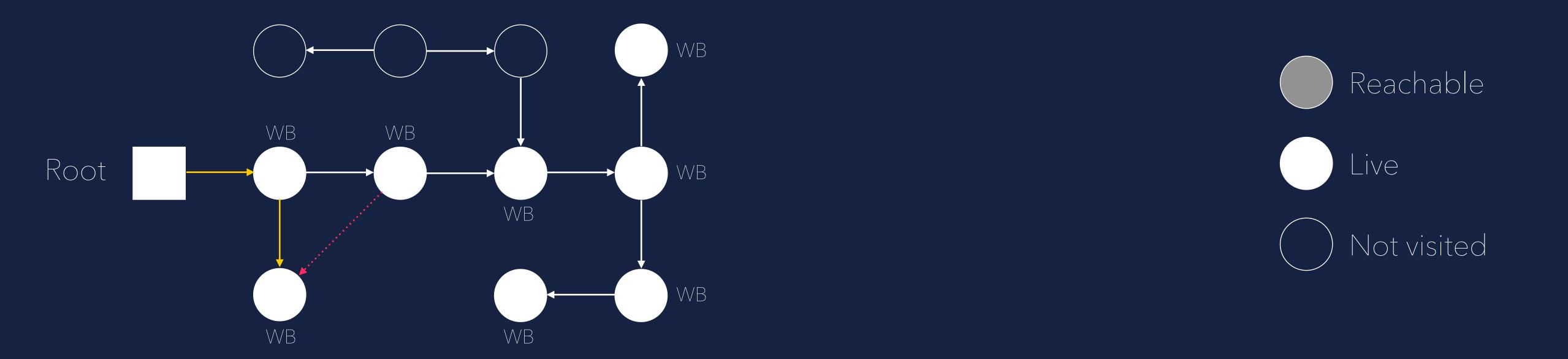


Concurrent Marking using Write Barriers



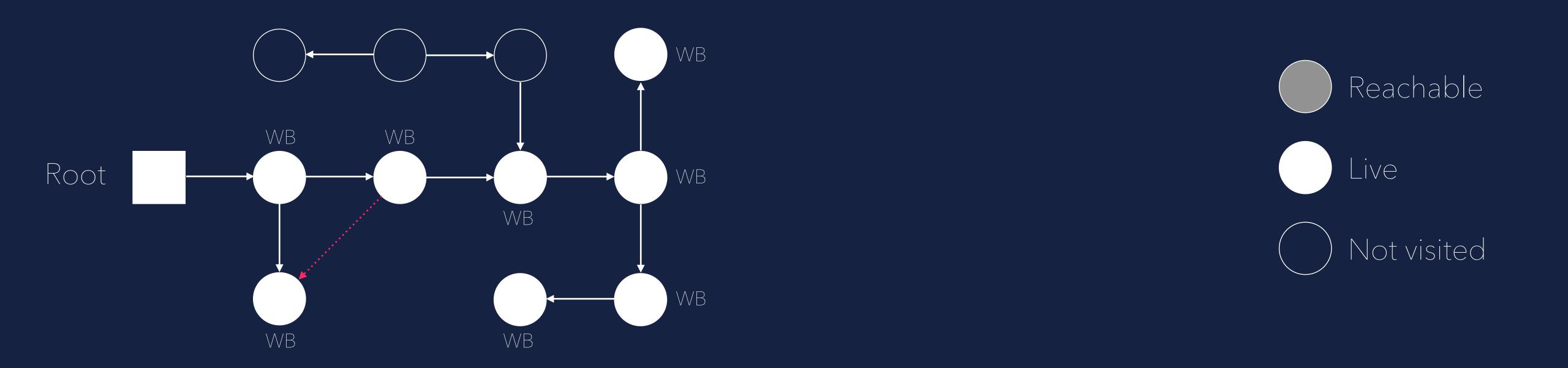
In the Re-Marking phase, in between marked references will be marked as live

Concurrent Marking using Write Barriers



In the Re-Marking phase, in between marked references will be marked as live

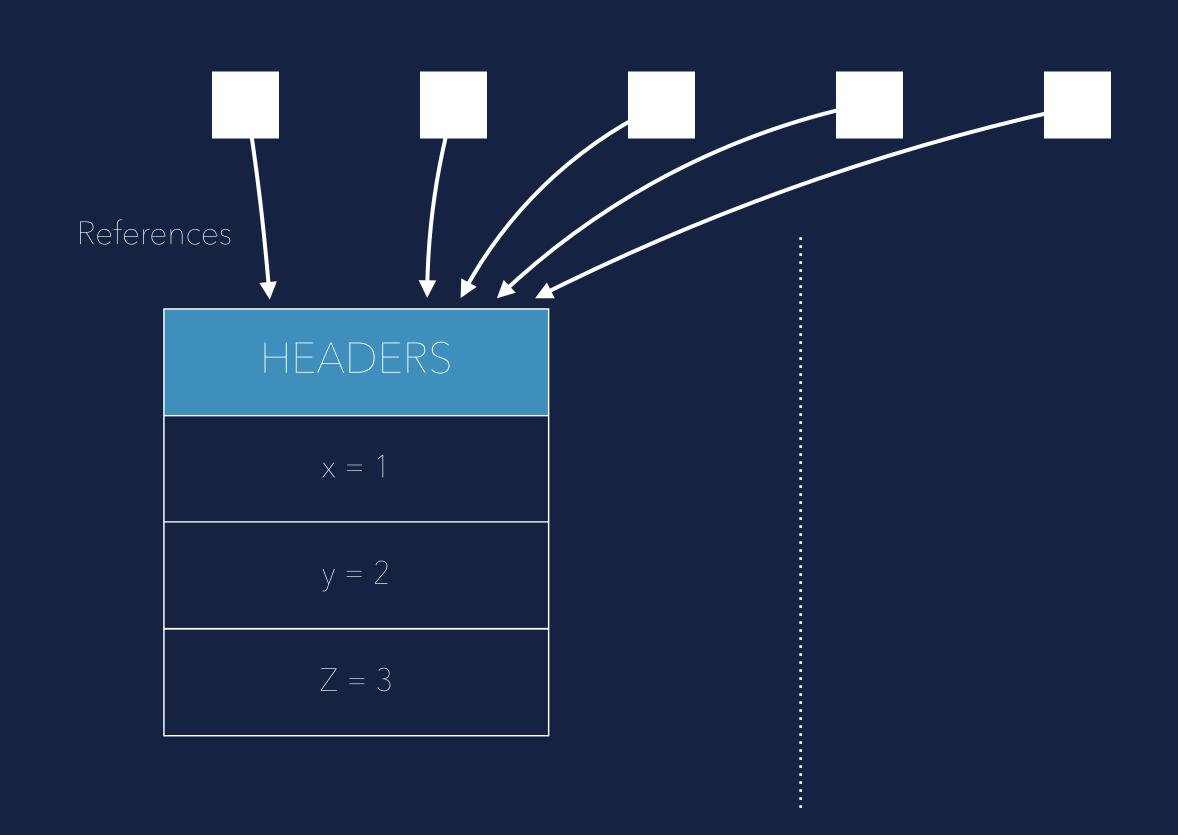
Concurrent Marking using Write Barriers



"Snapshot at the beginning"

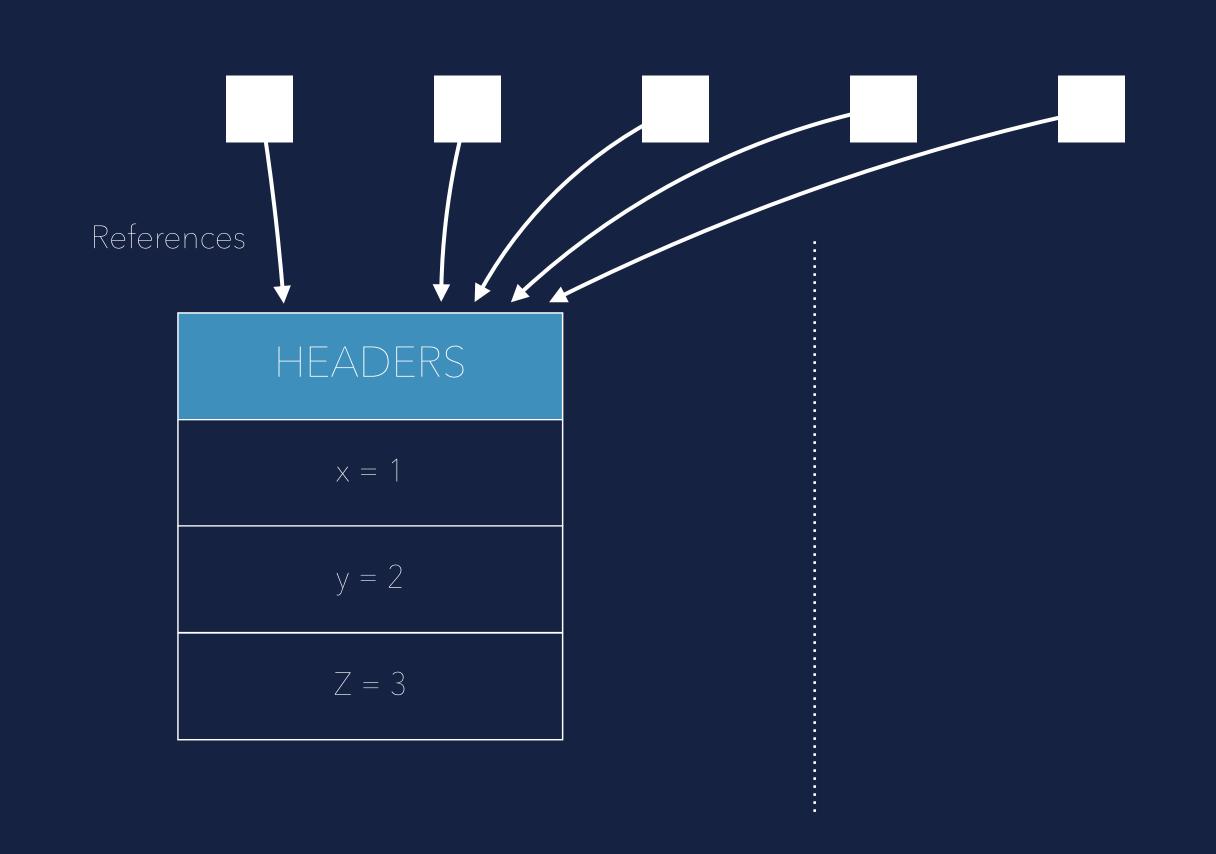
CONCURRENT CONCURRENT

Stop the world copying



FROM Space

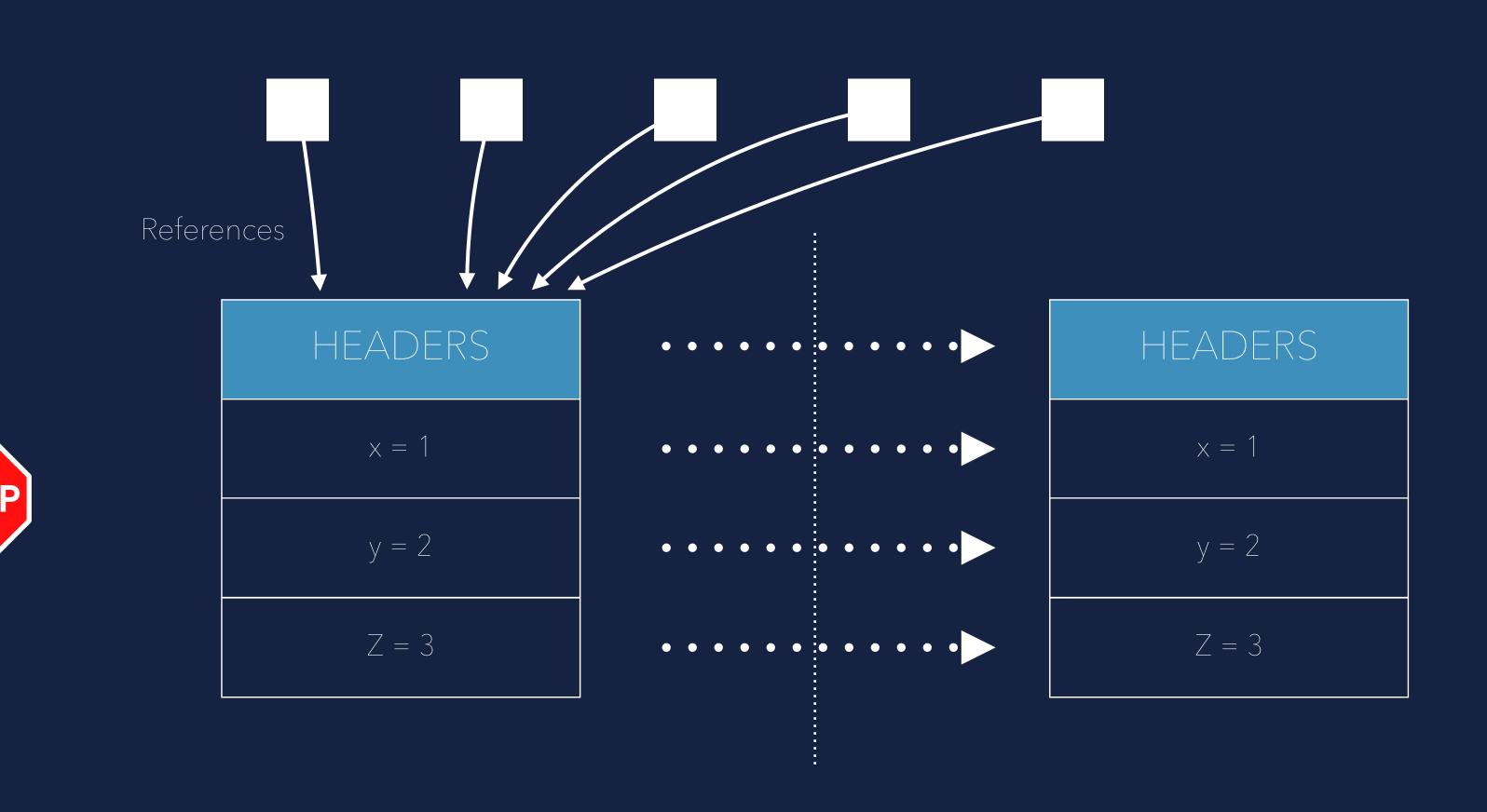
Stop the world copying



Stop the World (the Mutator)

FROM Space

Stop the world copying

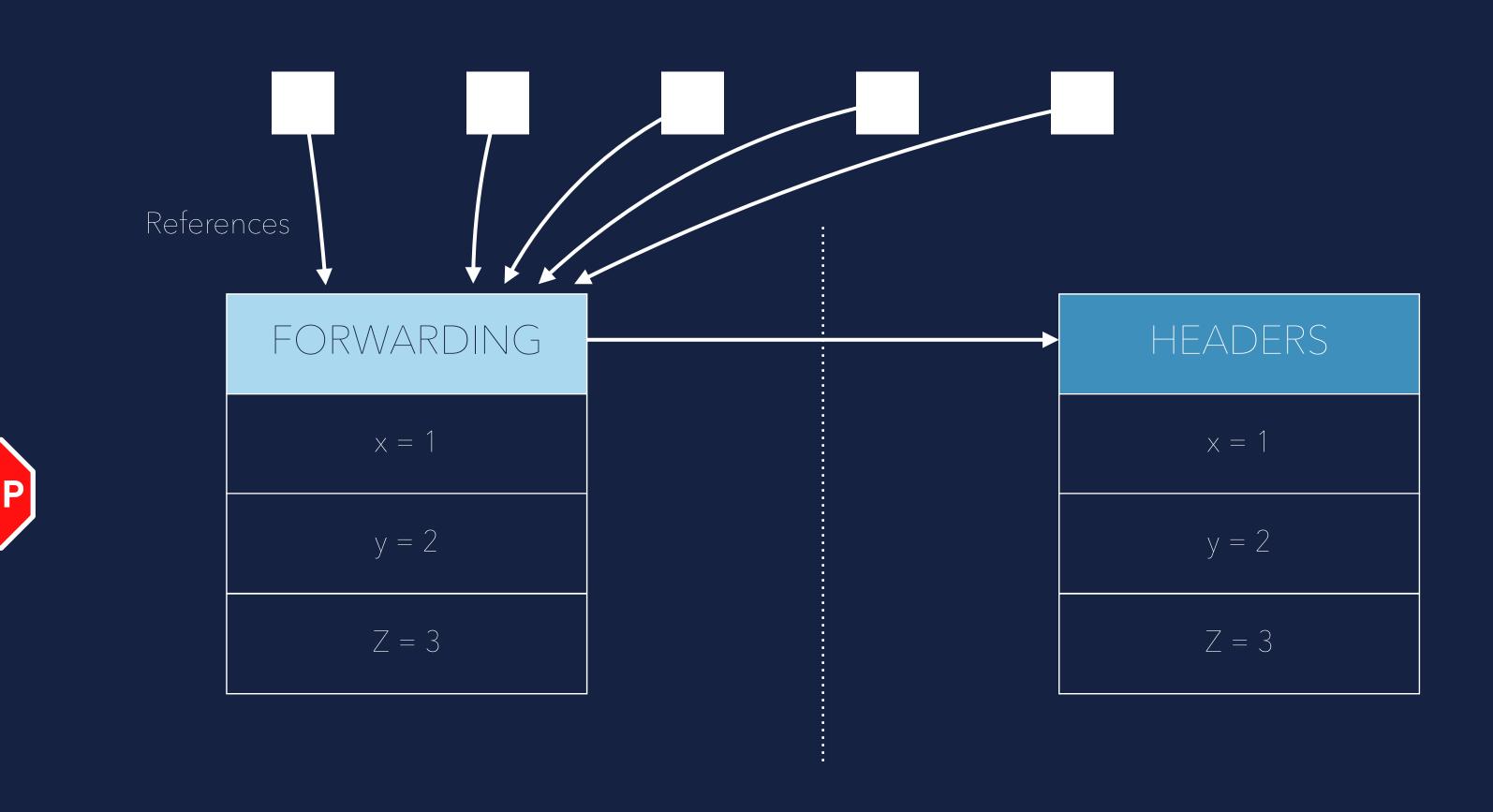


Copy the Object

(Create forwarding pointer)

FROM Space

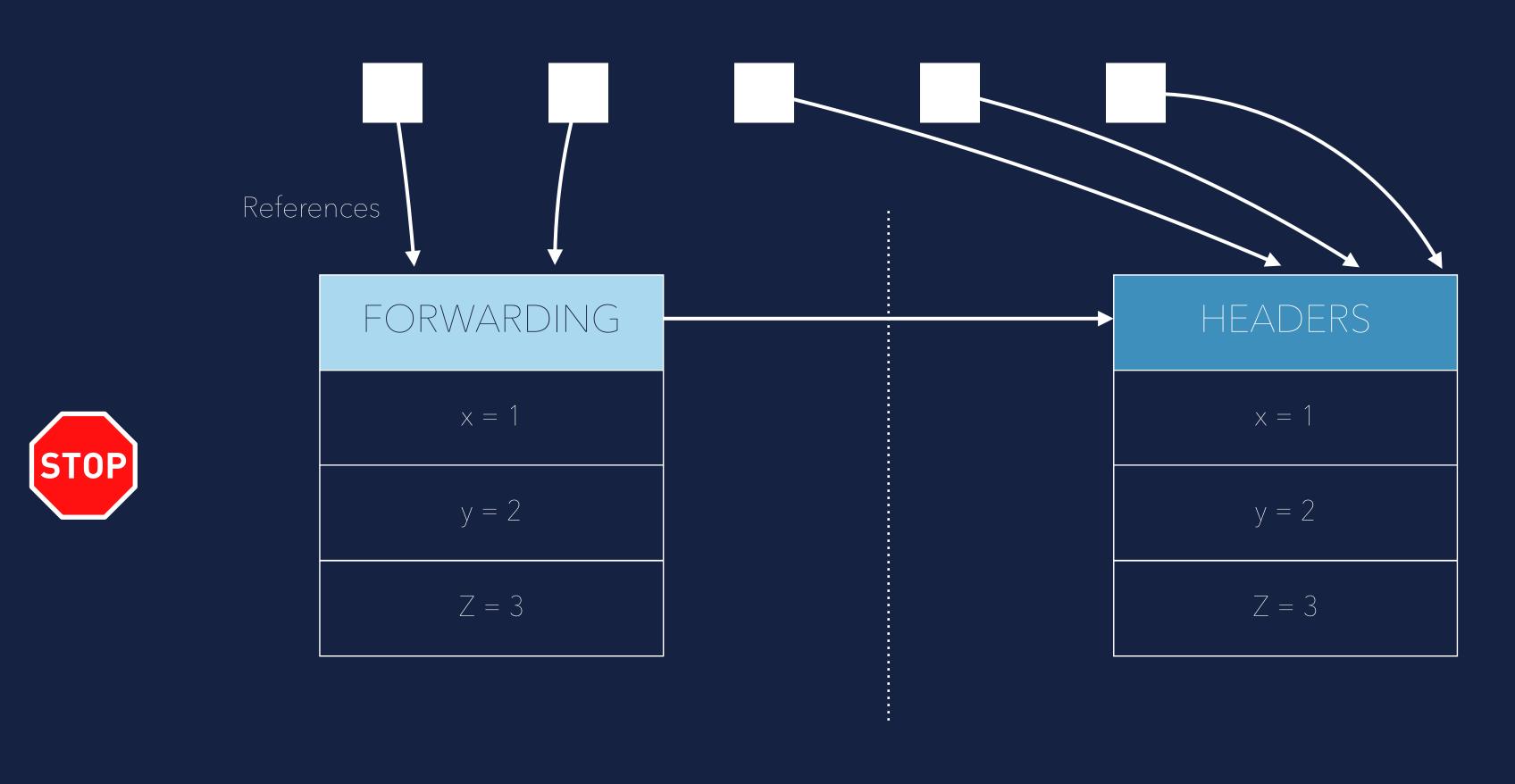
Stop the world copying



Update all references (Save the pointer that fowards the copy)

FROM Space

Stop the world copying

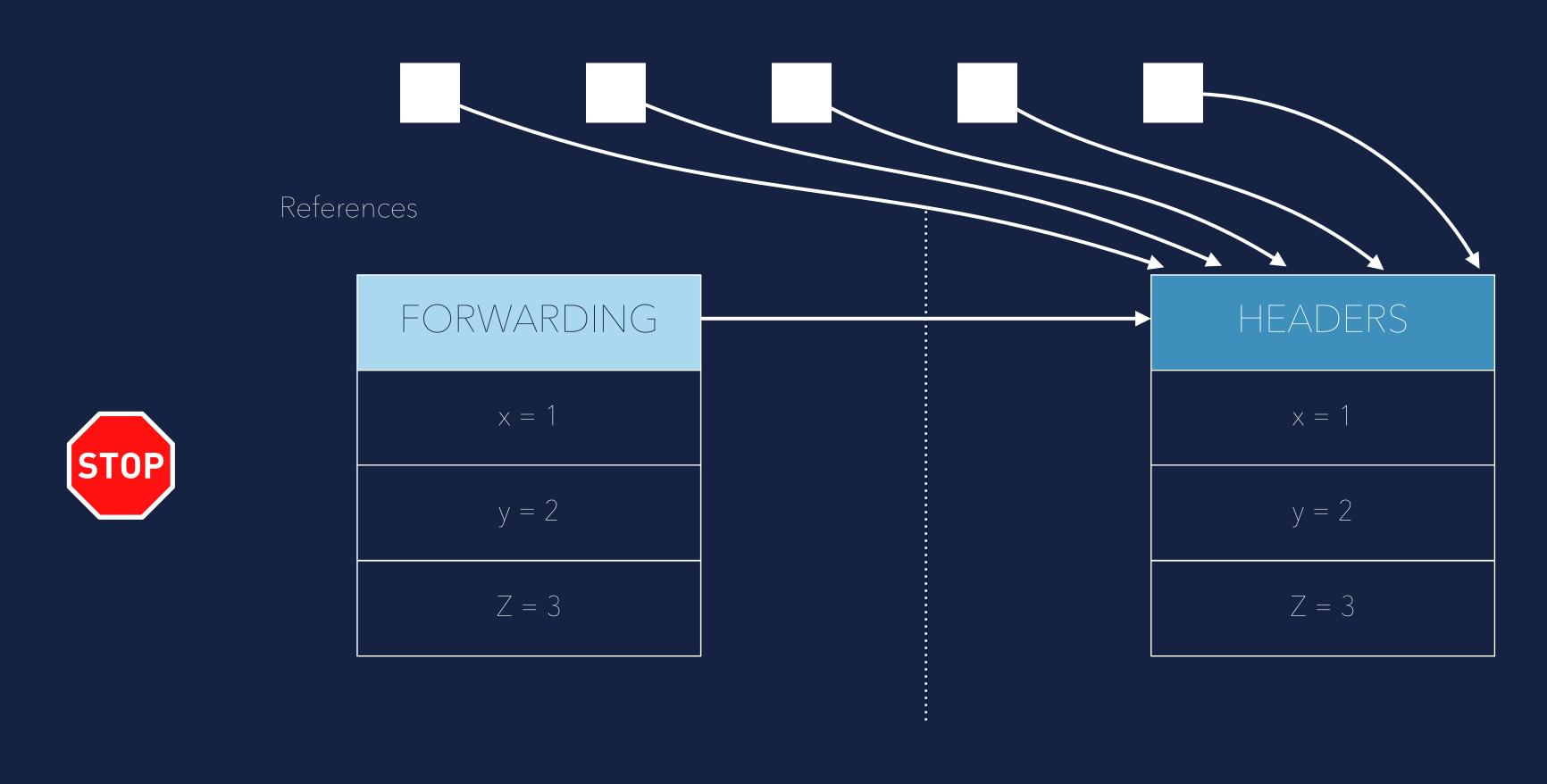


Update all references

(Walk the heap and replace all references with forwarding pointer to new location)

FROM Space

Stop the world copying

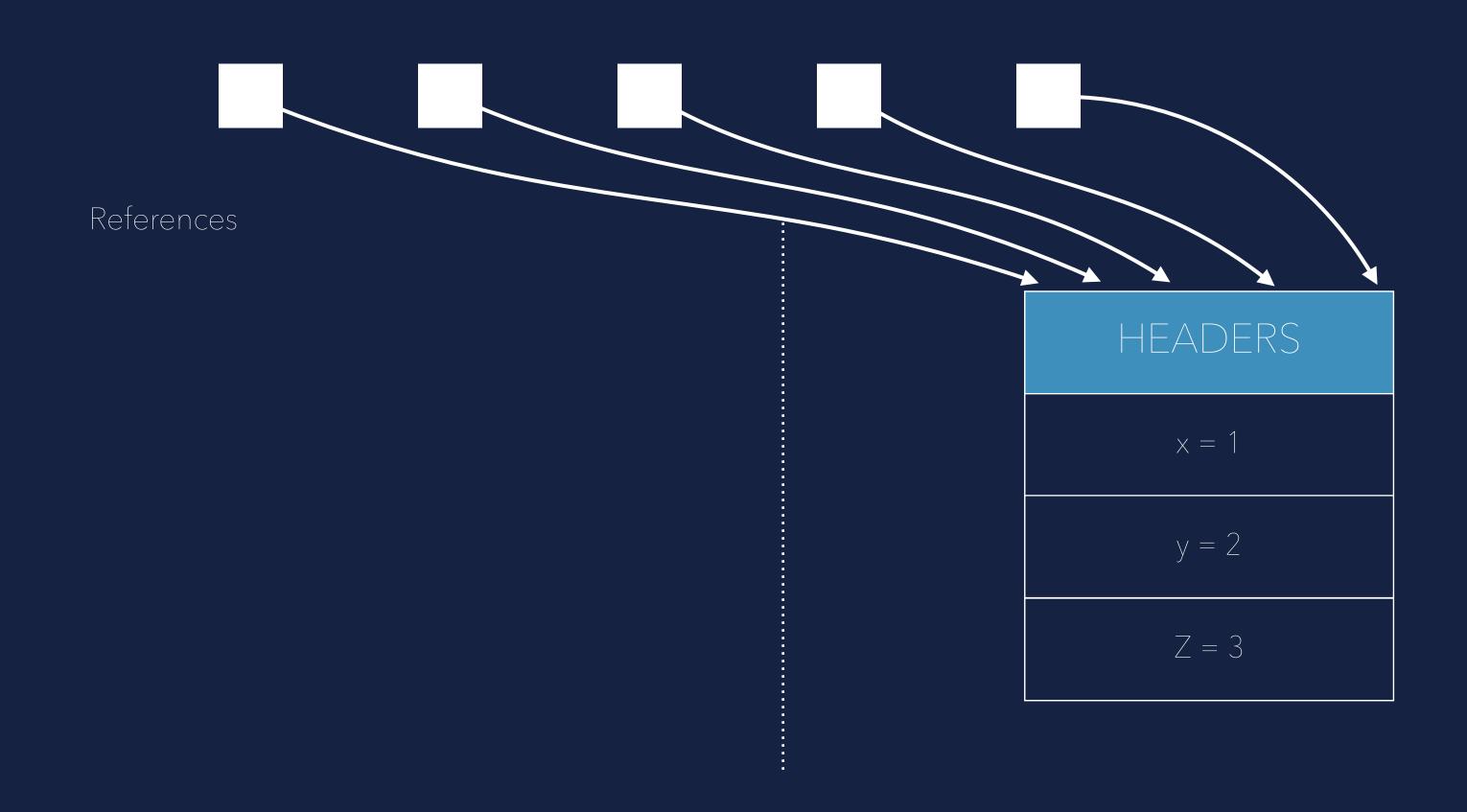


Update all references

(Walk the heap and replace all references with forwarding pointer to new location)

FROM Space

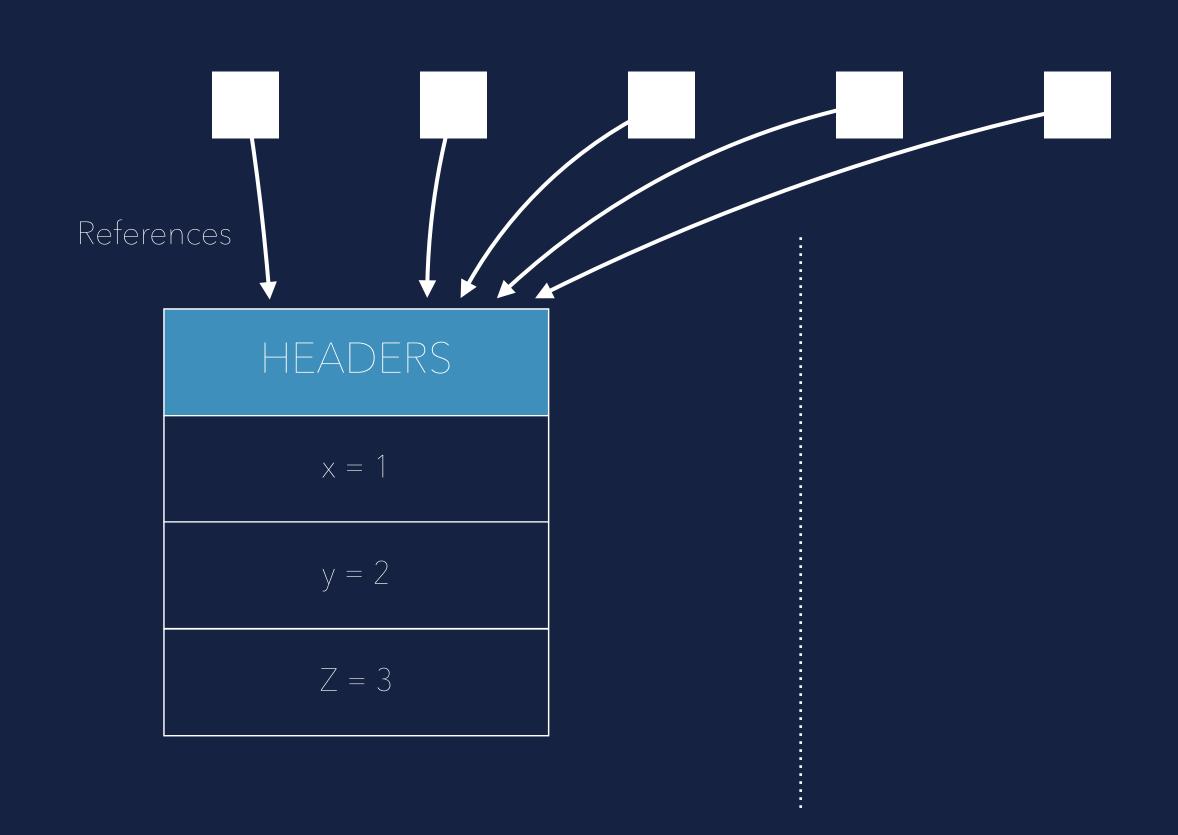
Stop the world copying



Remove old objects and continue running the Mutator

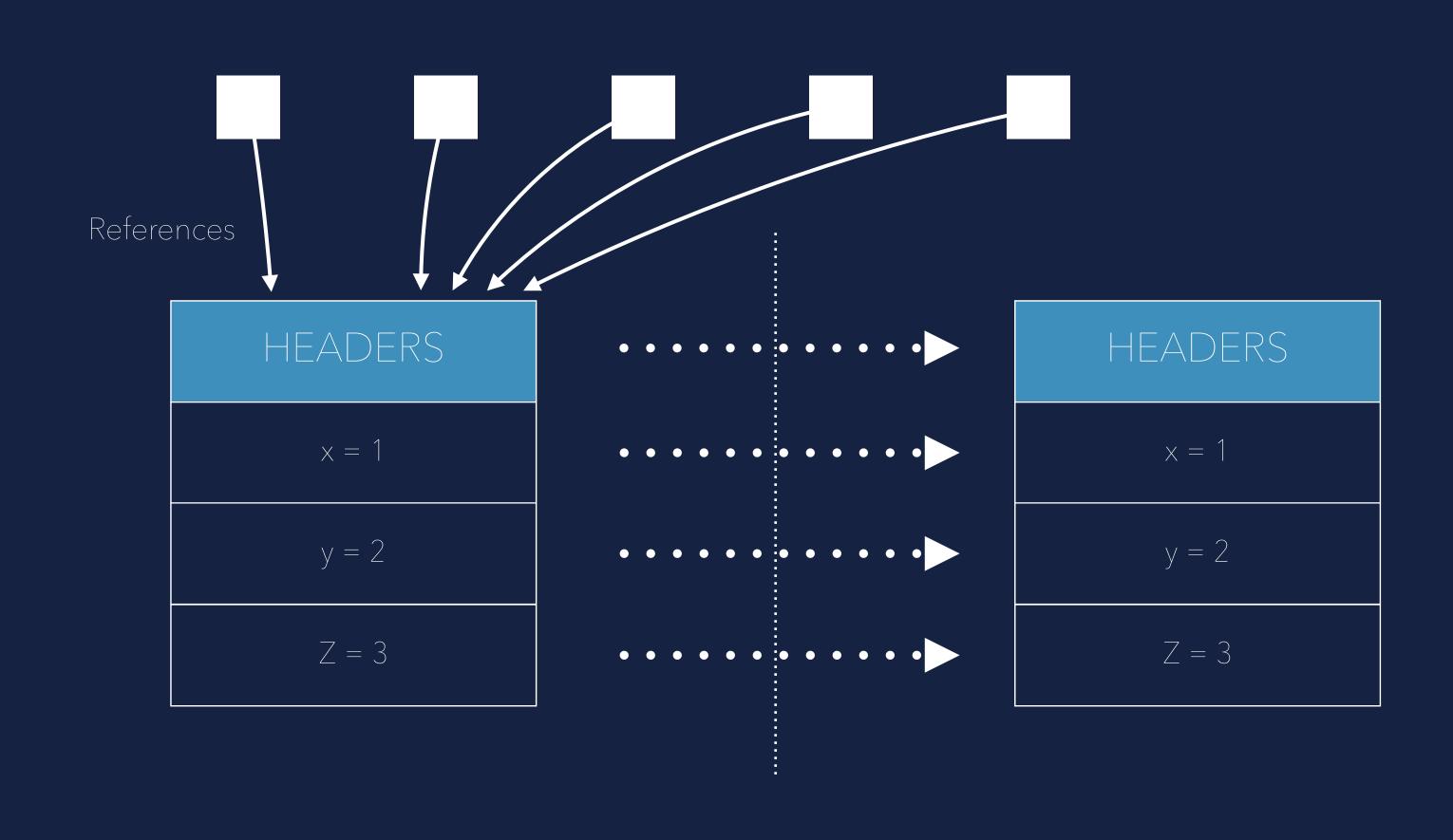
FROM Space

Concurrent copying



FROM Space

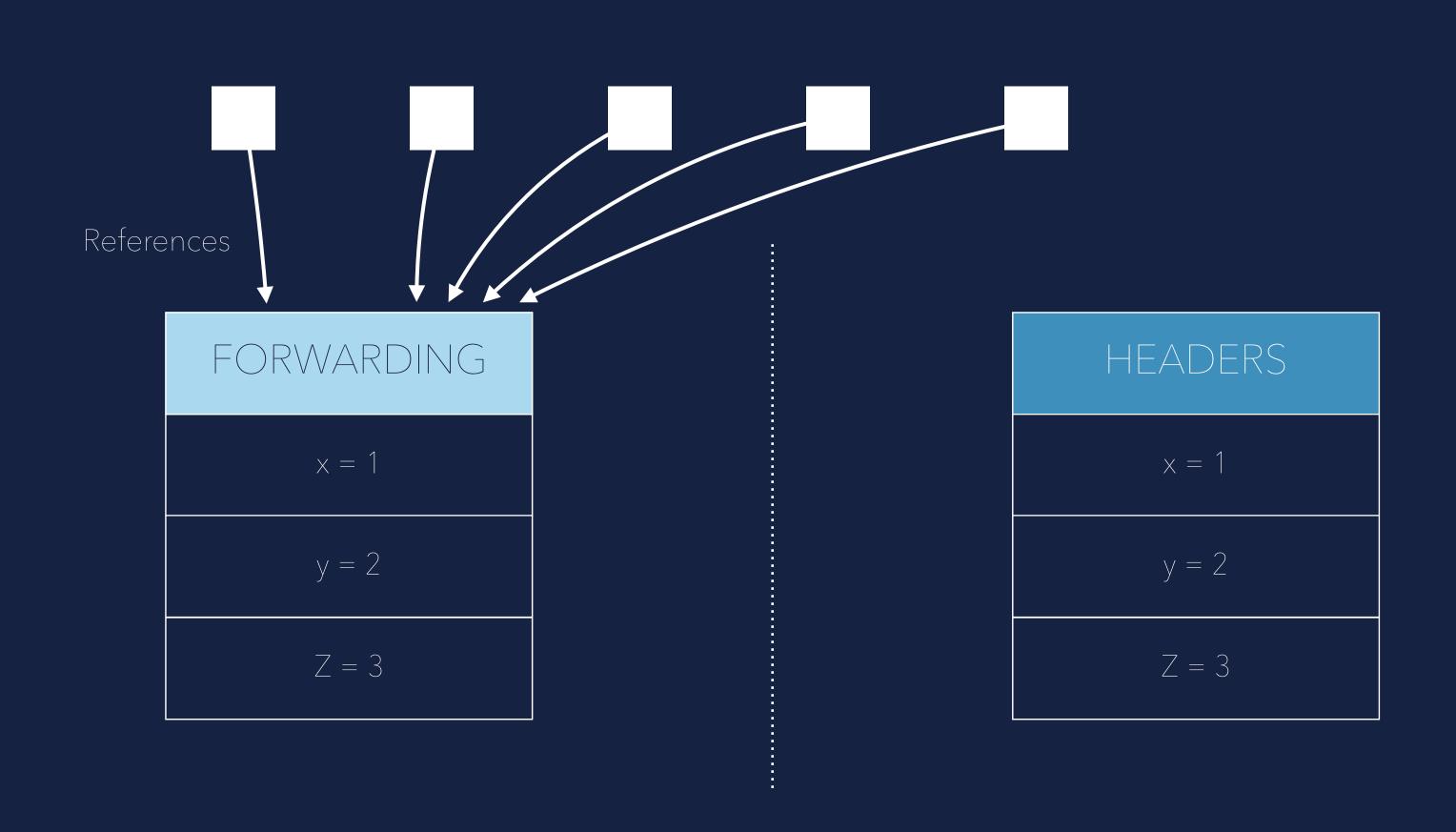
Concurrent copying



While copying the Object...

FROM Space

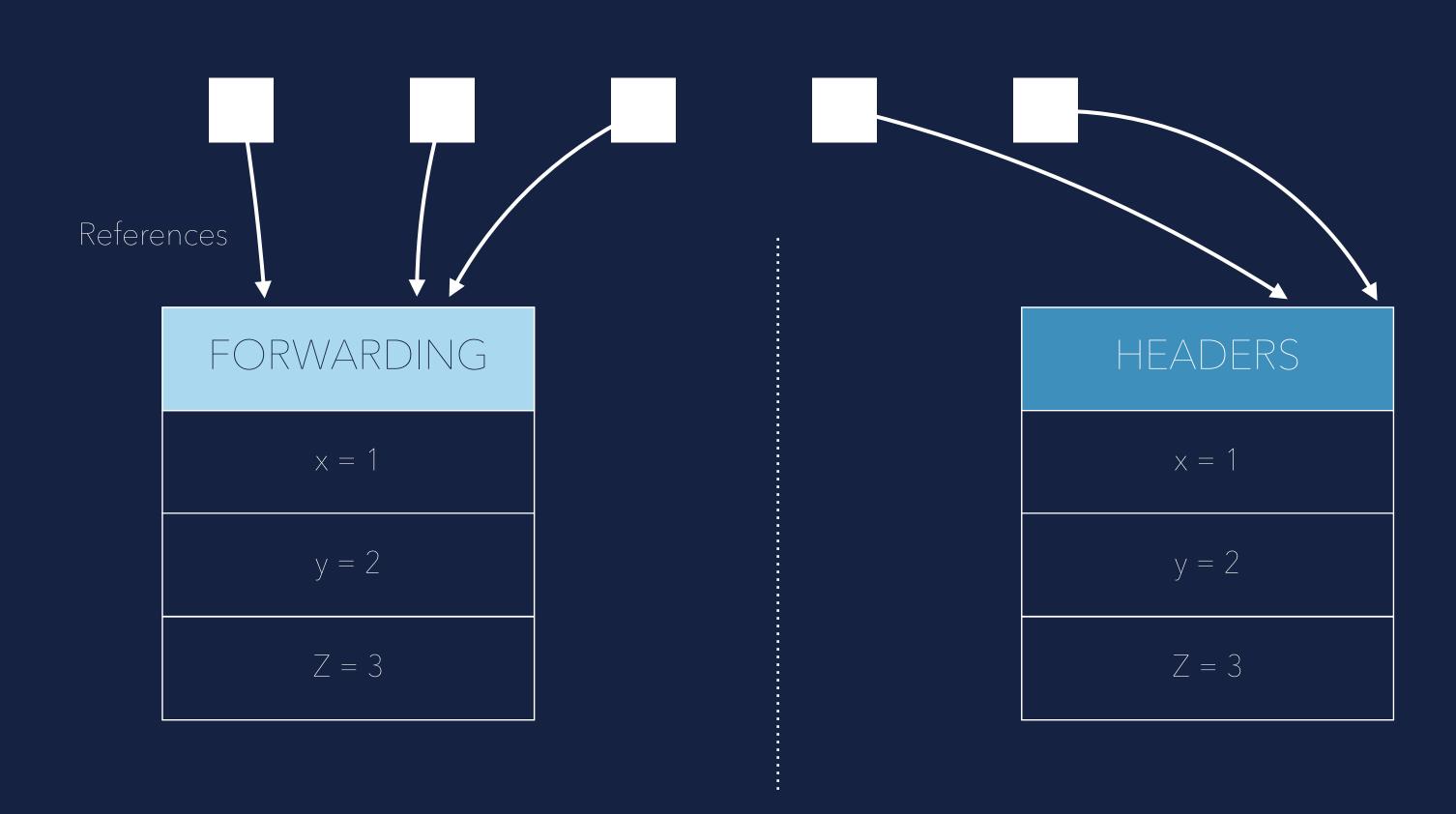
Concurrent copying



While copying the Object...

FROM Space

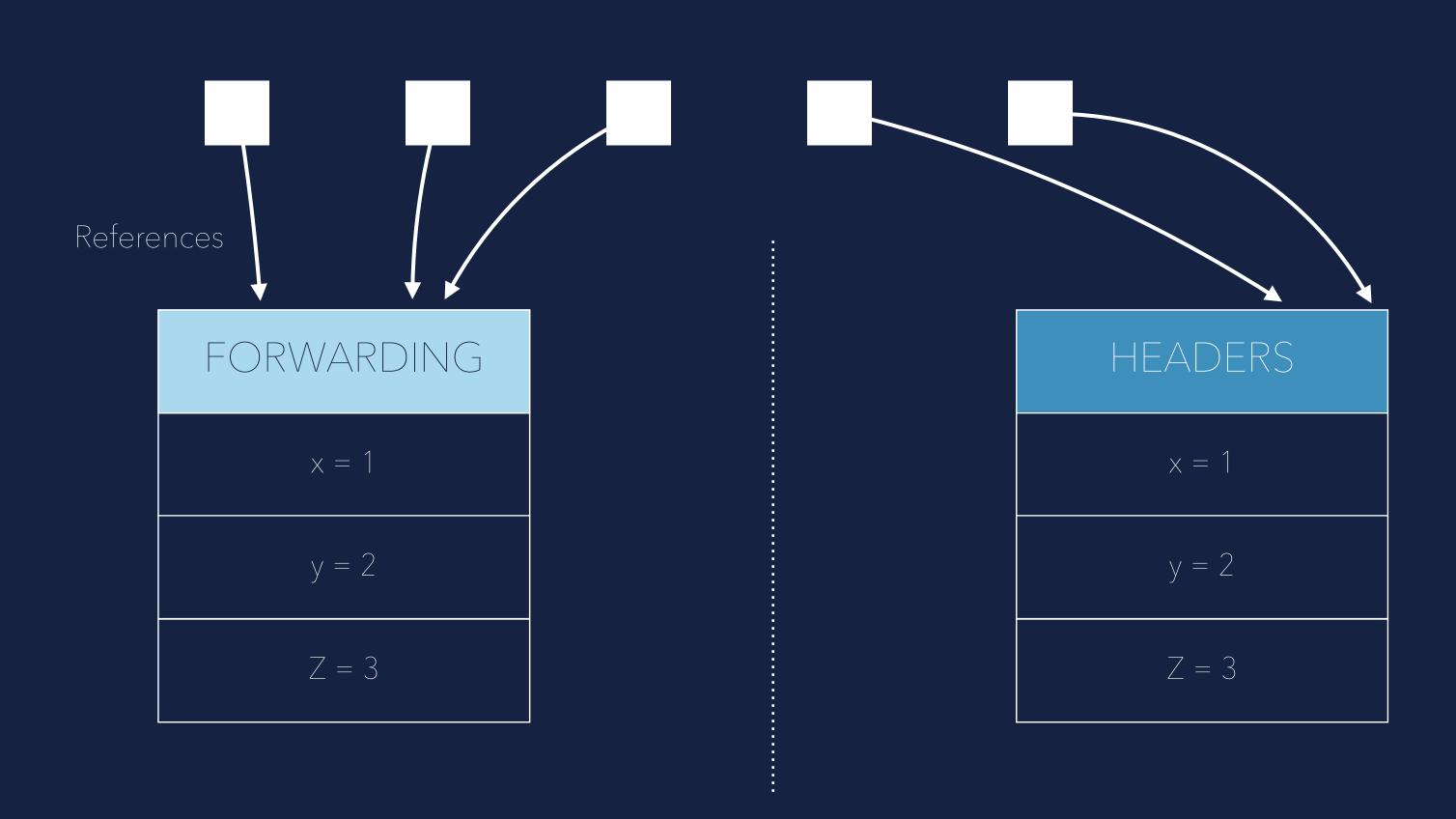
Concurrent copying



...when updating the references...

FROM Space

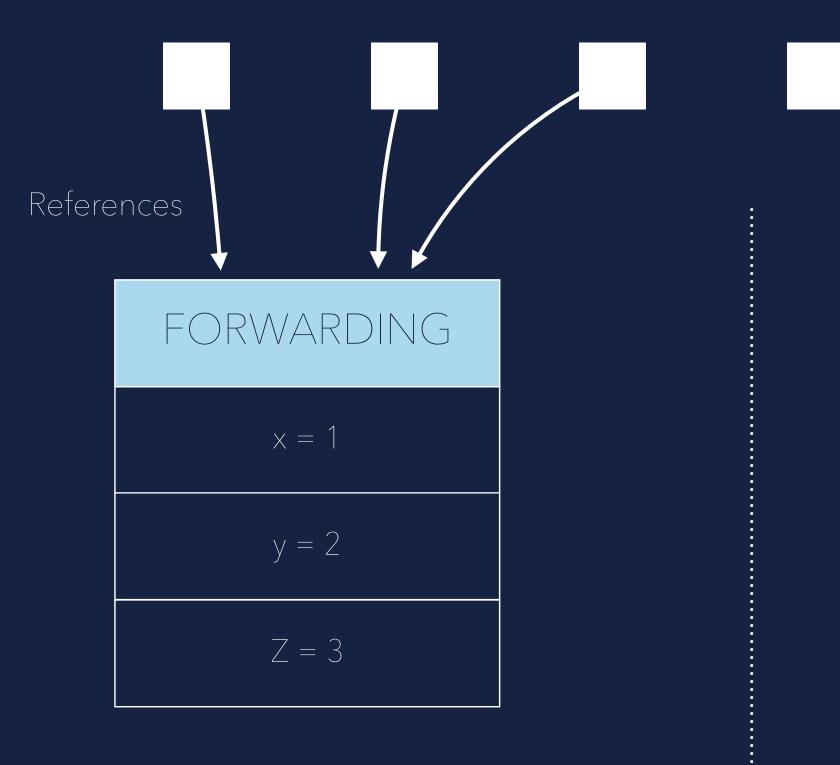
Concurrent copying

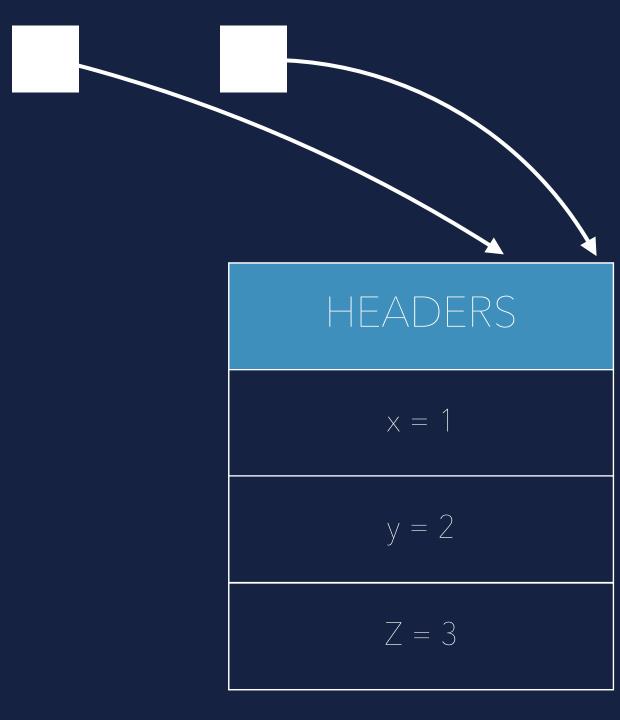


...both Objects are reachable!

FROM Space

Concurrent copying



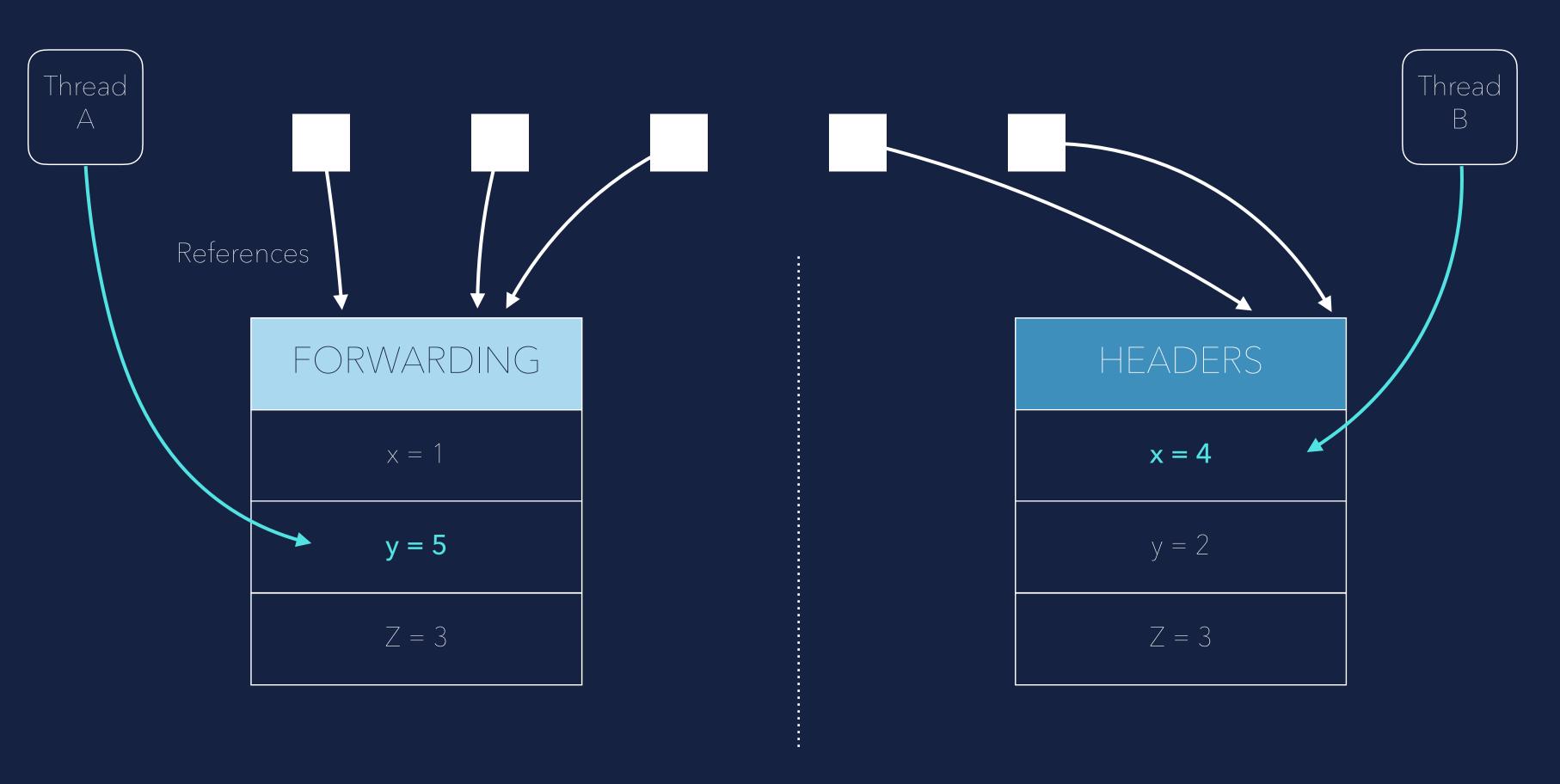


...both Objects are reachable!

And can be accessed in parallel by different Threads.

FROM Space

Concurrent copying

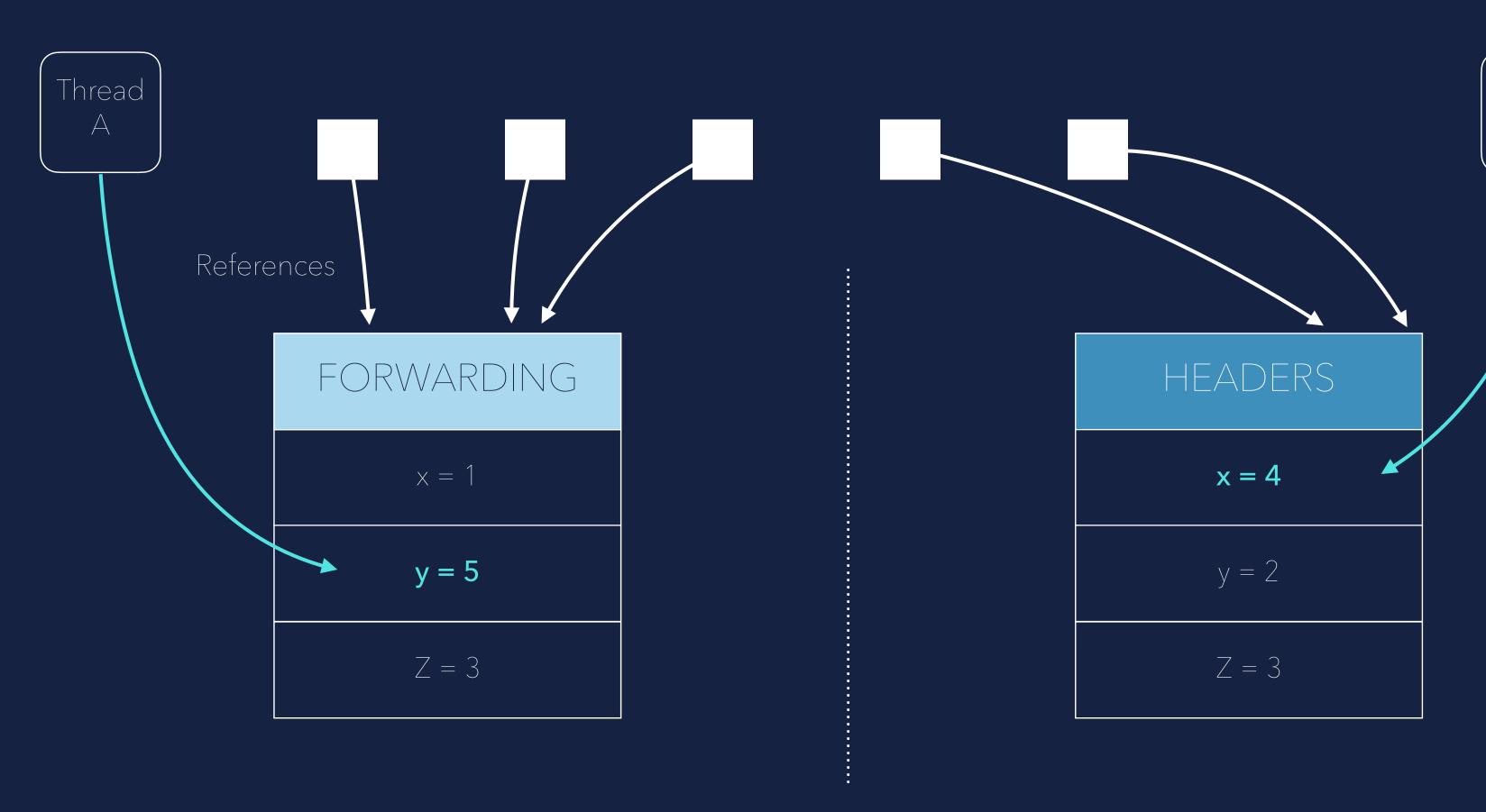


Threads can write to both Objects!



FROM Space

Concurrent copying



Threads can write to both Objects!

Which copy is correct?

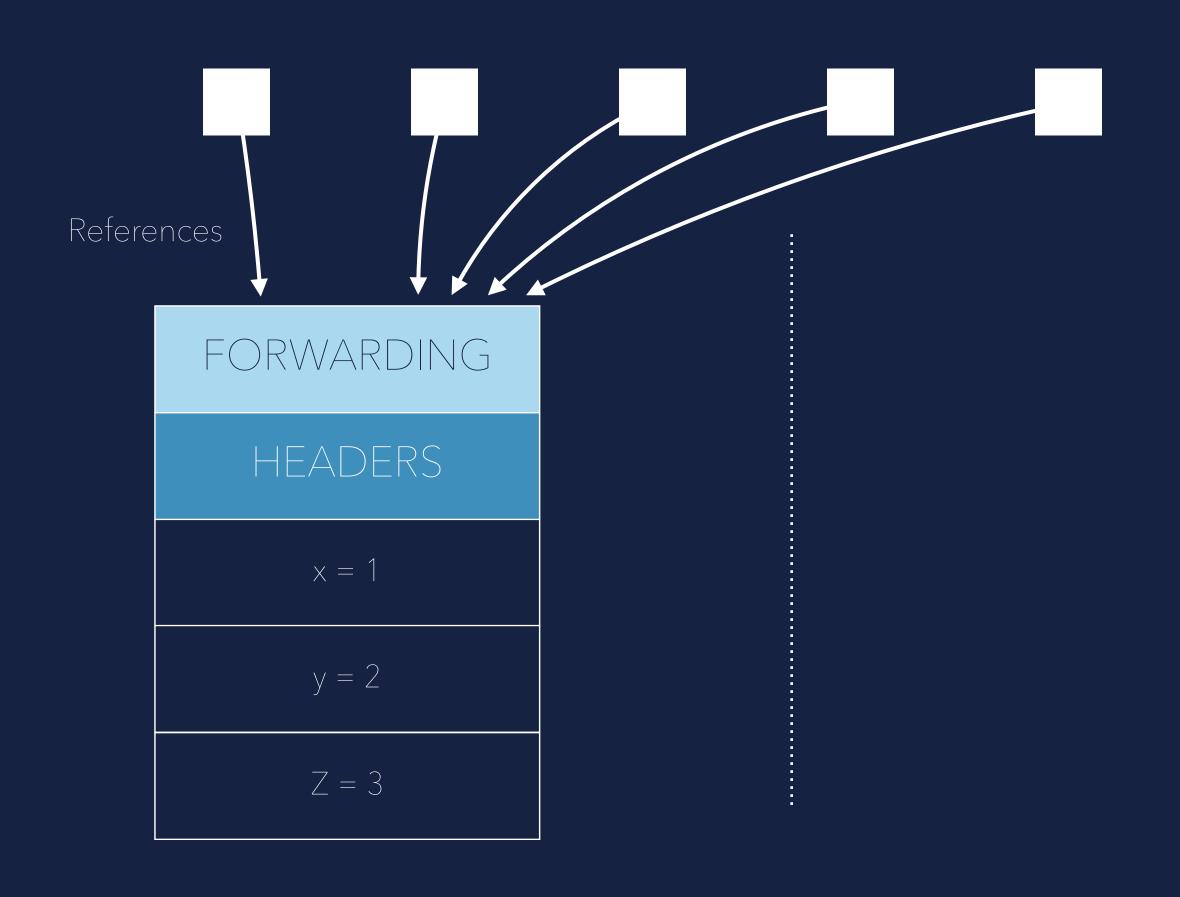


FROM Space

TO Space

Thread

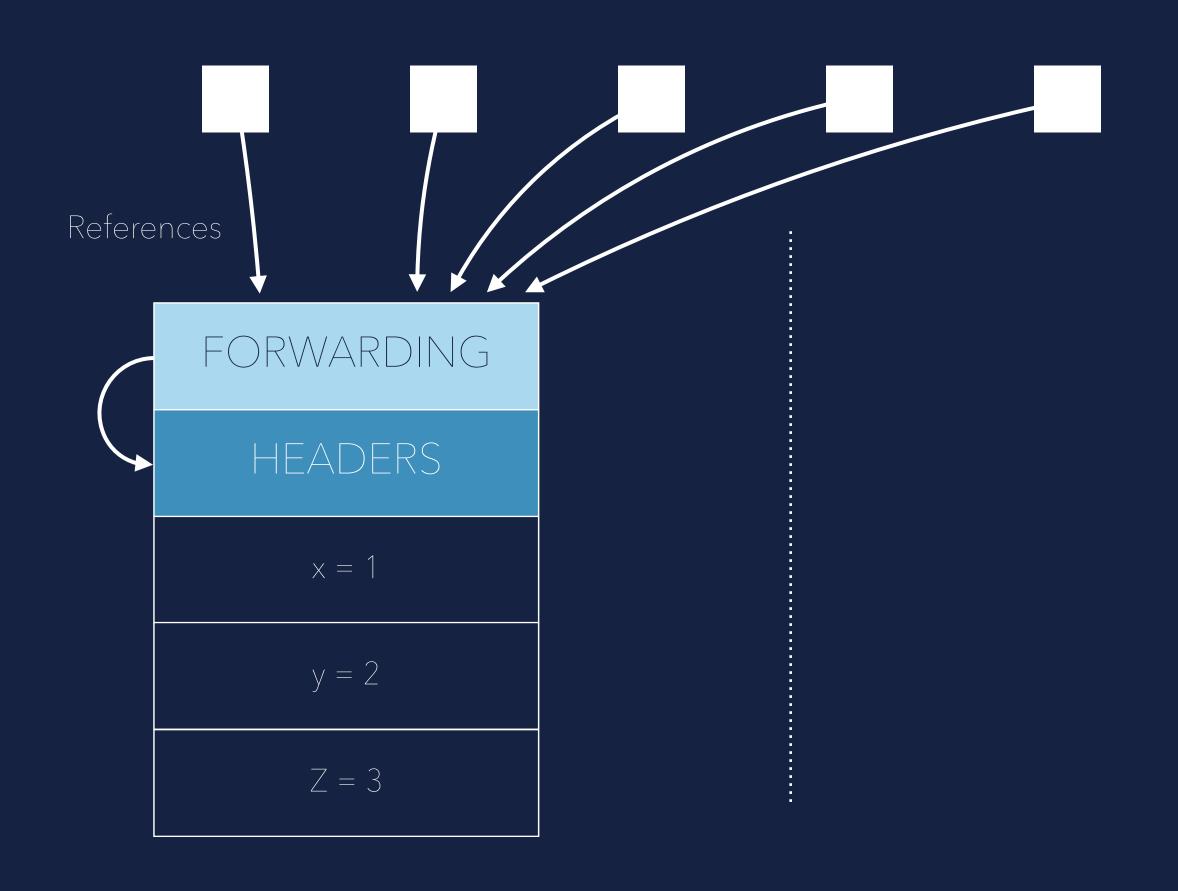
Concurrent copying



Solution could be installing a Brooks Pointer...

FROM Space

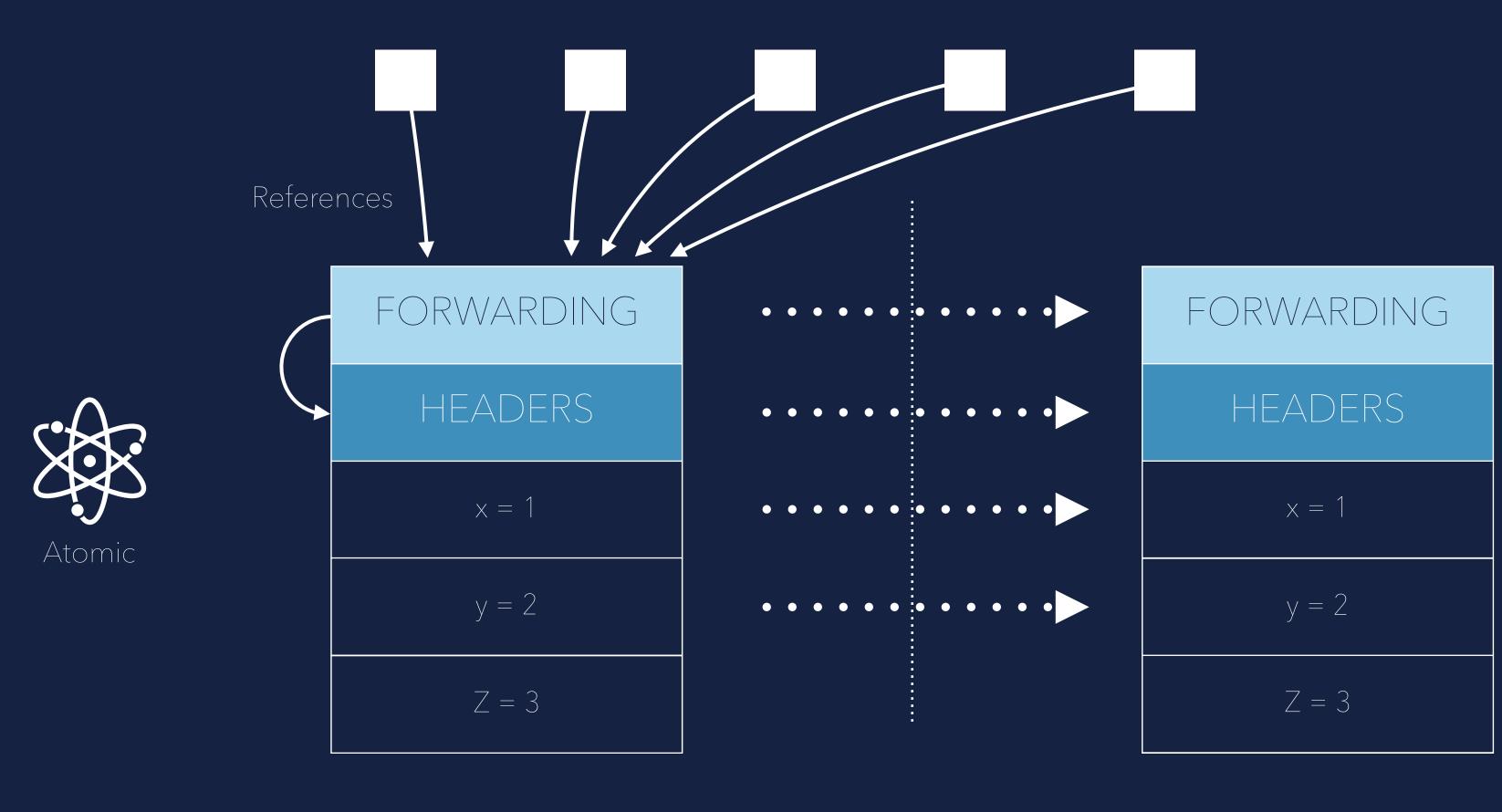
Concurrent copying



...which points to object header itself

FROM Space

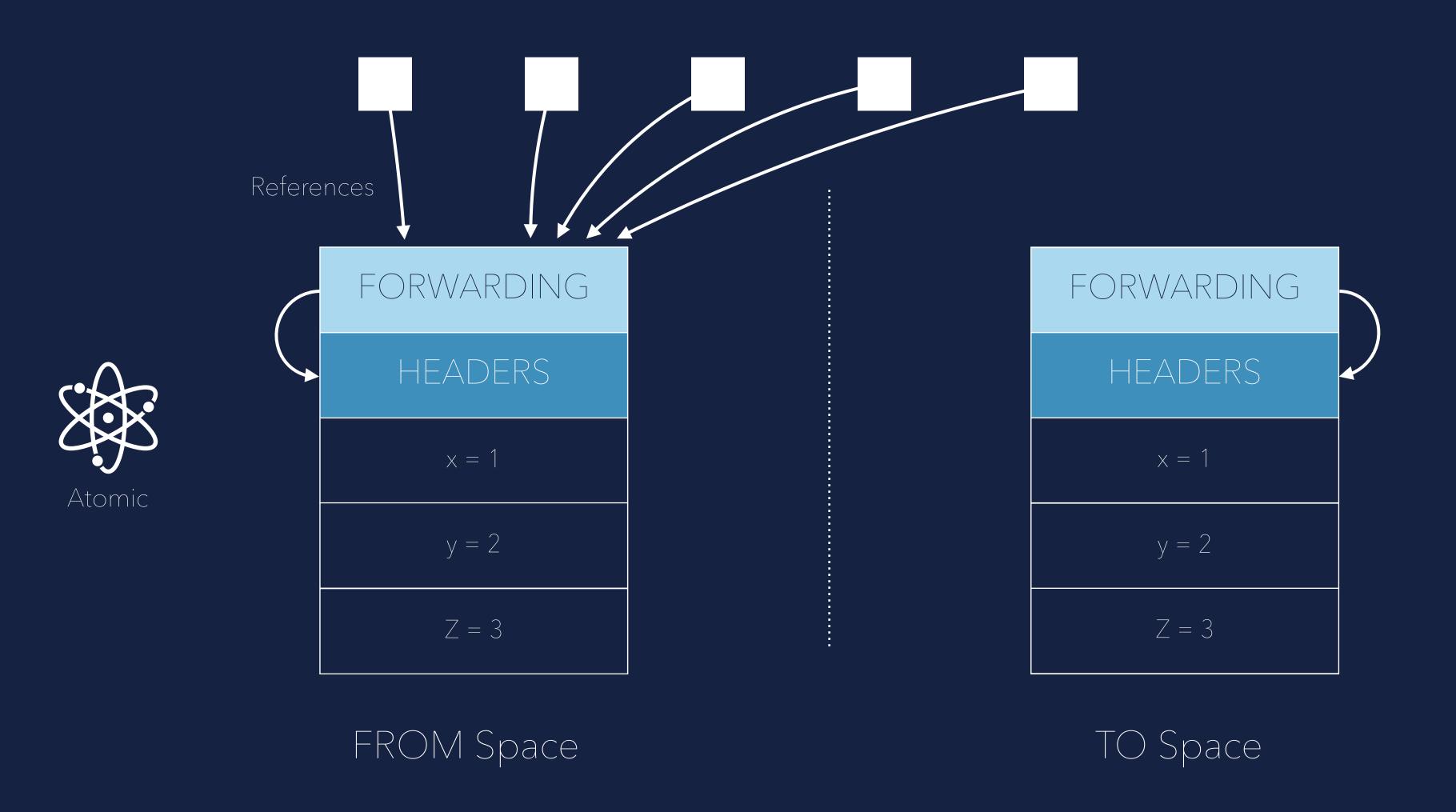
Concurrent copying



Copy the Object

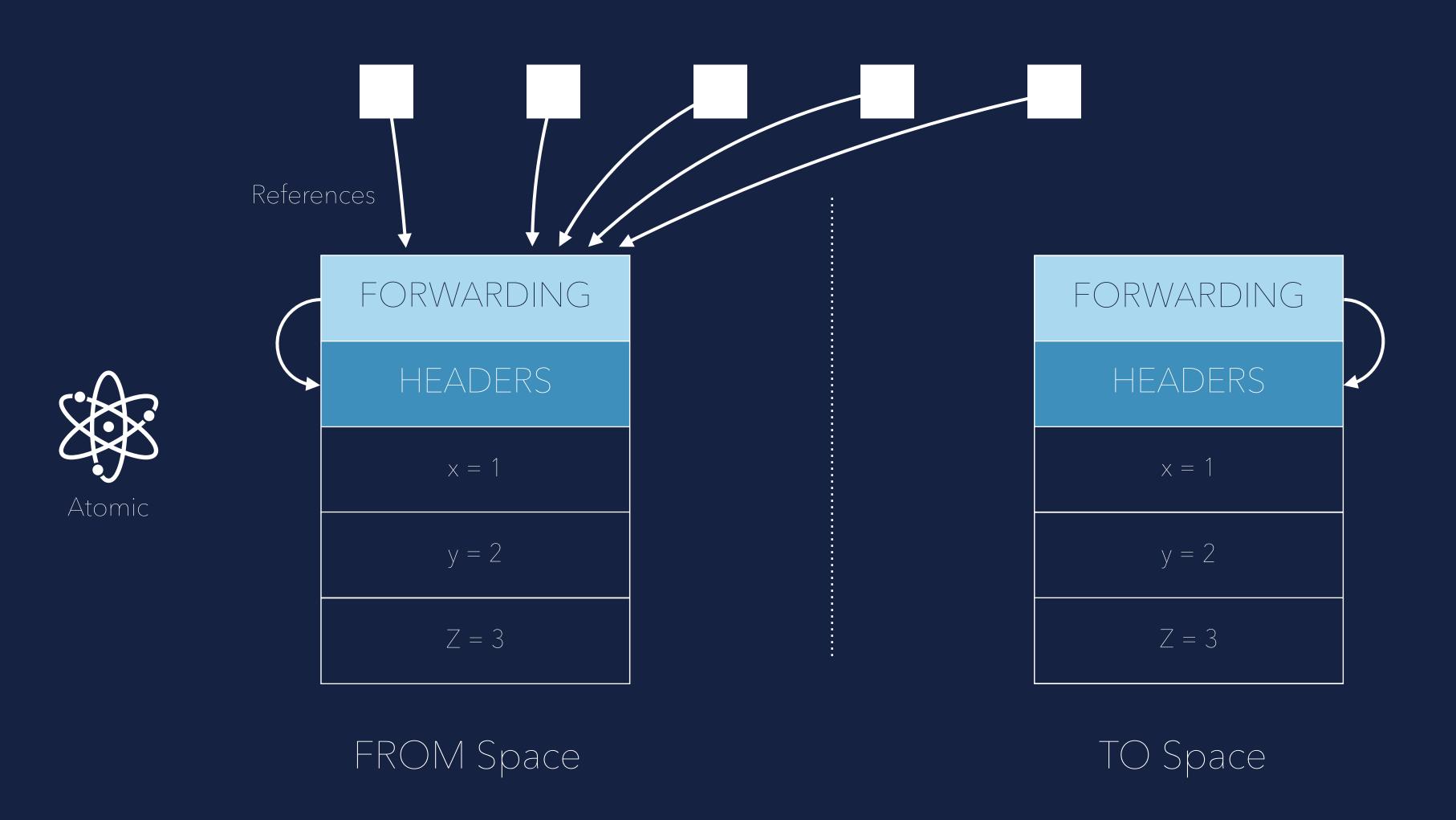
FROM Space

Concurrent copying



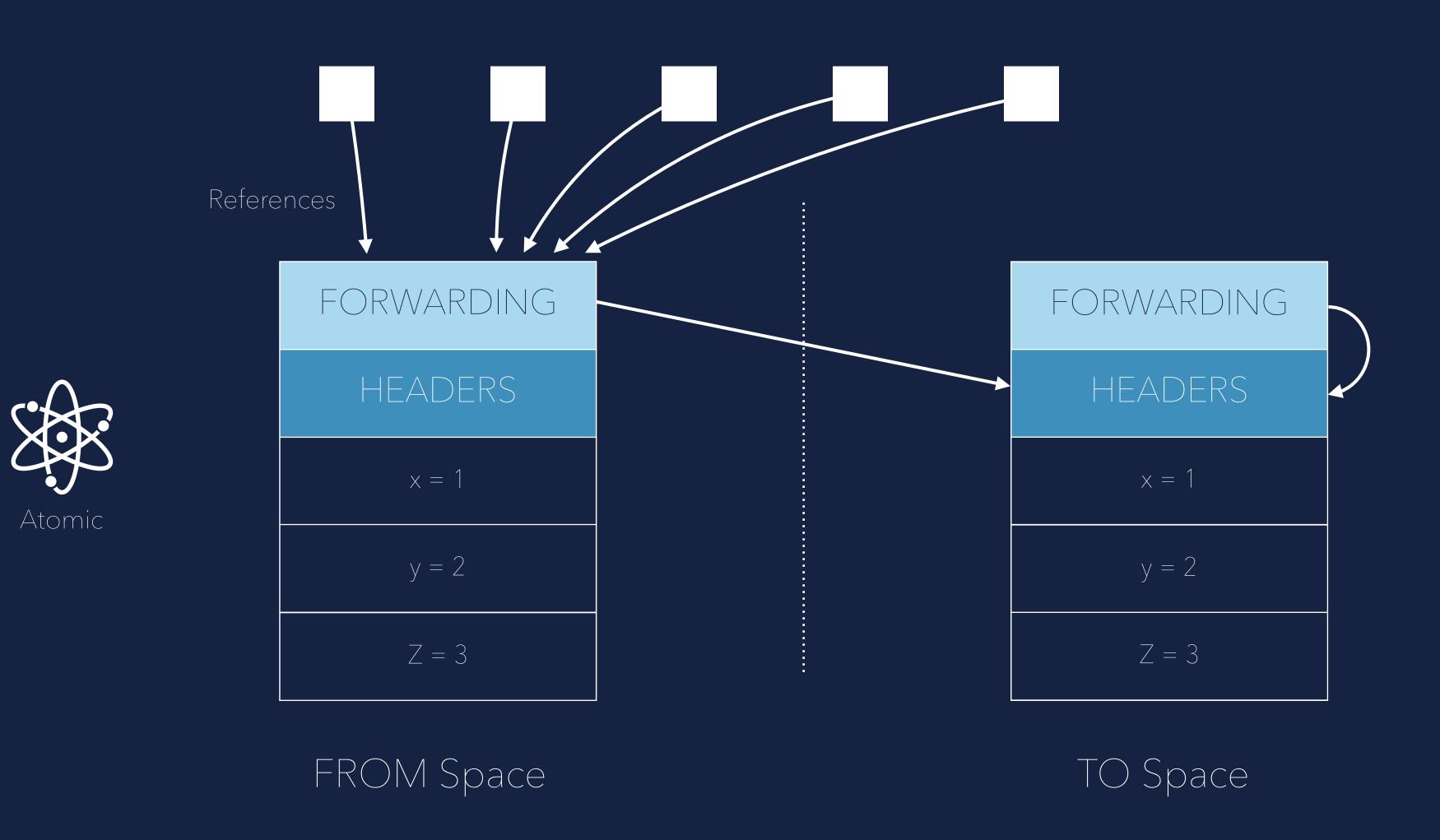
Install forwarding pointer to itself

Concurrent copying



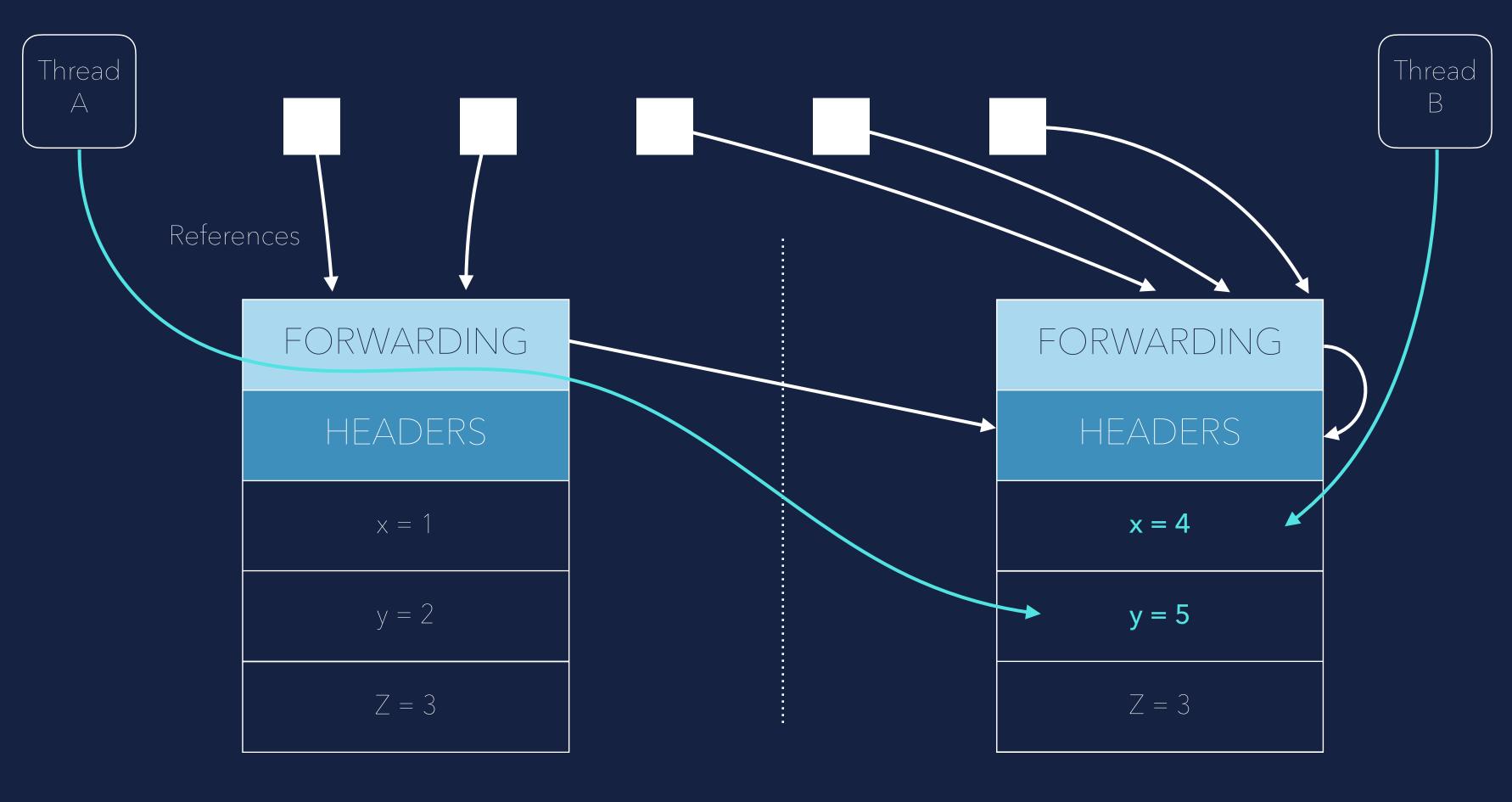
Nobody knows about copy

Concurrent copying



Atomically update forwarding pointer of original object to new copy

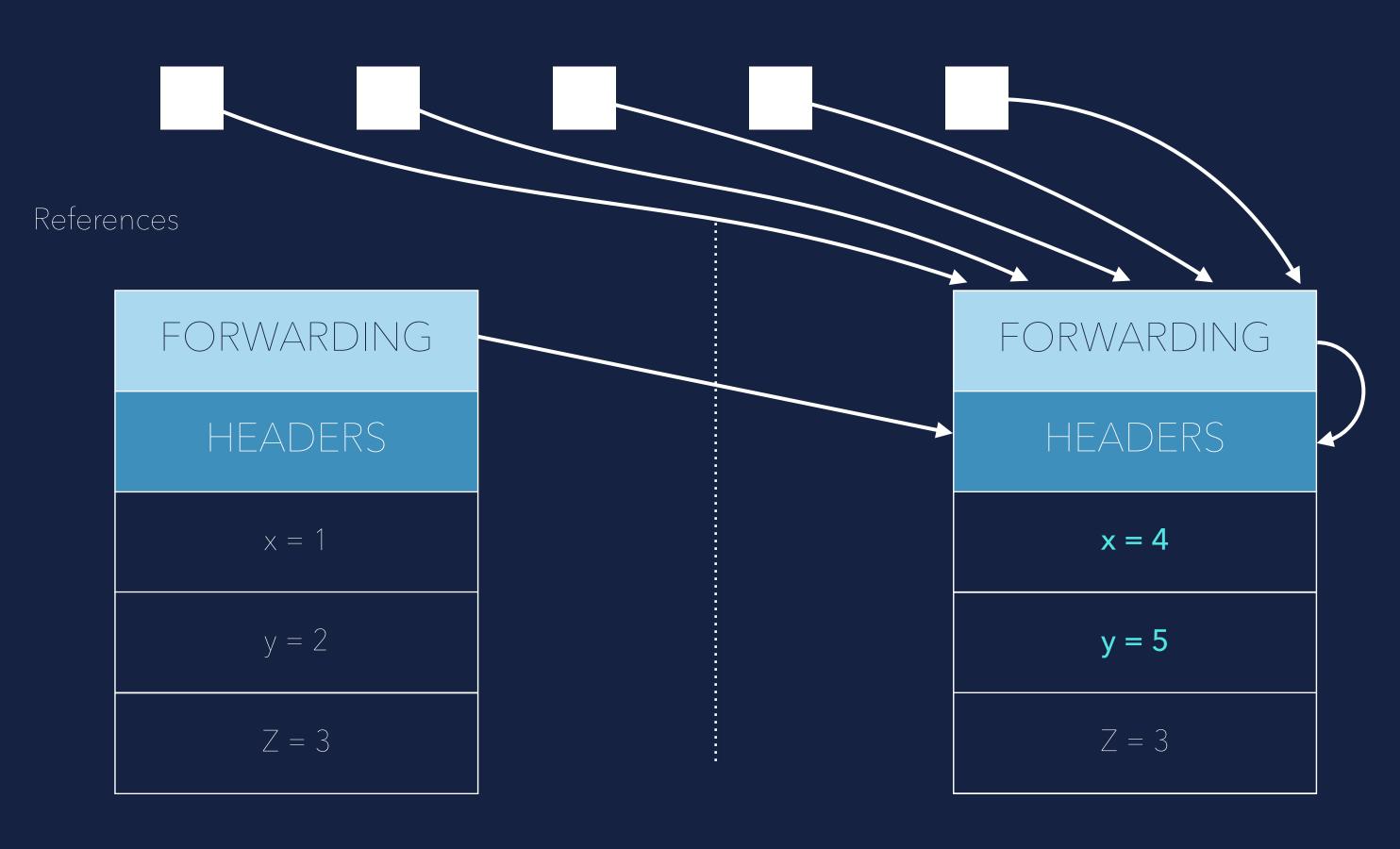
Concurrent copying



Threads now will always find the right object

FROM Space

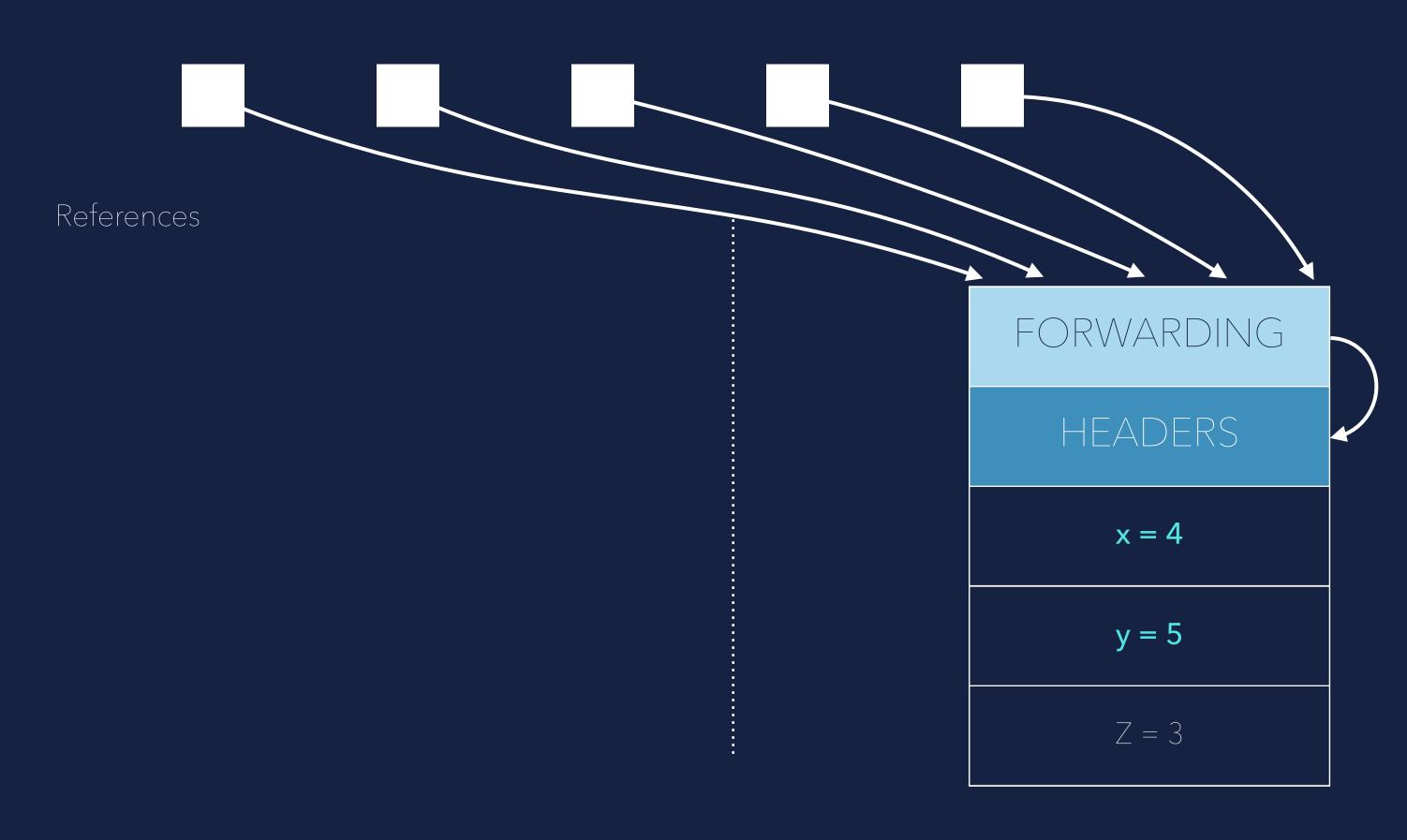
Concurrent copying



When all references are updated...

FROM Space

Concurrent copying



Remove the old object

FROM Space

COLLECTORS INTHE JVM



SERIAL



ALL JDK'S
YES
SMALL - MEDIUM
LONGER
HIGHER
LOW (1-5%)

CHOOSE WHEN

- Single core systems with small heap (<4GB)
- No pause time requirements

BEST SUITED FOR

- Single threaded applications
- Development environments
- Microservices on small nodes

OS SUPPORT (

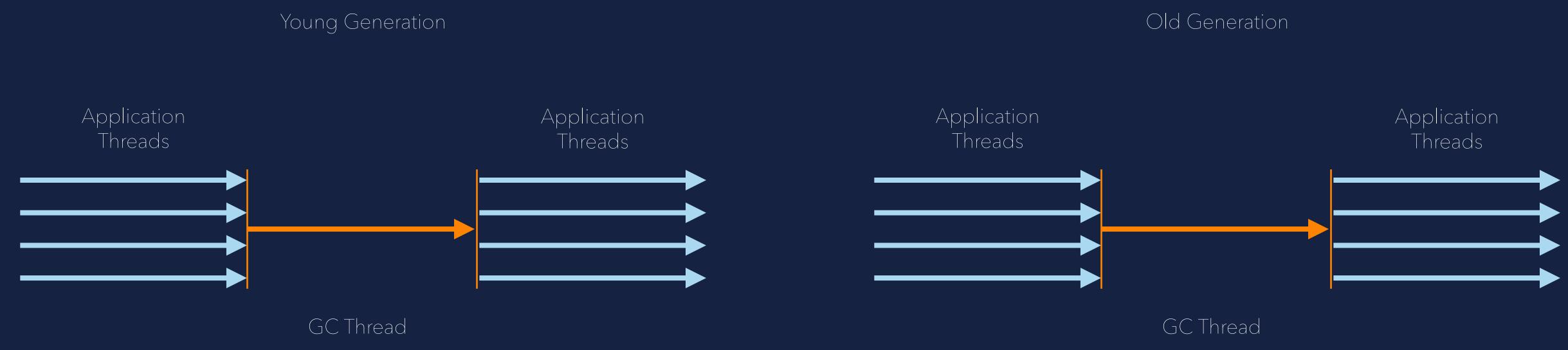
JVM SWITCH

> java -XX:+UseSerialGC

SERIAL



- Automatically selected if only a single processor is available
- Automatically selected if the avail. memory less than 1792 MB
- Mark and Compact





PARALLEL



AVAILABILITY	ALL JDK'S
PARALLEL	YES
CONCURRENT	
GENERATIONAL	YES
HEAP SIZE	MEDIUM - LARGE
PAUSE TIMES	MODERATE
THROUGHPUT	HIGH
LATENCY	LOWER
CPU OVERHEAD	MODERATE (5-10%)

CHOOSE WHEN

- Multi-core systems with small heap (<4GB)
- Peak performance is needed without pause time requirements

BEST SUITED FOR

- Batch processing
- Scientific computing
- Data analysis

OS SUPPORT

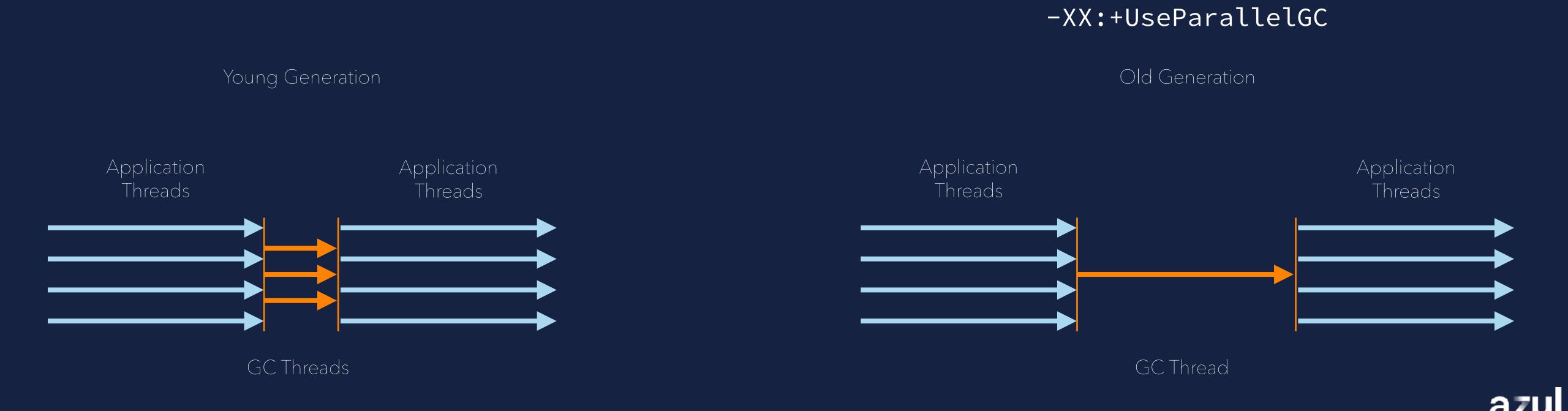
JVM SWITCH

> java -XX:+UseParallelGC/-XX:+UseParallelOldGC

PARALLEL



- Default garbage collector from JDK 5 to JDK 8
- Mark and Compact



PARALLEL



- Default garbage collector from JDK 5 to JDK 8
- Mark and Compact







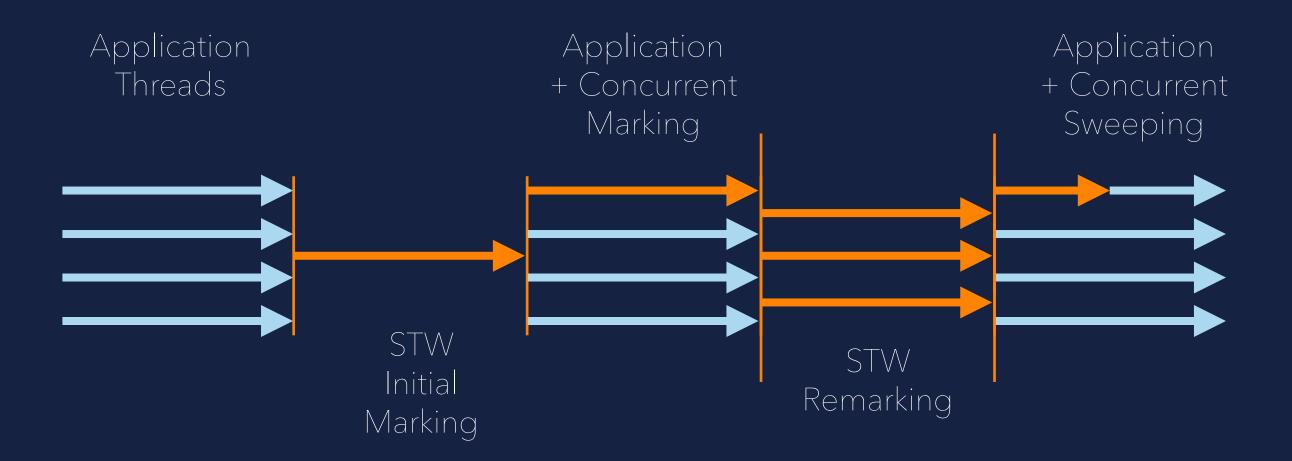




AVAILABILITY	JDK 1.4 - 13	CHOOSE WHEN Response time is more important than throughput	
PARALLEL	YES	Pause time must be kept shorter than 1 sec	
CONCURRENT	PARTIALLY		
GENERATIONAL	YES	BEST SUITED FOR	
HEAP SIZE	MEDIUM - LARGE	Web applications Mediums sized enterprise systems	
PAUSE TIMES	MODERATE		
THROUGHPUT	MODERATE		
LATENCY	MODERATE	OS SUPPORT	
CPU OVERHEAD	MODERATE (5-15%)	<pre>JVM SWITCH > java -XX:+UseConcMarkSweepGC</pre>	

CMS

- Deprecated as of JDK 9
- Removed from JDK 14
- Concurrent marking but no compaction -> Fragmentation











Heap-Layout

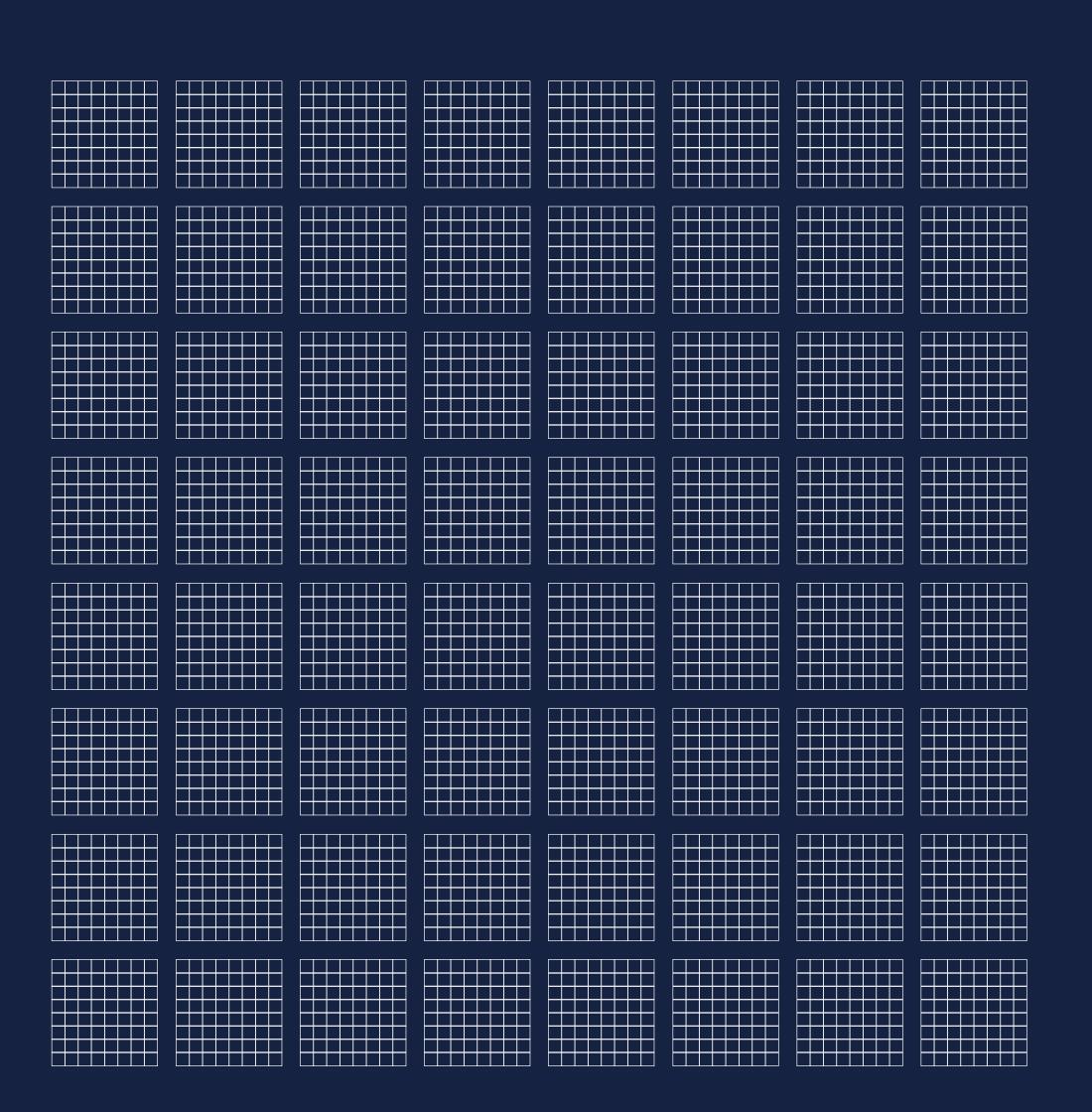
Region size 1 - 32 MB

Max no. of region <= 2048

Heap Region
< 4 GB - 1 MB
< 8 GB - 2 MB
< 16 GB - 4 MB
< 32 GB - 8 MB
< 64 GB - 16 MB
> 64 GB - 32 MB

Example 8GB Heap:

8 GB Heap = 8192 MB 8192 MB / 2048 = 4 MB region size









Heap-Layout

Region size 1 - 32 MB

Max no. of region <= 2048

Heap Region

< 4 GB - 1 MB

< 8 GB - 2 MB

< 16 GB - 4 MB

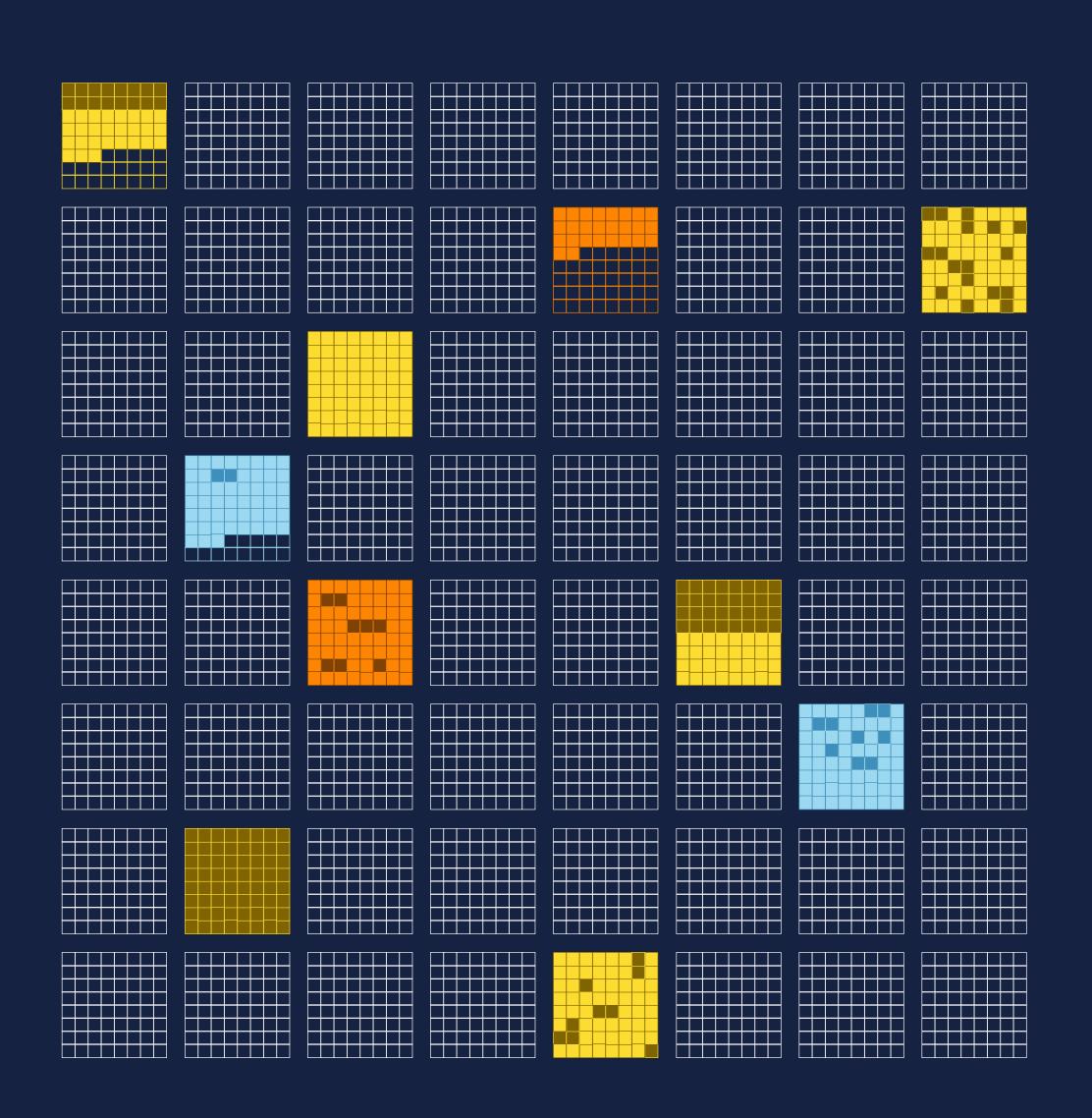
< 32 GB - 8 MB

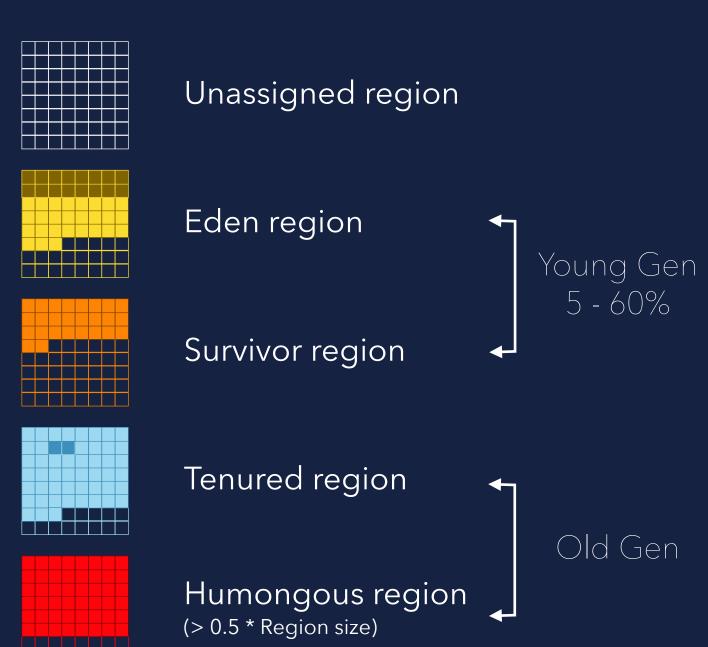
< 64 GB - 16 MB

> 64 GB - 32 MB

Example 8GB Heap:

8 GB Heap = 8192 MB 8192 MB / 2048 = 4 MB region size









Heap-Layout

Region size 1 - 32 MB

Max no. of region <= 2048

Heap Region

< 4 GB - 1 MB

< 8 GB - 2 MB

< 16 GB - 4 MB

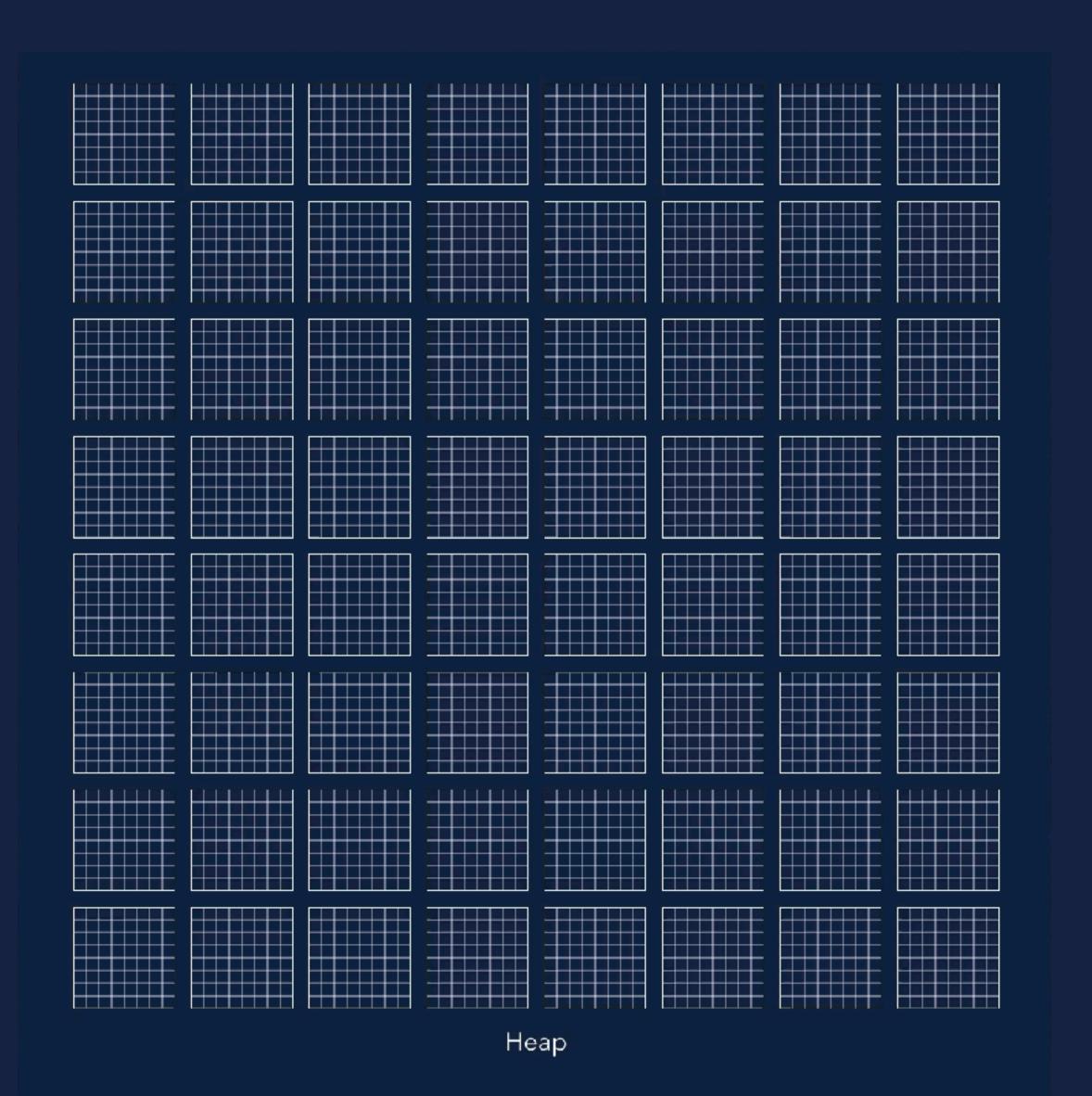
< 32 GB - 8 MB

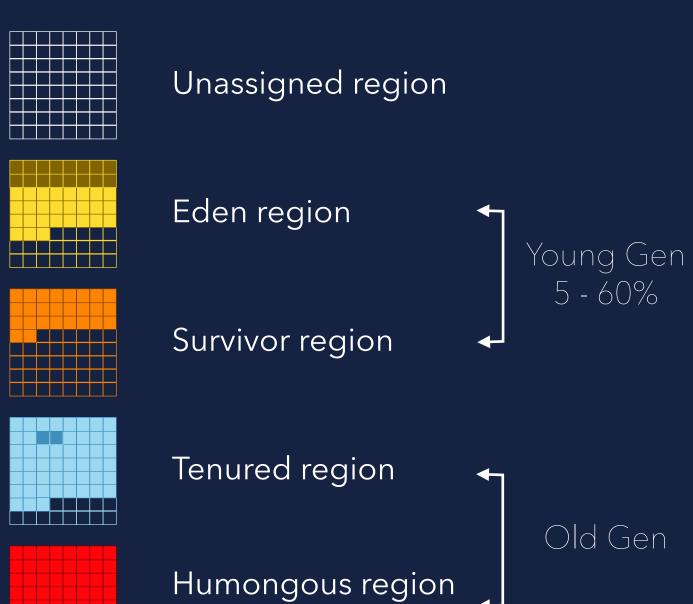
< 64 GB - 16 MB

> 64 GB - 32 MB

Example 8GB Heap:

8 GB Heap = 8192 MB 8192 MB / 2048 = 4 MB region size





Example:

- 6 Eden Regions3 Survivor Regions
- 2 Regions with most garbage will be collected/promoted

(> 0.5 * Region size)





AVAILABILITY	JDK 7U4+
PARALLEL	YES
CONCURRENT	PARTIALLY
GENERATIONAL	YES
HEAP SIZE	MEDIUM - LARGE
PAUSE TIMES	SHORT - MEDIUM
THROUGHPUT	HIGH
LATENCY	LOWER
CPU OVERHEAD	MODERATE (5-15%)

CHOOSE WHEN

- Response time is more important than throughput
- Pause time should be around 200 ms
- Heap size is not larger than 16-32 GB

BEST SUITED FOR

- Mixed workloads
- Large sized enterprise systems
- Responsive in medium to large heaps

OS SUPPORT



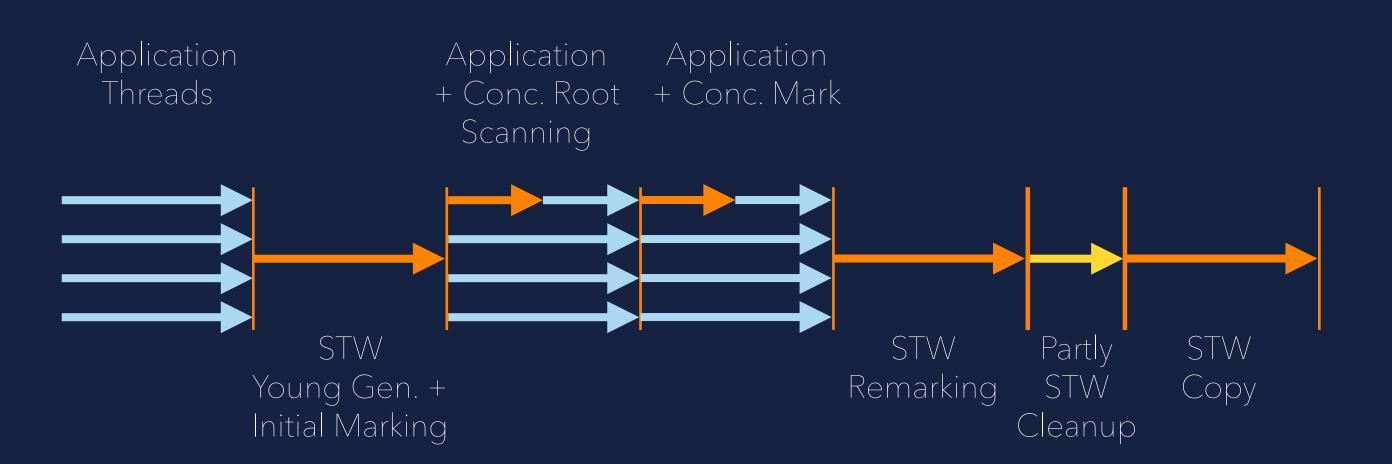
JVM SWITCH

> java -XX:+UseG1GC





- Default collector from JDK 9 onwards
- Concurrent marking







AVAILABILITY	JDK 11+
PARALLEL	_
CONCURRENT	_
GENERATIONAL	_
HEAP SIZE	_
PAUSE TIMES	_
THROUGHPUT	VERY HIGH
LATENCY	VERY LOW
CPU OVERHEAD	VERY LOW

CHOOSE WHEN

- Testing performance or memory pressure
- Highest performance is needed and nearly no garbage is created

BEST SUITED FOR

- Extremely short lived jobs
- Last drop latency improvements
- Last drop throughput improvements

OS SUPPORT



JVM SWITCH

> java -XX:+UnlockExperimentalVMOptions -XX:+UseEpsilonGC



SHENANDOAH

SHENANDOAH



JDK 11.0.9+/JDK 24 **AVAILABILITY** PARALLEL YES CONCURRENT FULLY **GENERATIONAL** NO/YES **HEAP SIZE** MEDIUM - LARGE PAUSE TIMES SHORT VERY HIGH THROUGHPUT VERY LOW LATENCY MODERATE (10-20%) CPU OVERHEAD

CHOOSE WHEN

- Response time is a high priority
- Using a very large heap (100GB+)
- Predictable response times needed

BEST SUITED FOR

- Latency sensitive applications
- Large scale systems
- Highly concurrent applications

OS SUPPORT



JVM SWITCH

> java -XX:+UseShenandoahGC

SHENANDOAH



NOTES

- Not available in Oracle JDK
- A bit reduced throughput due to concurrent GC
- Makes use of new barrier concept, load reference barrier
- First generational version in JDK 24



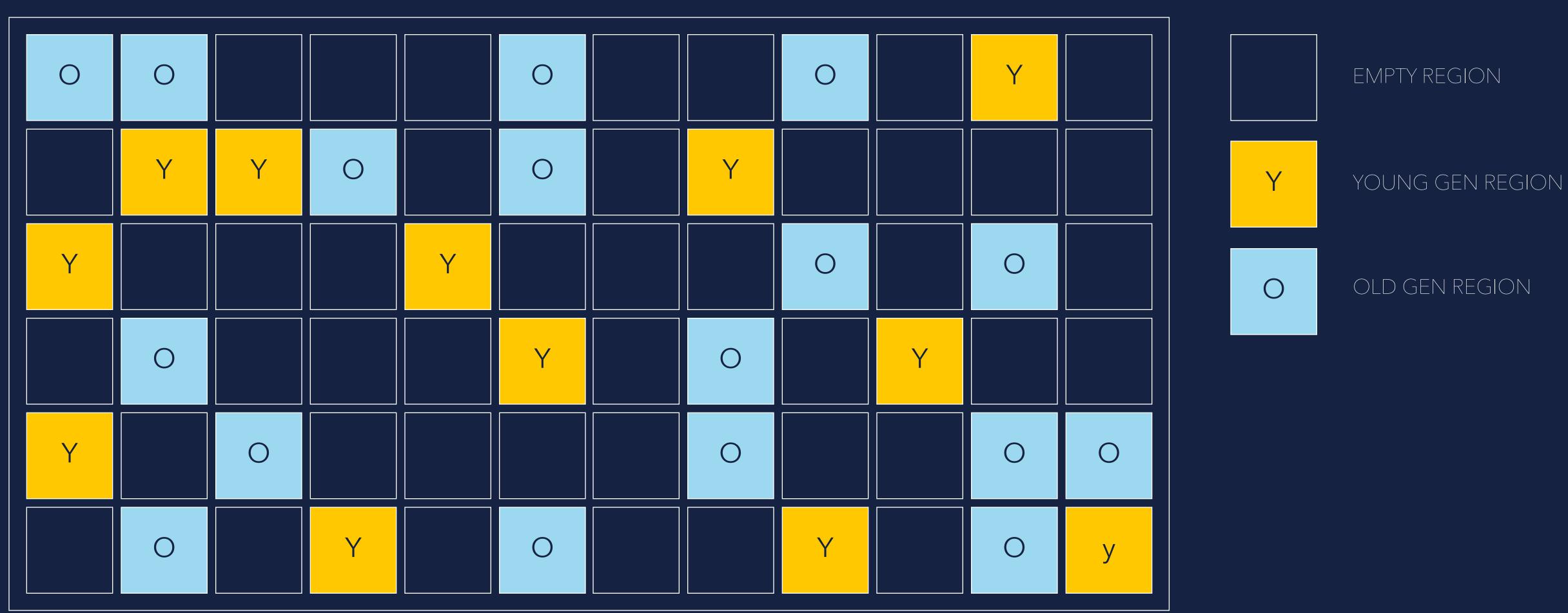






Heap-Layout

HEAP







AVAILABILITY	JDK 15-23 / JDK 21+	CHOOSE WHEN Response times is a high priority			
PARALLEL	YES	Response time is a high priority Using a very large heap (100GB+)			
CONCURRENT	FULLY	Predictable response times needed			
GENERATIONAL	NO/YES	BEST SUITED FOR			
HEAP SIZE	LARGE	Low latency sensitive applications			
PAUSE TIMES	SHORT	Large scale systems			
THROUGHPUT	VERY HIGH	Highly concurrent applications			
LATENCY	VERY LOW	OS SUPPORT			
CPU OVERHEAD	MODERATE (10-20%)	JVM SWITCH > java -XX:+UseZGC			





Concurrent Continues Compacting Collector





NOTES

- Part of Azul Zing JVM
- Makes use of Loaded Value Barrier (LVB) everywhere (Test + Jump which only takes 1 cpu cycle -> very fast)
- LVB is read and write barrier (guaranteed to be hit on every access)
- Best performance by using Transparent Huge Pages (Normal page size 4kB, THP size 2MB)

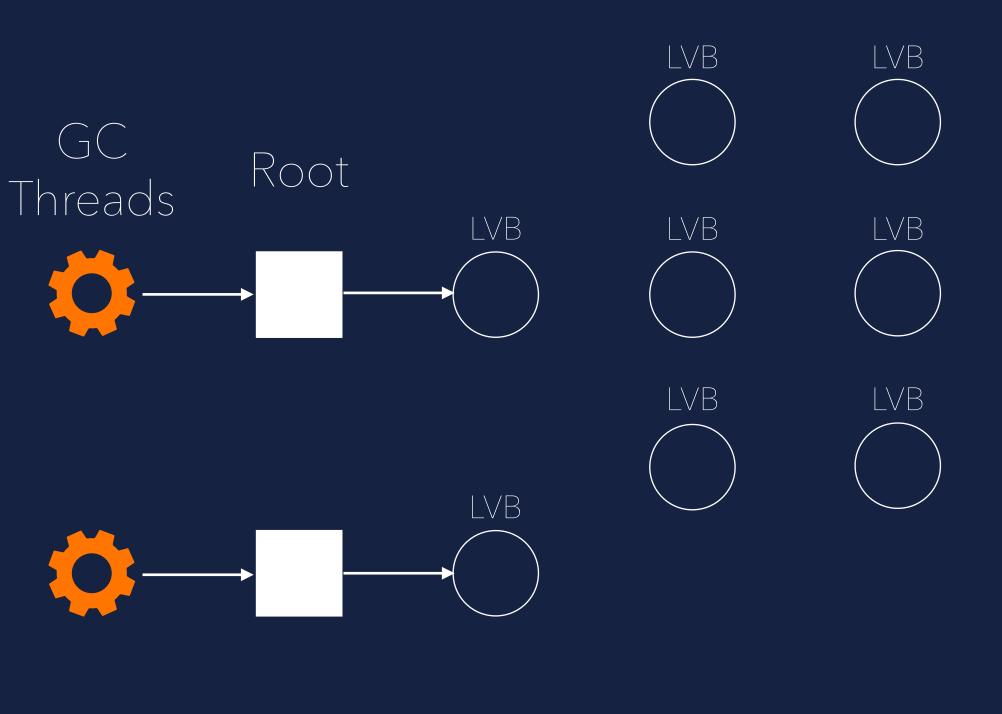




MARKING PHASE

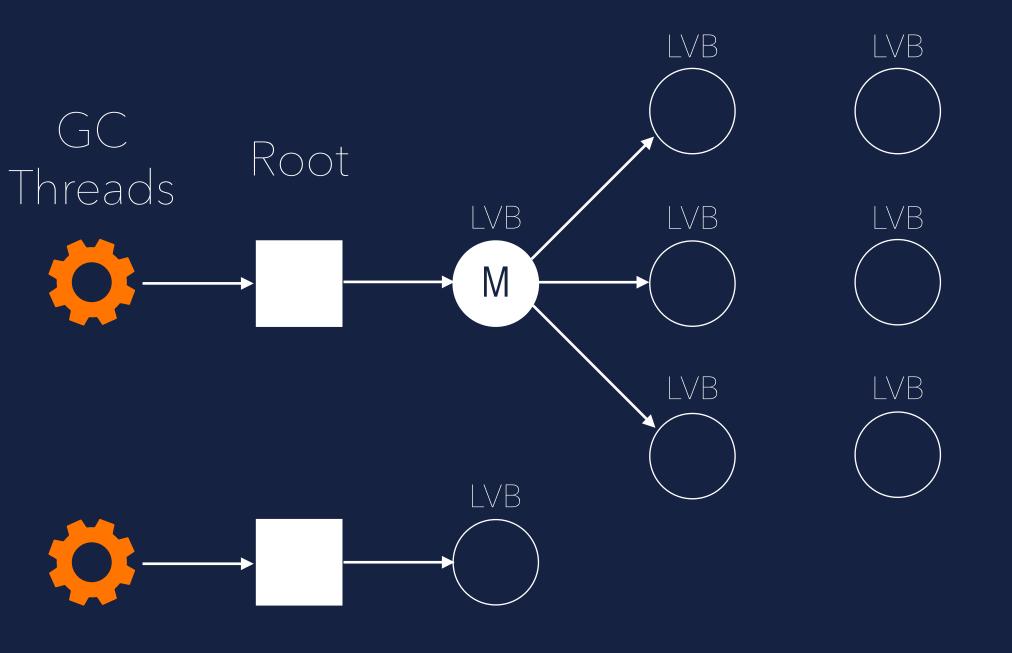


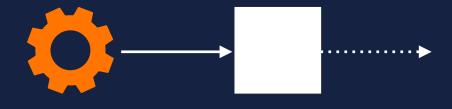




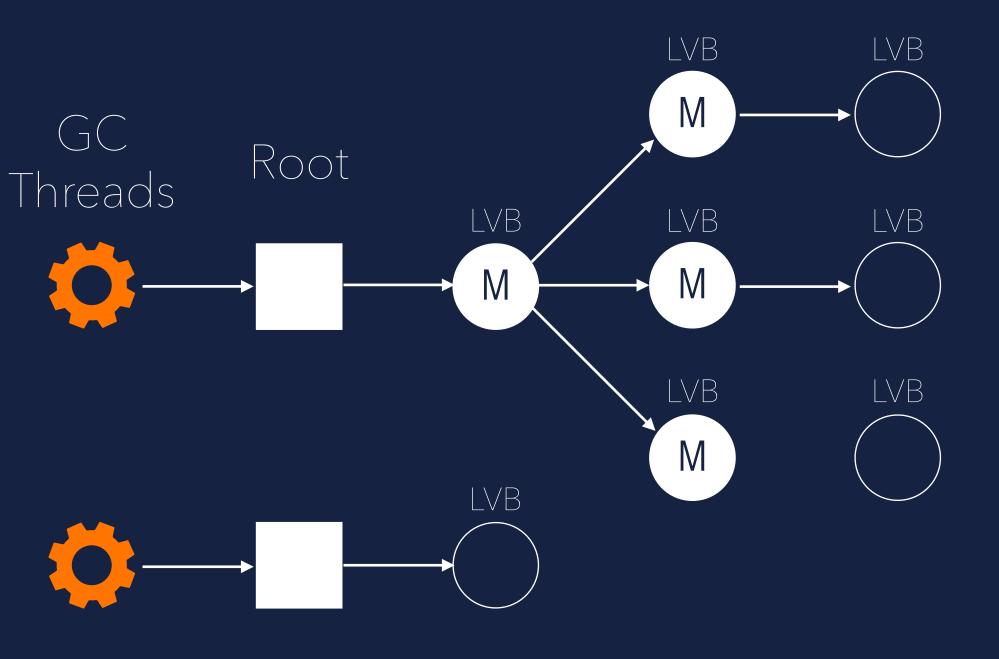


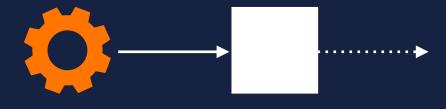




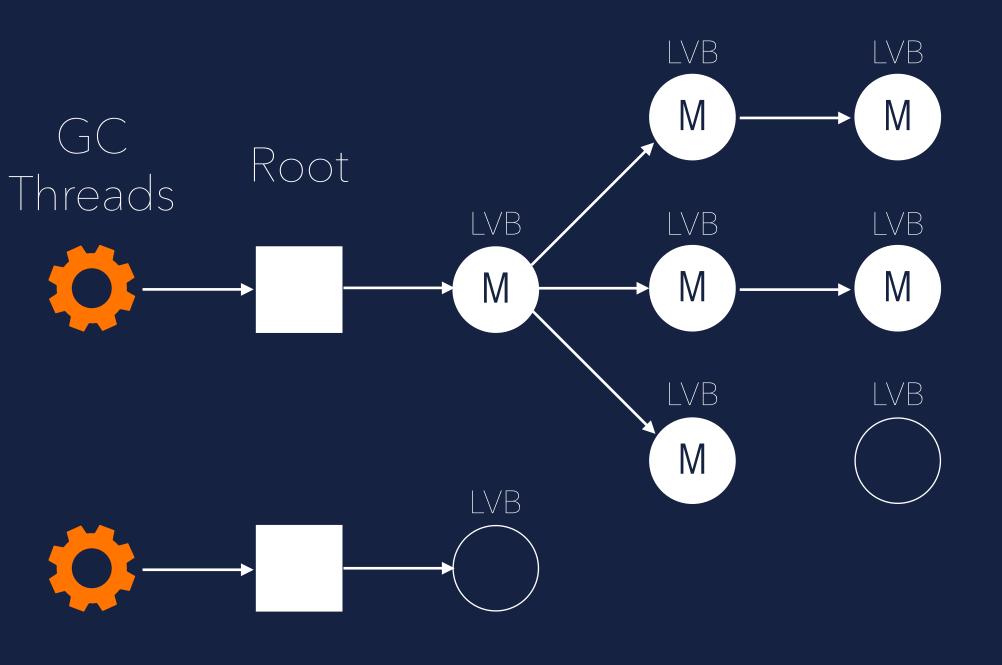


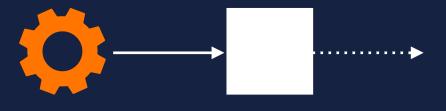




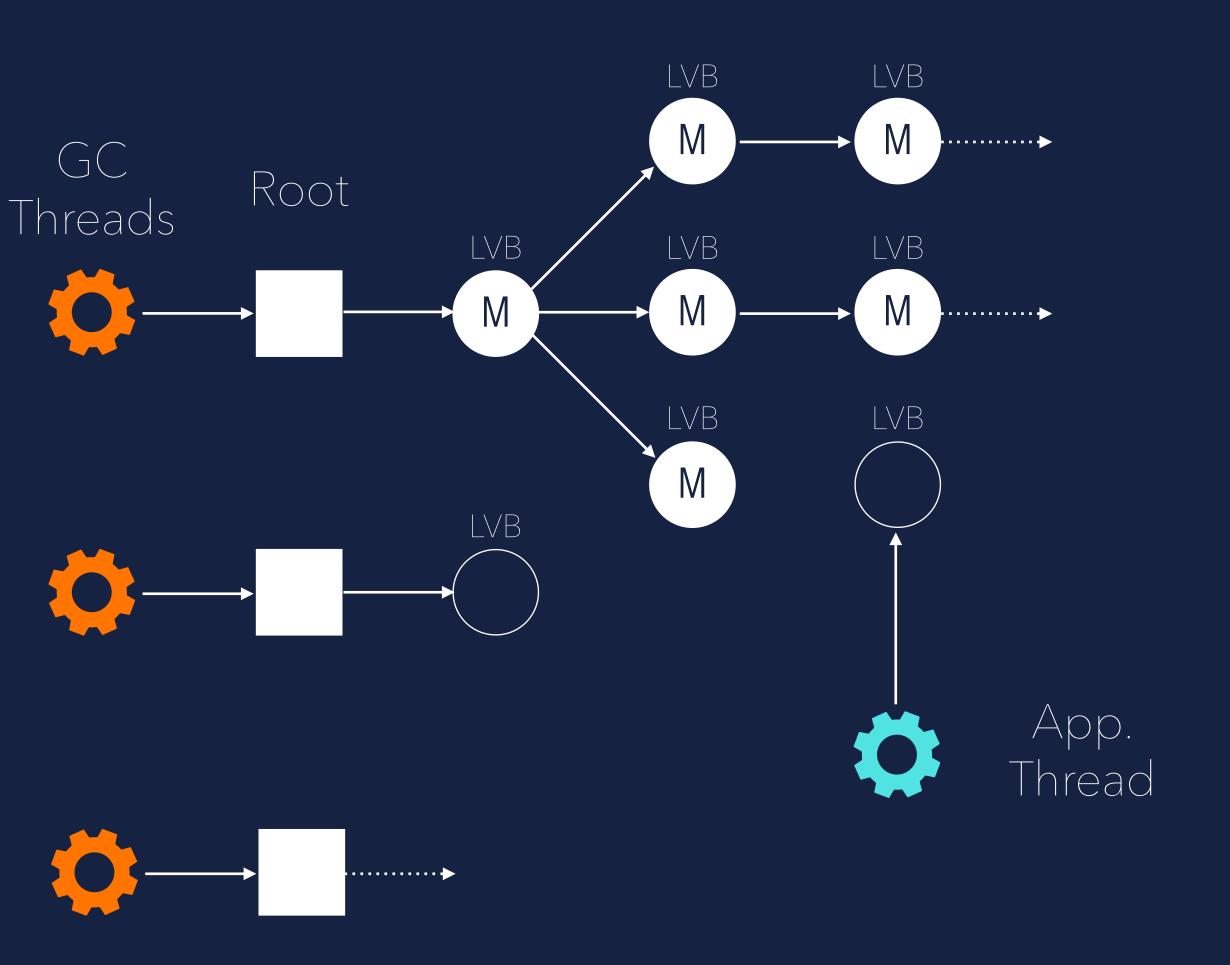






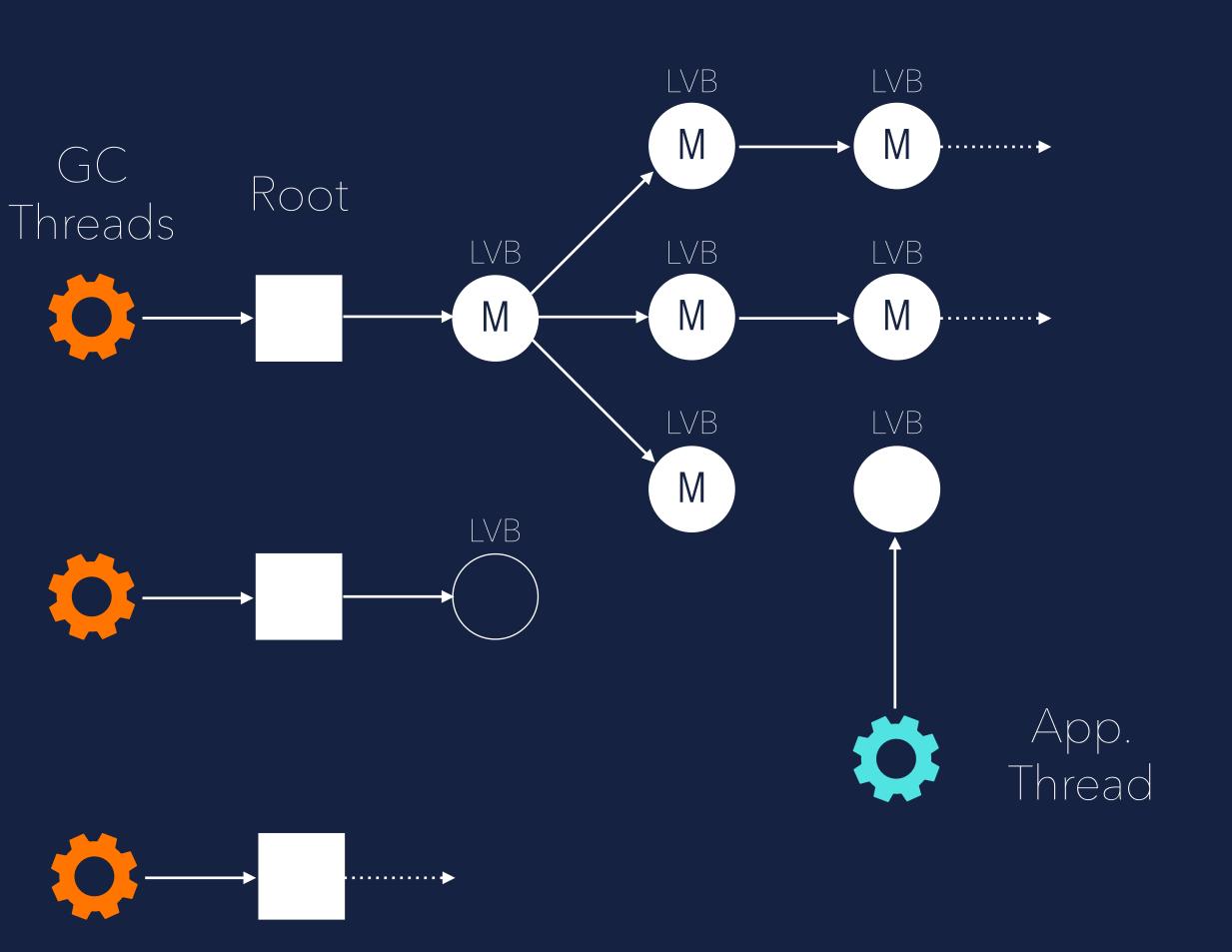








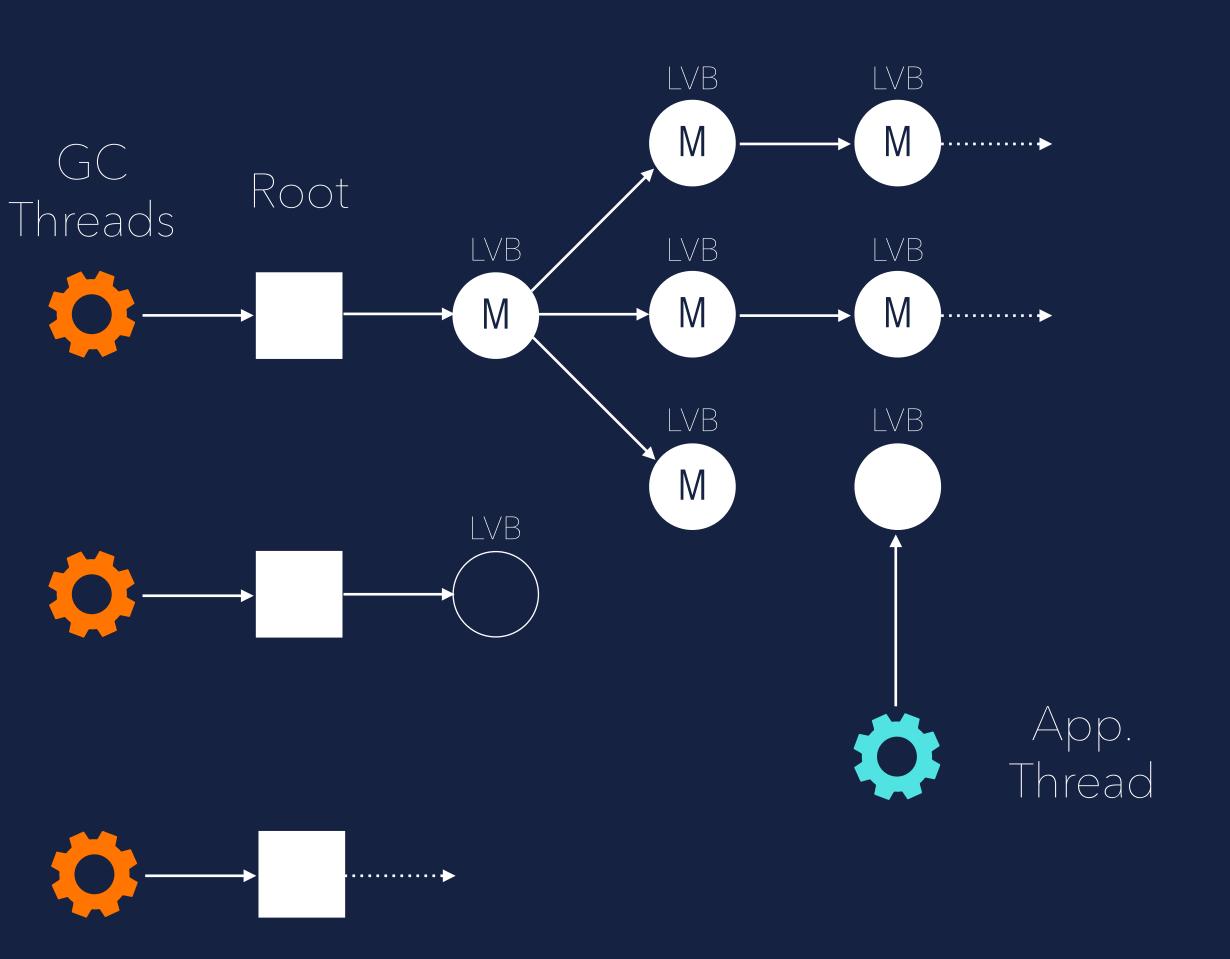
Marking Phase



Trigger LVB



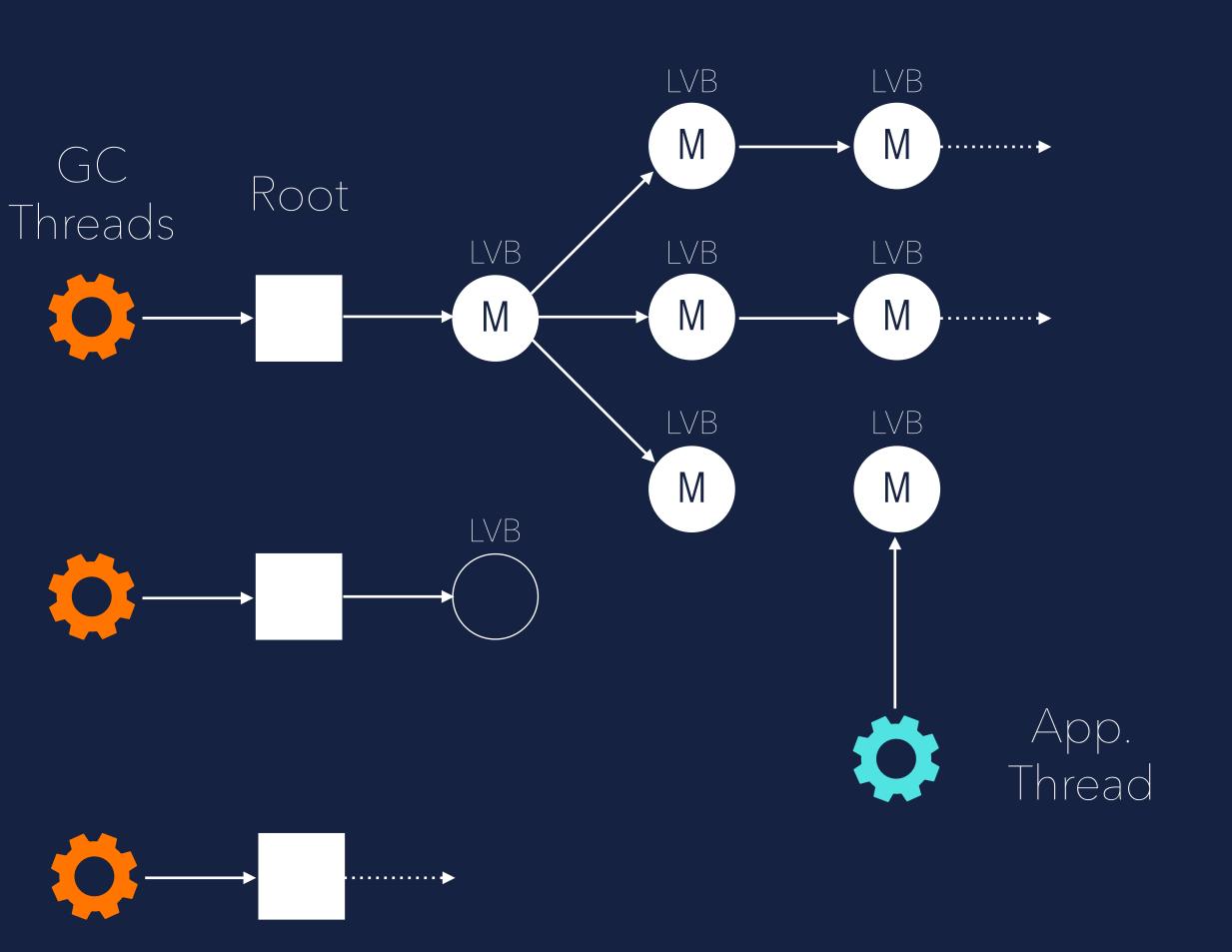
Marking Phase



Test+Jump



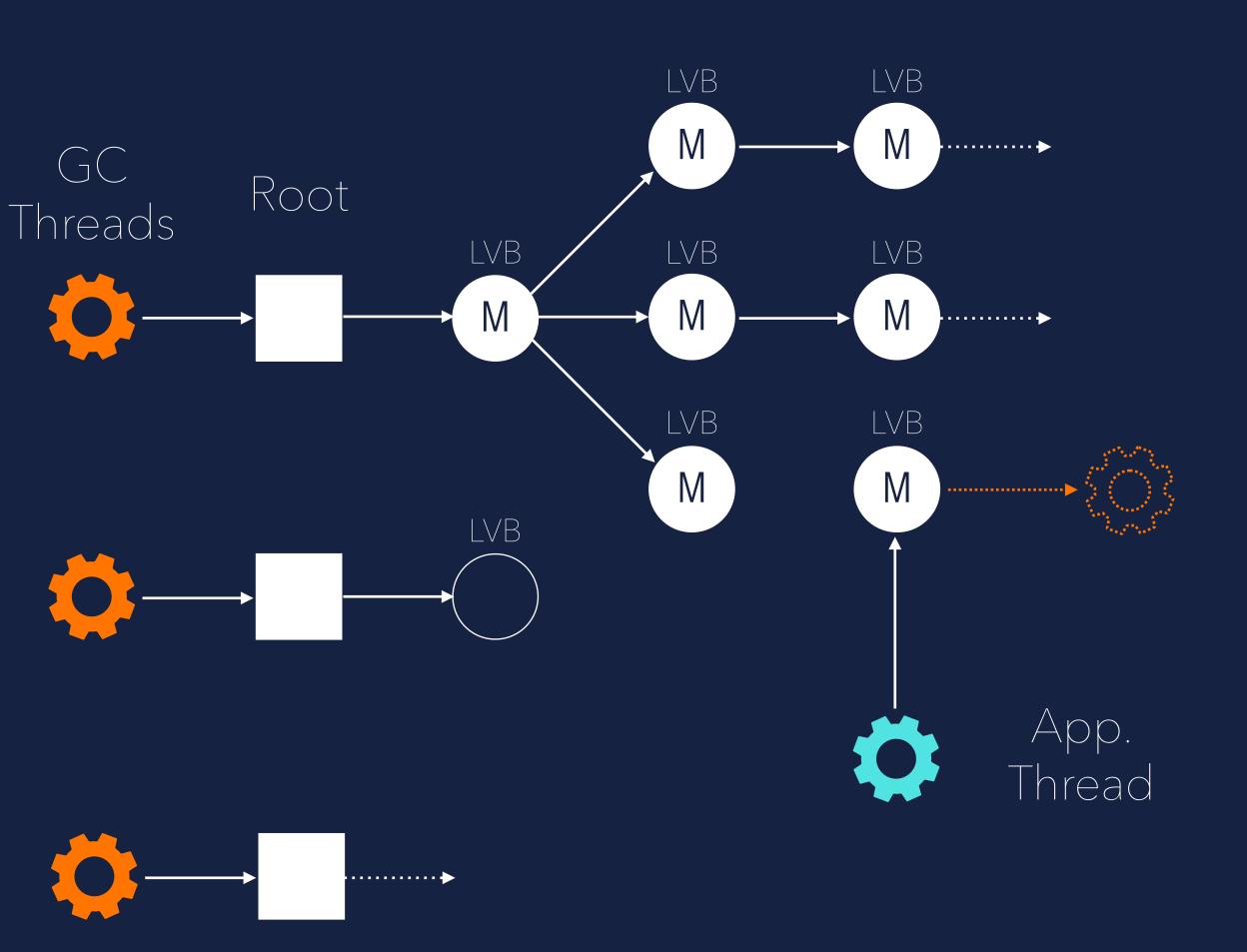
Marking Phase



Mark



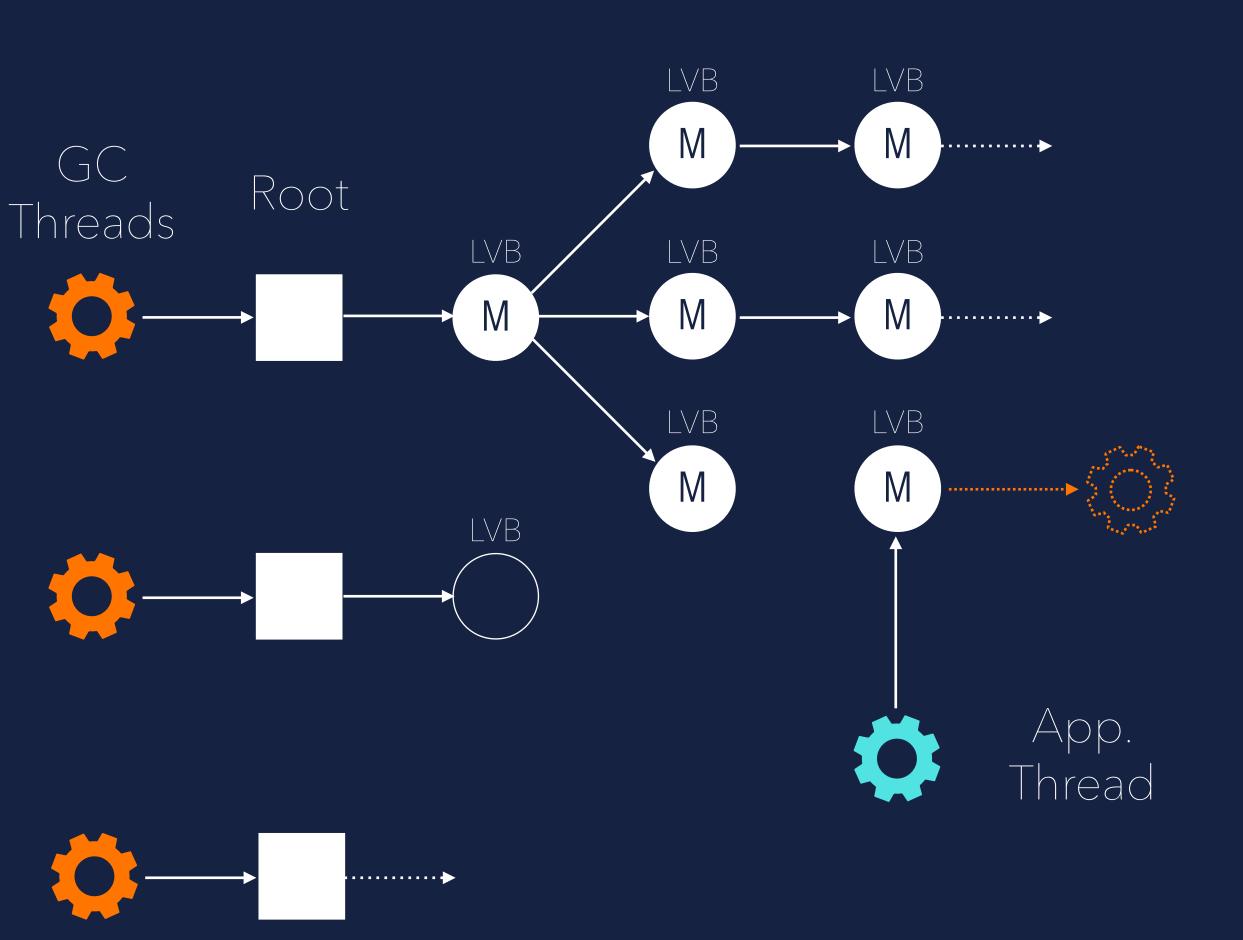
Marking Phase



Hand over to GC



Marking Phase



No need to mark again by GC!



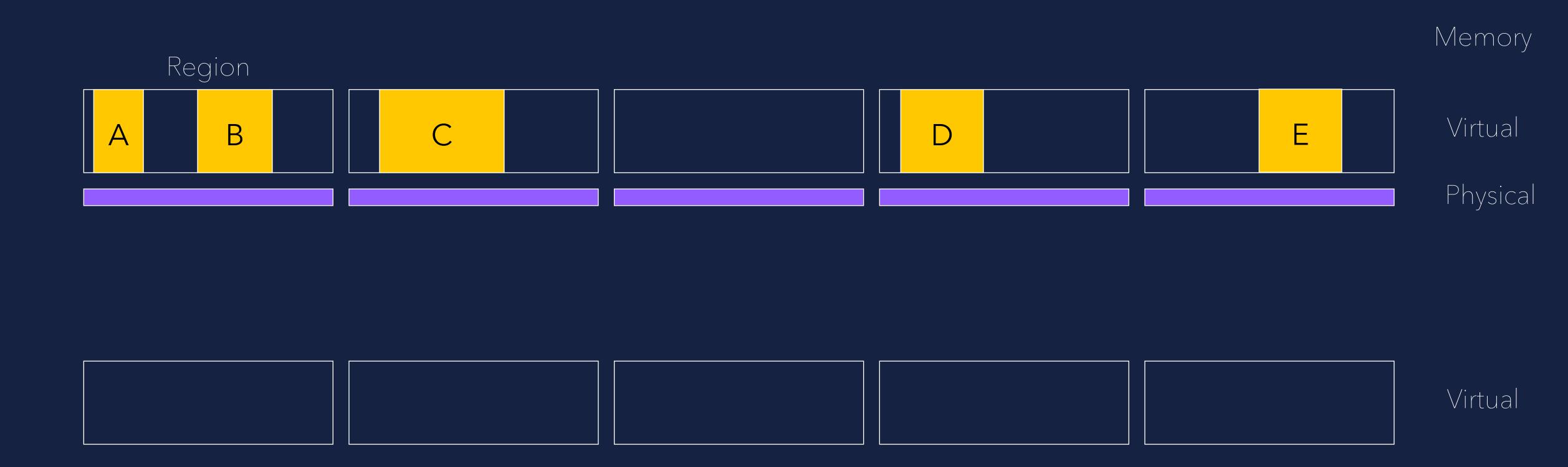


GUICK RELEASE



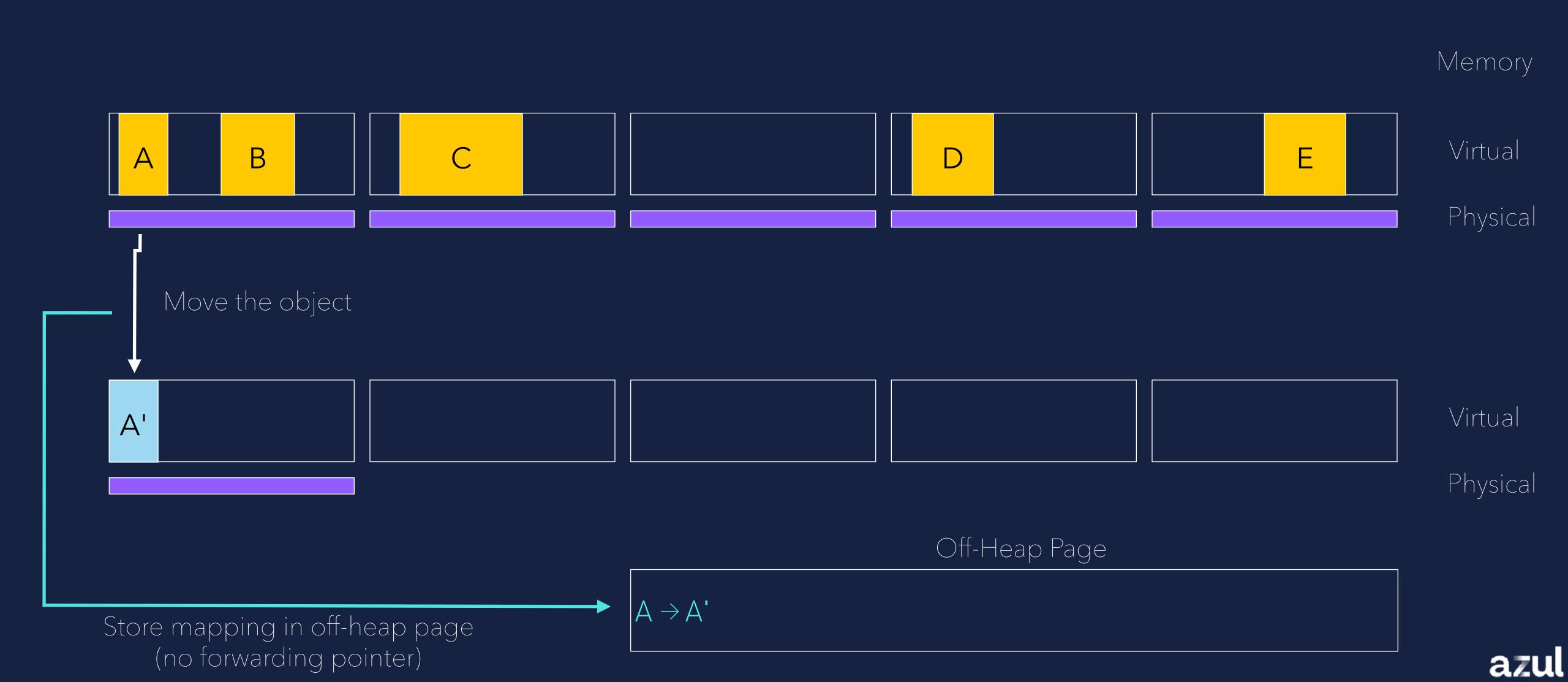


Relocation Phase



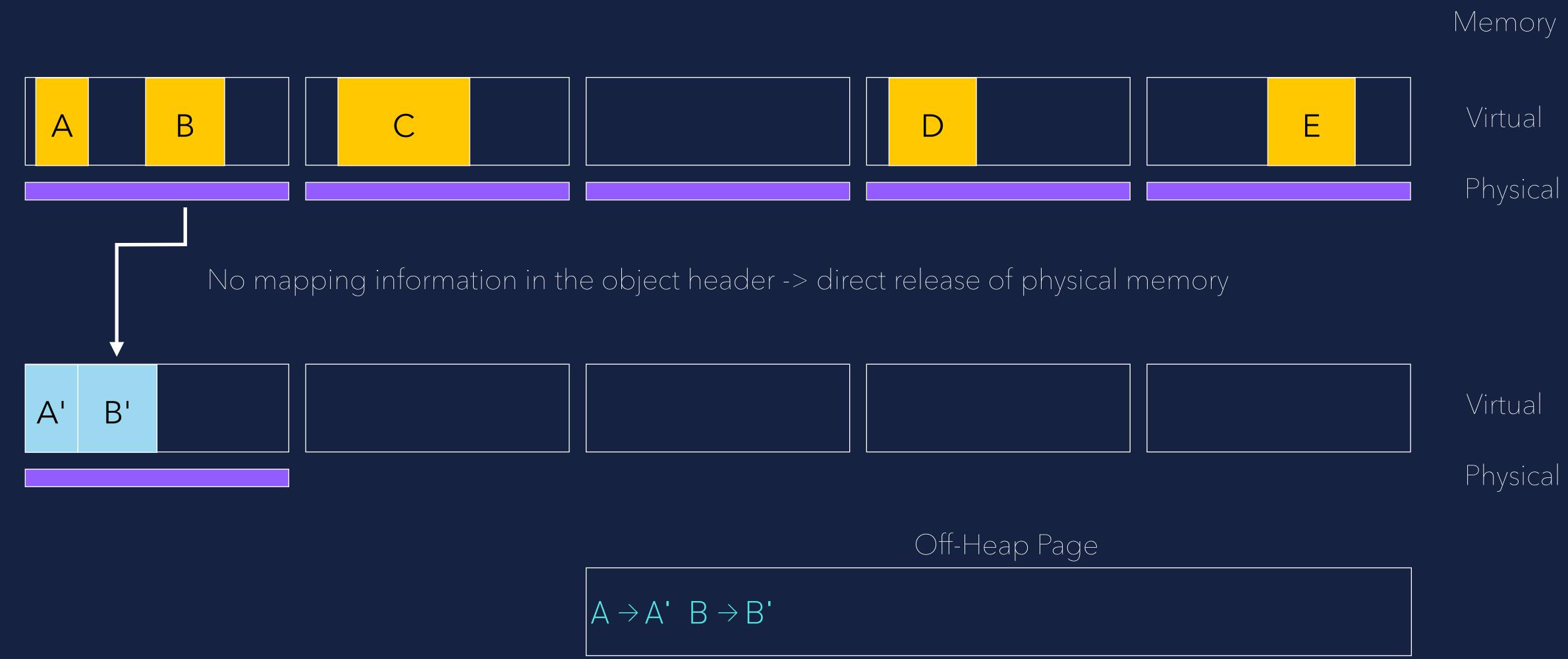










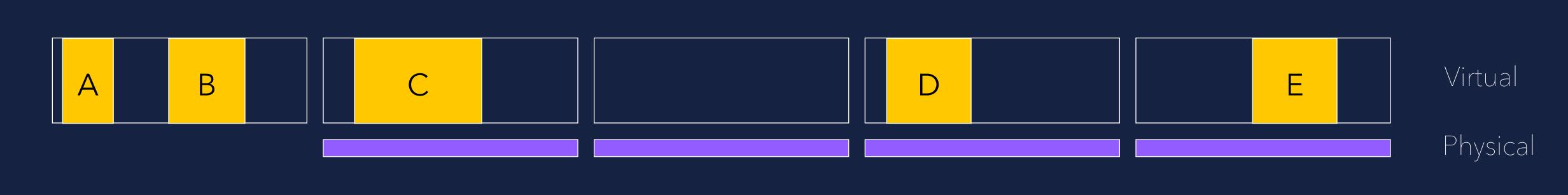






Memory

Relocation Phase (Compaction)



No mapping information in the object header -> direct release of physical memory

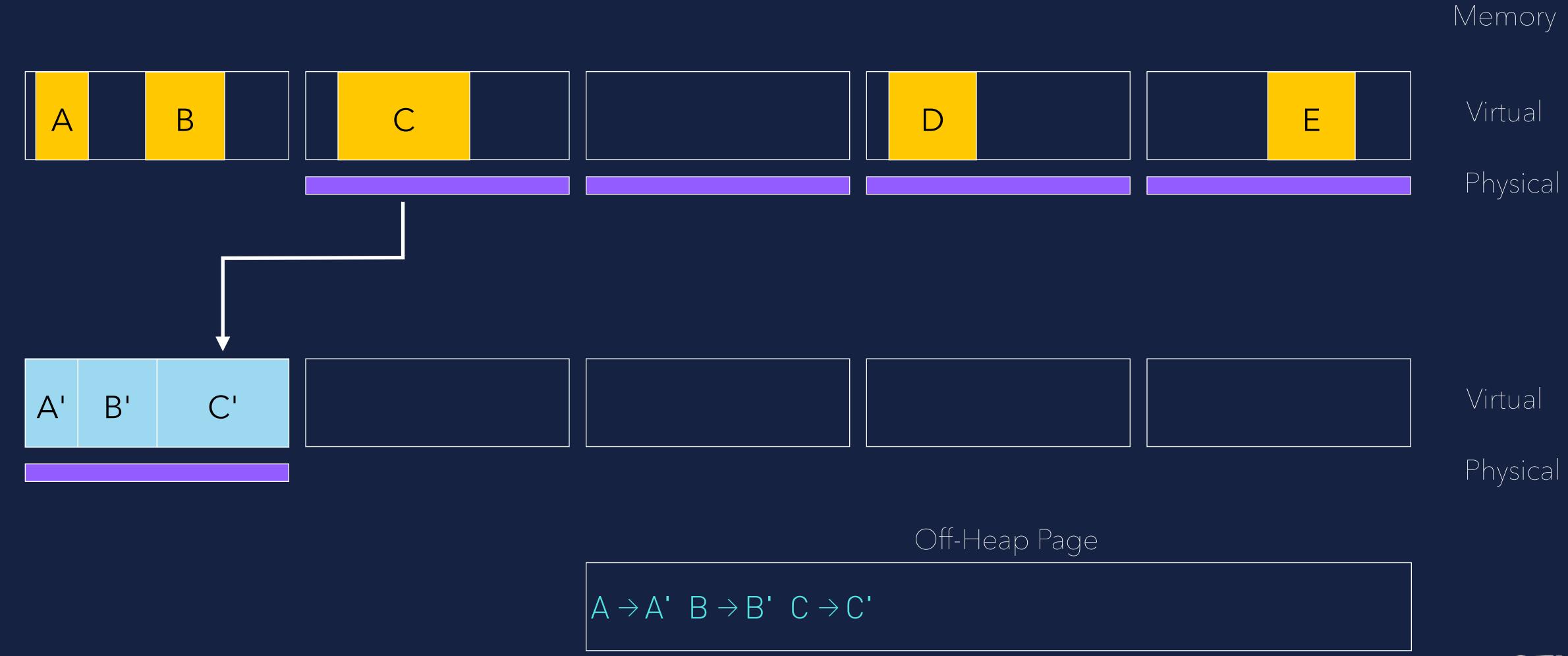


Off-Heap Page

$$A \rightarrow A'$$
 $B \rightarrow B'$

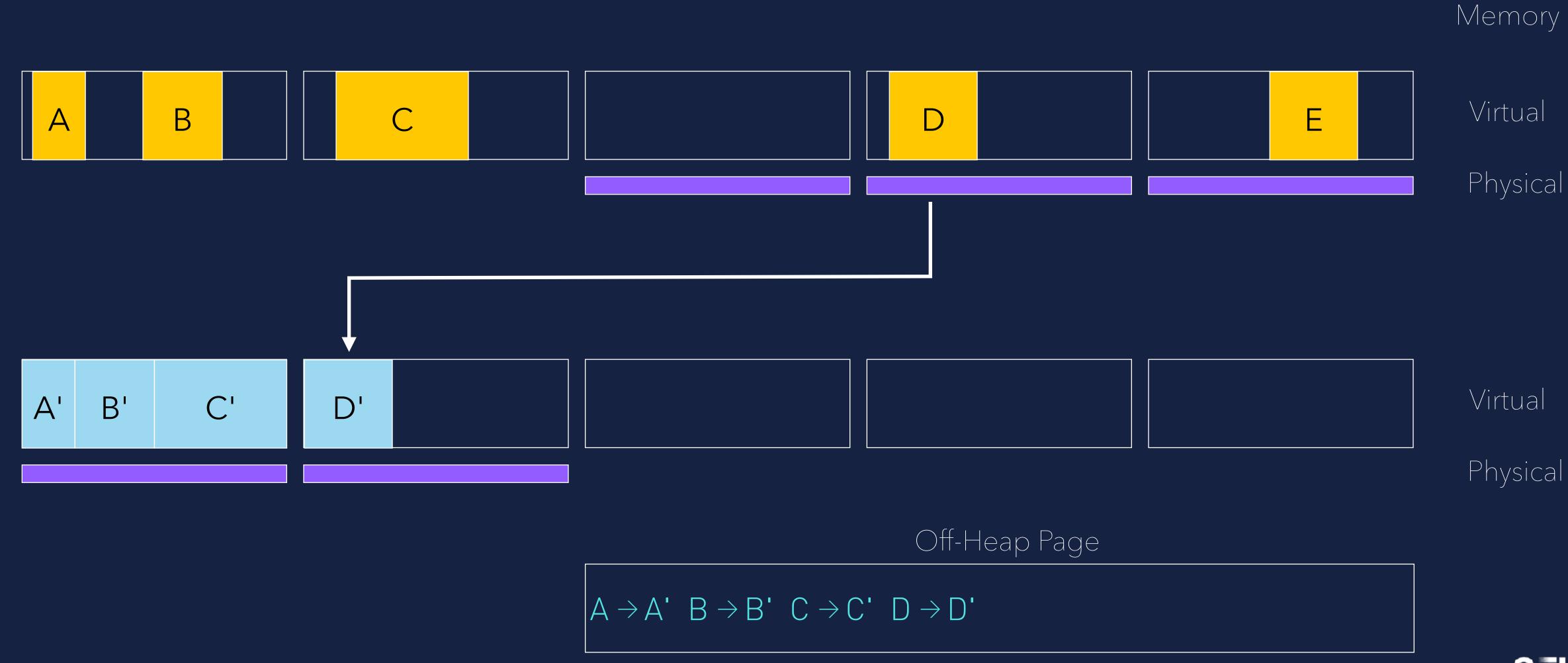






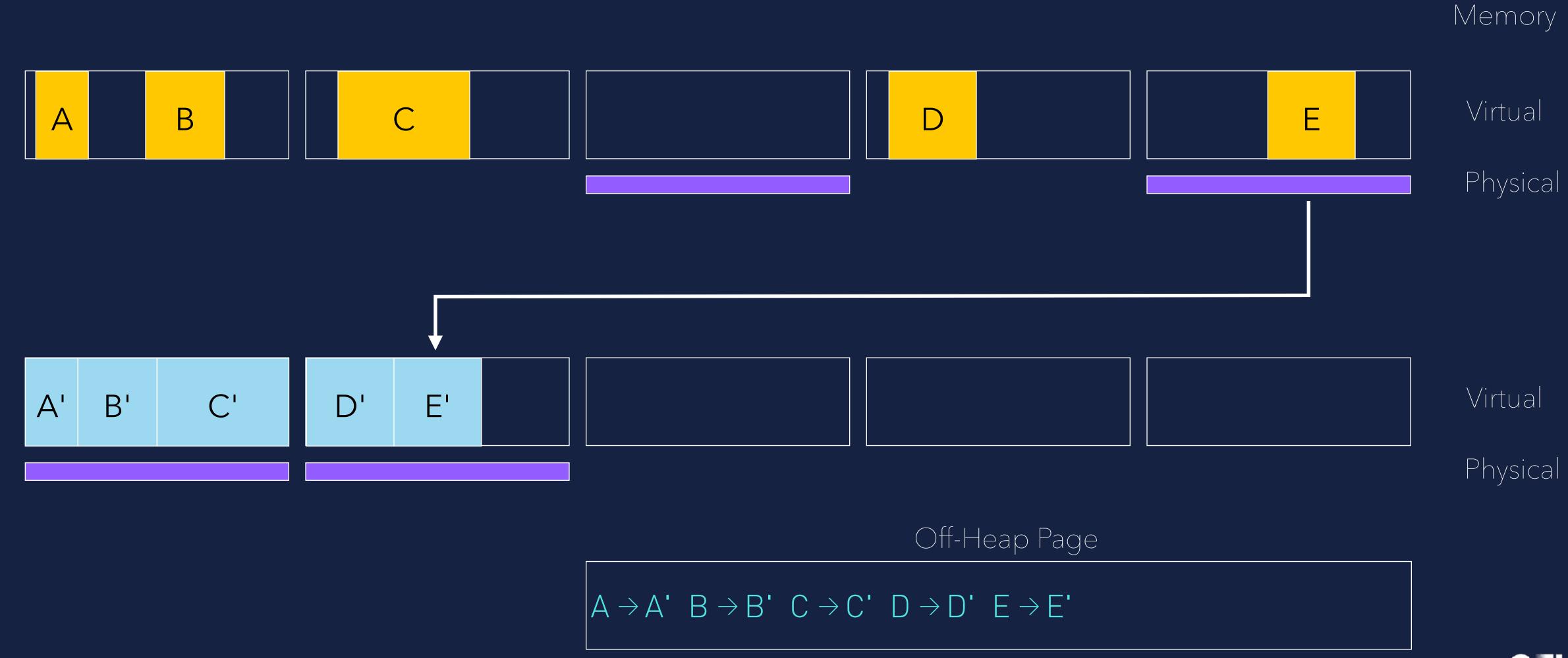








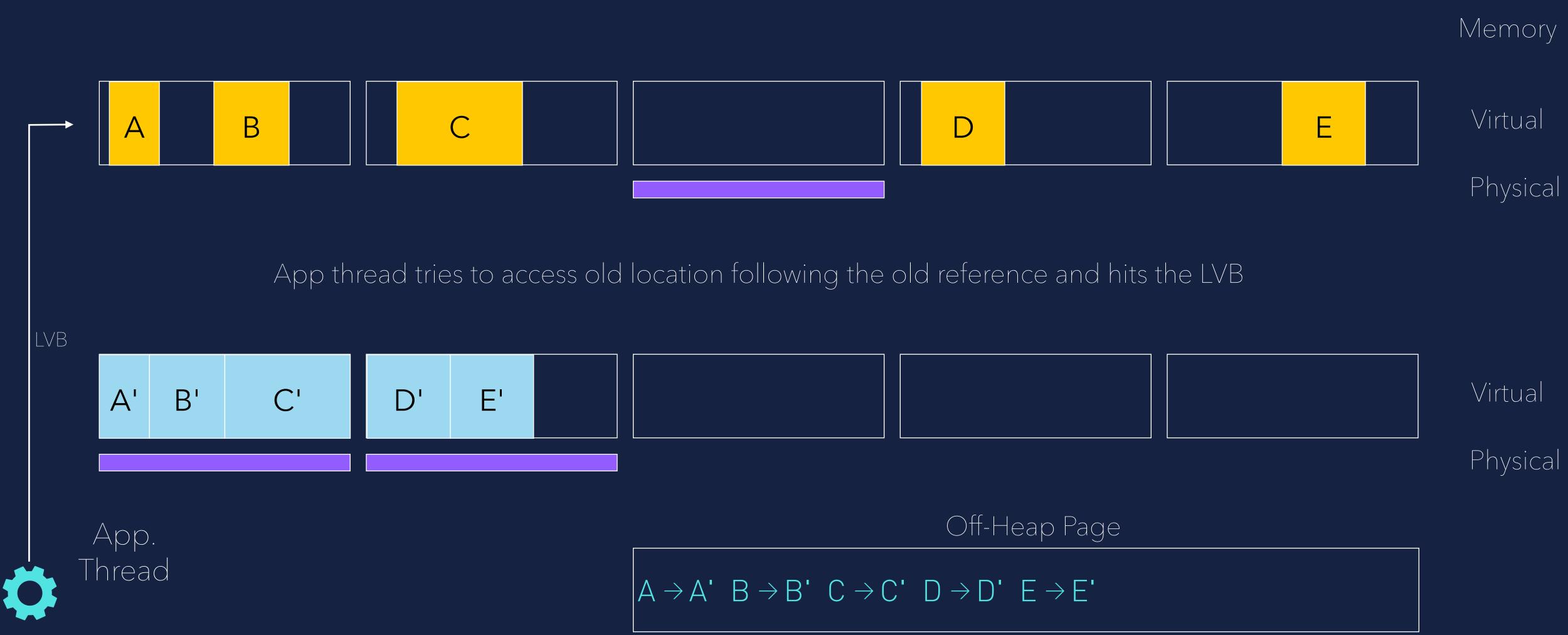








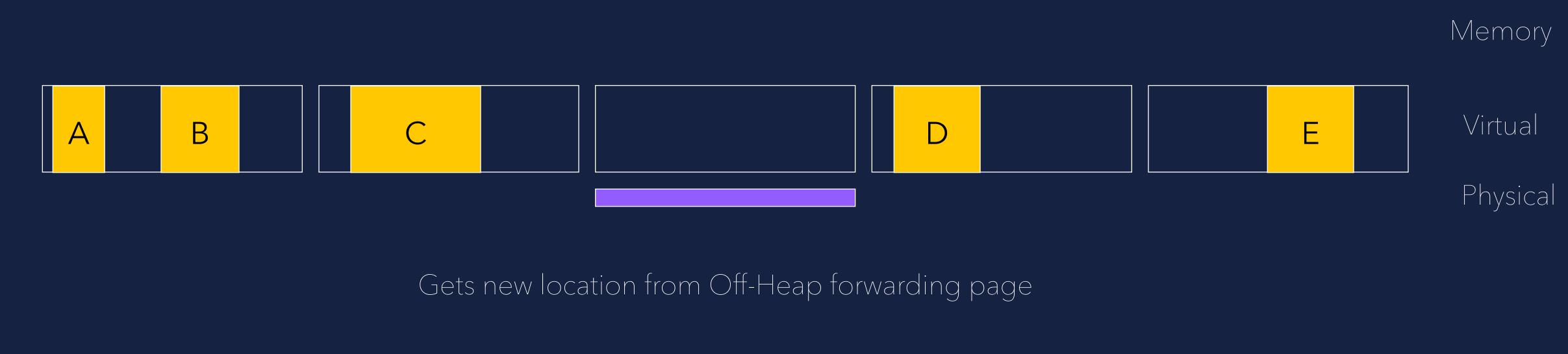
Relocation Phase (Quick Release)







Relocation Phase (Quick Release)





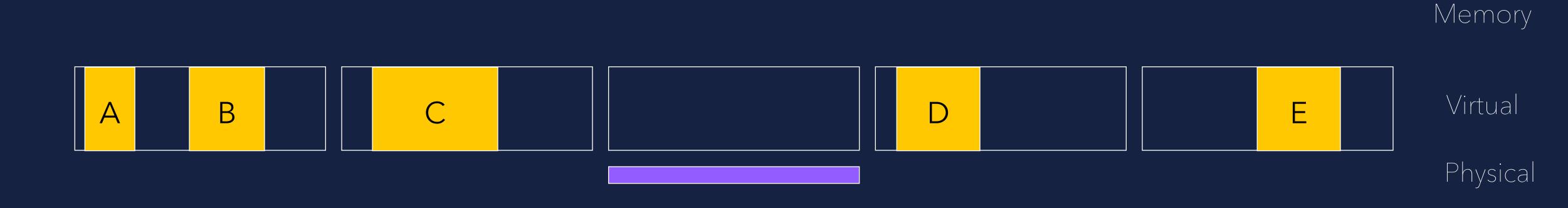
App. Thread Off-Heap Page

 $A \rightarrow A$, $B \rightarrow B$, $C \rightarrow C$, $D \rightarrow D$, $E \rightarrow E$,

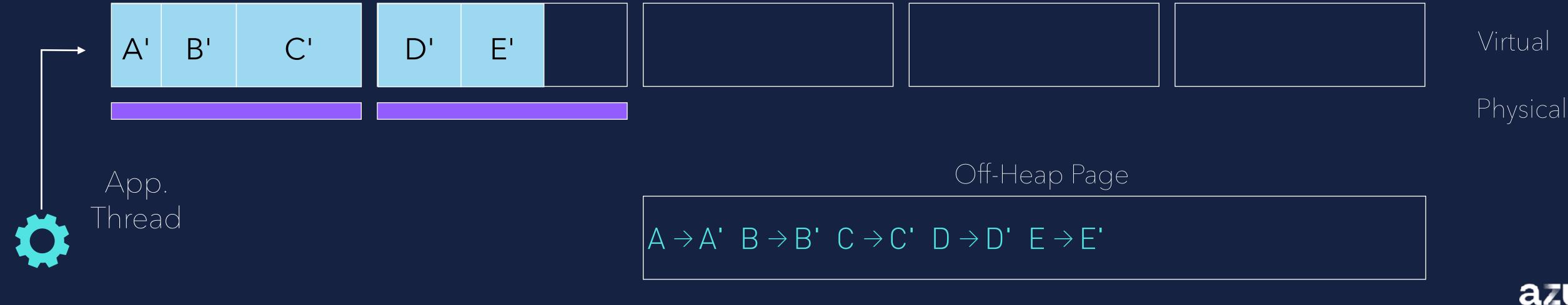




Relocation Phase (Quick Release)



Updates the reference and can access object at new location







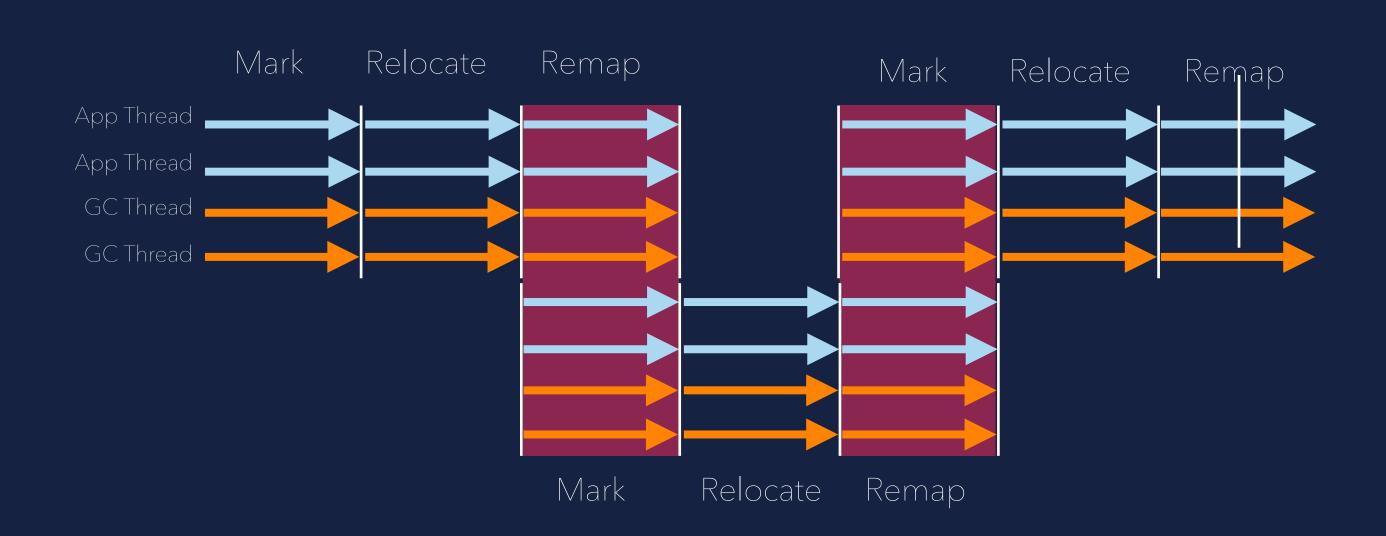
AVAILABILITY	AZUL ZING JVM	CHOOSE WHEN Response time is a high priority					
PARALLEL	YES	Response time is a high priority Using a very large heap (100GB+) Predictable response times needed					
CONCURRENT	FULLY						
GENERATIONAL	YES	BEST SUITED FOR					
HEAP SIZE	LARGE	Low latency sensitive applications					
PAUSE TIMES	SHORT	Large scale systems					
THROUGHPUT	VERY HIGH	Highly concurrent applications					
LATENCY	VERY LOW	OS SUPPORT					
CPU OVERHEAD	MODERATE (10-20%)	JVM SWITCH > -					





NOTES

- Only available in Azul Zing JVM
- No performance overhead because of faster Falcon compiler



WHICHONELLE

WHICH ONE...?

Essential Criteria

Throughput

Percentage of total time spent in application vs. memory allocation and garbage collection

WHICH ONE...?

Essential Criteria

- Throughput

 Percentage of total time spent in application vs. memory allocation and garbage collection
- Latency
 Application responsiveness, affected by gc pauses

WHICH ONE...?

Essential Criteria

- Throughput

 Percentage of total time spent in application vs. memory allocation and garbage collection
- Latency
 Application responsiveness, affected by gc pauses
- Resource usage
 The working set of a process, measured in pages and cache lines

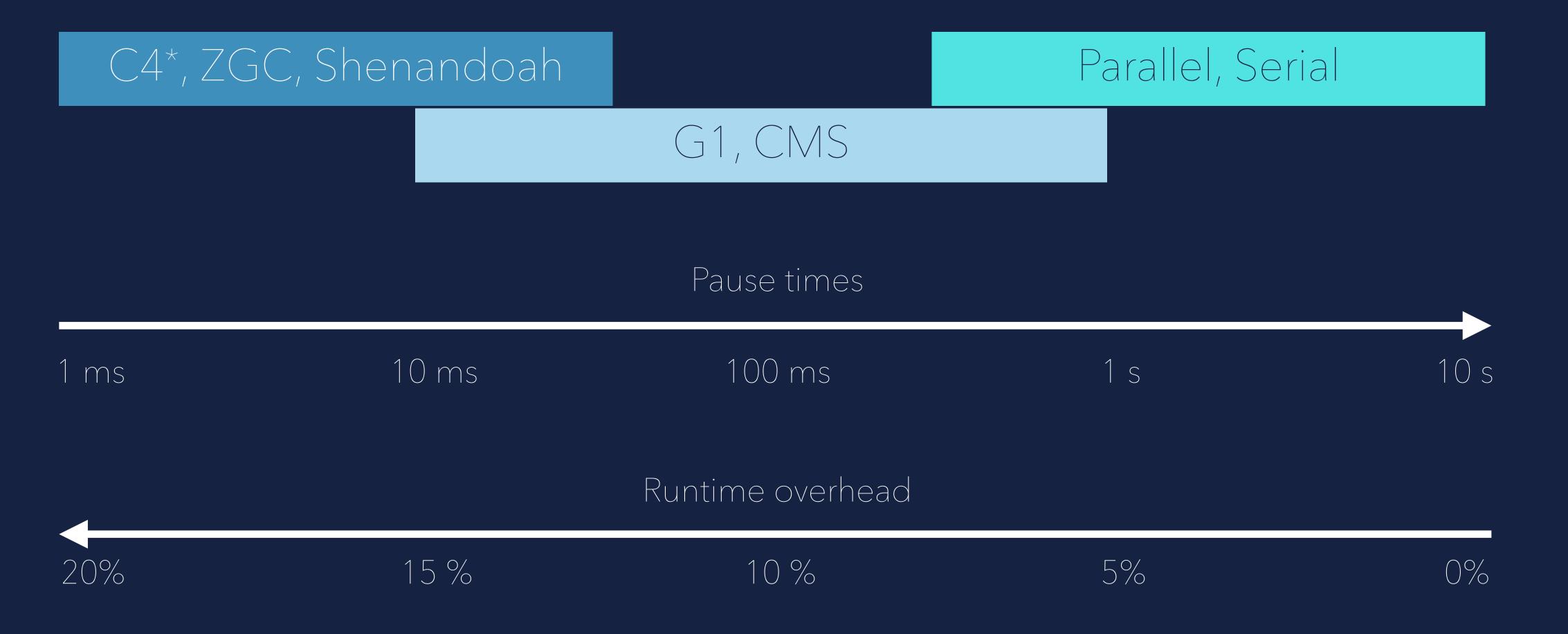
WHICH ONE ... P

Essential Criteria



WHICH ONE...P

Choose dependent on your workload



^{*} C4 has less overhead due to faster Falcon compiler

OVERVIEW

OVERVIEW.

	Serial GC	Parallel GC	CMS GC	G1	Epsilon	Shenandoah	ZGC	C4
	Serial	Parallel	CMS	G1	Epsilon	Shenndosh	zec	C4
Availability	ALL JDK's	ALL JDK's	JDK 1.4-13	JDK 7u4+	JDK 11+	JDK 11.0.9+	JDK15 / 21+	Azul Zing 8+
Parallel	NO	YES	YES	YES		YES	YES	YES
Concurrent	NO	NO	PARTIALLY	PARTIALLY		FULLY	FULLY	FULLY
Generational	YES	YES	YES	YES		NO	NO / YES	YES
Heap Size	SMALL - MEDIUM	MEDIUM - LARGE	MEDIUM - LARGE	MEDIUM - LARGE		LARGE	VERY LARGE	VERY LARGE
Pause Times	LONGER	MODERATE	MODERATE	SHORT - MEDIUM		VERY SHORT (<10ms)	VERY SHORT (<1ms)	VERY SHORT (<1ms)
Throughput	LOW	VERY HIGH	MODERATE	HIGH	VERY HIGH	VERY HIGH	VERY HIGH	VERY HIGH
Latency	HIGHER	LOWER	MODERATE	LOWER		VERY LOW	VERY LOW	VERY LOW
Performance	LOWER	HIGHER	MODERATE	HIGHER	VERY HIGH	VERY HIGH	VERY HIGH	VERY HIGH
CPU Overhead	LOW	LOWER	MODERATE	MODERATE	VERY LOW	LOW - MODERATE	MODERATE - HIGH	MODERATE - HIGH
Tail latency	HIGH	HIGH	HIGH	HIGH		MODERATE	LOW	LOW

TOOLING

- Xlog:gc*:/gc-%p %t.log:tags,uptime,time,level:filecount=10,filesize=128m
 Output of garbage collection details to log file
- Istat

 Tool that provides info on performance and resource consumption of running applications

TOOLING

- JITWatch
 - A tool for understanding the JVM JIT (https://github.com/AdoptOpenJDK/jitwatch/wiki)
- i j Hiccup
 - A non intrusive tool to monitor platform "hiccups" incl. JVM stalls (https://github.com/giltene/jHiccup)
- VisualVIV
 - All in one Java troubleshooting tool (https://visualvm.github.io/)
- GCeasy
 Universal GC Log Analyzer (https://gceasy.io)
- IProfiler

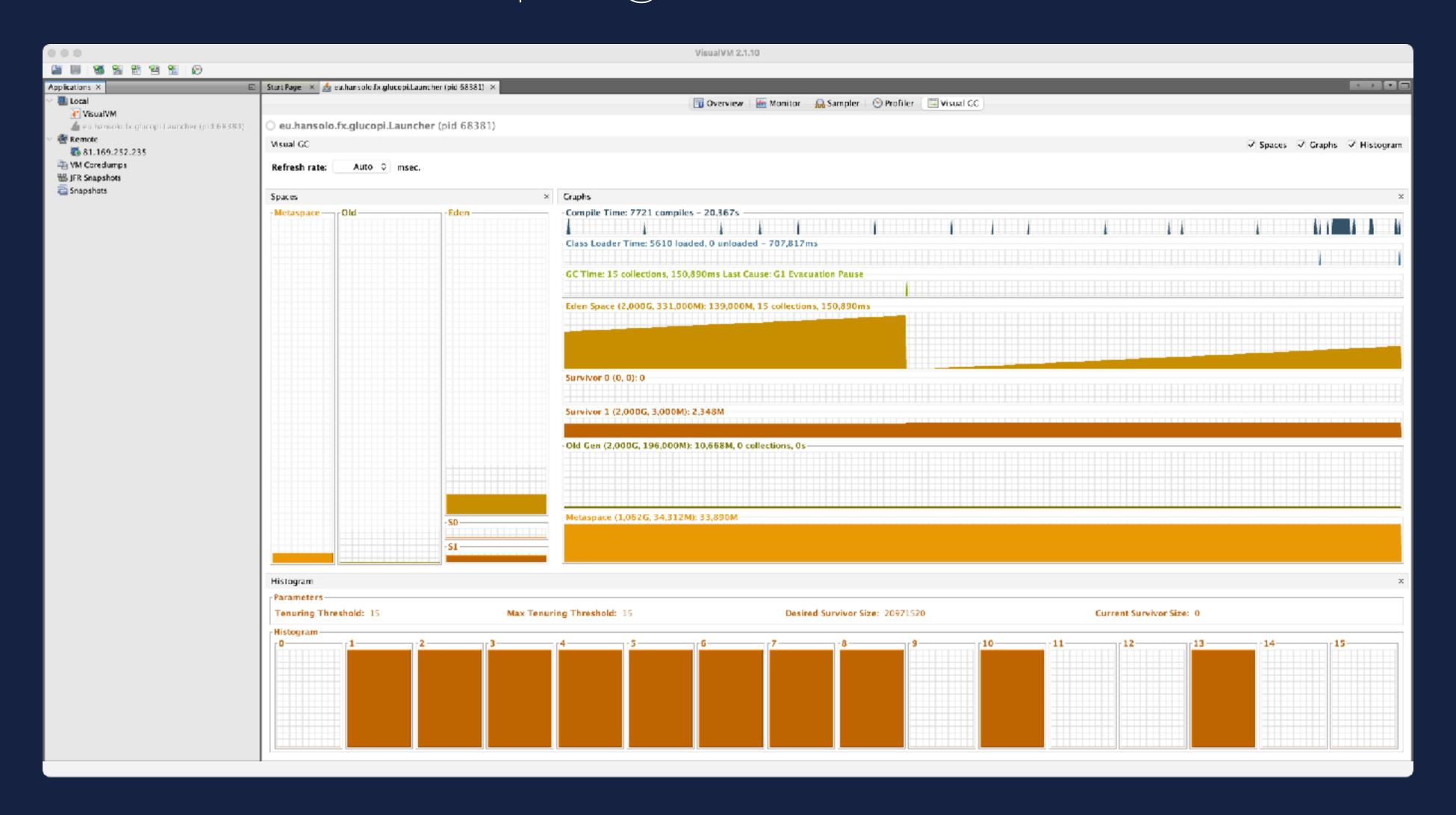
 All in one Java profiler (https://www.ej-technologies.com/jprofiler)
- YourKit Java Profiler

CPU and Java profiler (https://www.yourkit.com/features/)



TOOLING

VisualVIVI + VisualGC plugin



WANNAKNOW MORE ?

WANNA KNOW MORE 7

R. Jones et al. "The Garbage Collection Handbook". Chapman & Hall/CRC, 2012

